

Swindon Borough Council

Schools Forum

Tuesday, 17 January 2017

Committee Room 6, Civic Offices at 4.00 p.m.

Maintained School Members:

Janet Urban - Primary Headteacher (Chair)
Jackie Smith - Special School Headteacher (Vice-Chair)
Rhian Cockwell - Primary Headteacher
Alison Lowe - Primary Headteacher
Ray Williams - Governor - Primary
Mark Edwards – Governor - Secondary
Charles Law - Governor - Special
Ben Slater - Pupil Referral Unit Headteacher

Academy Representatives

Wendy Conaghan - Mainstream Academy (Secondary)
Lauren Costello - Mainstream Academy (Primary)
Andrew Henstridge - Mainstream Academy (Primary)
James Povoas - Mainstream Academy (Secondary)
Jane Wheatley - Mainstream Academy (Primary)
Clive Zimmerman - Mainstream Academy (Secondary)
VACANCY - Mainstream Academy
VACANCY - Special Academy

Non-School Representatives

Ruth Lee (Clifton Catholic) / VACANCY (Bristol Church of England)
Jo Morris - Early Years Private, Voluntary and Independent Provider
Graham Taylor / Andrew Miller - 16 to 19 Partnership
Ram Thiagarajah - Black and Minority Ethnic (BME)
Peter Smith – Trade Union

Committee Officer: Rita Glen-Gallo

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AGENDA

1. Apologies for Absence
2. Minutes of Previous Meeting (Pages 5 - 10)
To receive the minutes of the meeting held on 18th October 2018.
3. Public Question Time
See explanatory note below. Please phone the Committee Officer whose name and number appears at the top of this agenda if you need further guidance.

Members have previously agreed that public questions were to be submitted in writing at least 24 hours prior to a meeting to enable officers to provide a detailed response.

4. School Forum Membership Update HE (Pages 11 – 13)
5. 2016-17 Dedicated Schools Grant Budget Position FME (Pages 13 - 28)
6. 2017-18 Dedicated Schools Grant Settlement and Budget HFT (Pages 29 - 86)

Date of Despatch: 05 January 2017

Key:

Officers:

HE	-	Head of Education Services
HFT	-	Head of Finance – Technical
FME	-	Finance Manager – Education

Public Question Time - Swindon Borough Council remains committed to increasing its accountability to the public and to promoting active citizenship. 15 minutes will be allowed at the start of all Council meetings for questions to the Chair from the public about the work of the Committee (except for confidential matters, and matters relating to planning and licensing applications). We will give priority to those who submit questions in writing at least two days before the meeting. Questions must be relevant, clear, and concise. You may not use Public Question Time as an opportunity to make speeches or statements.

Questions in writing should be sent to the Committee Officer whose contact details appear on the agenda above or to the Director of Law and Democratic Services, we will publish it, along with the answer, alongside the Minutes. The process associated with asking a public question is set out in the "Public Question Time at Council Meetings Protocol and Guidance" available on the Council's Website.

(<http://ww5.swindon.gov.uk/moderngov/ecCatDisplay.aspx?sch=doc&cat=13338&path=0>) or from the Committee Officer named above.

At the meeting held on 21st January 2015, Schools Forum members agreed that public questions were to be submitted in writing at least 24 hours prior to a meeting to enable officers to provide a detailed report (Minute 22 refers).

Access Arrangements – The Venue is wheelchair accessible and an infrared receiver hearing system is provided. If you would wish to attend the meeting but have any special requirement to enable you to do so please contact the Committee Clerk above, as soon as possible prior to the date of the meeting.

If you would like to receive any of the pages contained in this agenda in a larger print size please contact the Committee Officer whose name appears on the first page of this agenda.

SCHOOLS FORUM

TUESDAY, 18 OCTOBER 2016

PRESENT: -

School Members: Janet Urban, Chair - Primary Headteacher
Jackie Smith, Vice-Chair - Special Headteacher
Rhian Cockwell, Primary Headteacher
Wendy Conaghan, Academy Headteacher
Alison Lowe, Primary Headteacher
Charles Law, Special Governor
Sue Banks, Secondary Headteacher
Clive Zimmerman, Academy Headteacher

Non-School Members: Kate Adams, Early Years Representative
Peter Smith, Trade Unions

Officers: Ian Burbidge (Head of Finance, Schools)
Anne Mackay (Finance Manager, Education)
Peter Nathan (Head of Education Services)

Also Present: Councillor Fionuala Foley, Cabinet Member for Children's Services and Karen Reeve (Director of Children's Services), Marie Horton (School Improvement Advisor), Paul Davis (SEN/High Needs and Finance Officer), Andree Ferguson (Head of Litigation and Personal).

Apologies for absence were received from Ben Slater (EOTAS), Ray Williams (Primary Governor) and James Povoas (Academy Headteacher).

11. Minutes of Previous Meeting

Resolved: That the minutes of the meeting held on 12th July 2016 be confirmed and signed as a correct record.

12. Public Question Time

In accordance with Standing Order 28, Mr. Alastair Dixon-Patterson, Lydiard Park Academy had submitted questions relating to (a) the constitution and membership of Schools Forum, (b) the review of the regulations and (c) the election of the current members.

The Chair thanked Mr Dixon-Patterson for his question and advised that a written response would be provided.

13. Schools Forum Membership

The Head of Education submitted a report advising members that a review of the Schools Forum had been conducted. Mr Peter Nathan, Head of Education, advised that the review had been undertaken to ensure that the current membership was appropriate in light of the evolving balance of schools in Swindon and with

regards to the 2002 Education Act, Schools Forum (England) regulations 2012 and Schools Forum Operational and Good Practice Guide 2015. He referred to the membership structure and advised that to ensure proportionality, there was only one member from the maintained secondary school and that an extra member be elected by the mainstream Academy proprietors at a later date.

Mr Nathan responded to members' queries regarding the representation from Special Schools and the election of an academy representative by the Academy proprietors.

Resolved: That the Schools Forum recommends to Council that:

(a) The maintained school members within each group are drawn from headteachers, senior members of staff and governors.

(b) The number of mainstream Schools Forum members remains at 12 (21 Schools Forum members in total).

(c) The vacant maintained secondary governor position is deleted.

(d) That one extra member is elected to represent mainstream academies, to be elected at a later date by the proprietors of mainstream Swindon Academies.

(e) The Proposed membership structure and representatives of Schools Forum at Appendix 1 of the report.

(f) The Terms of Reference for Schools Forum be revised as set out in Appendix 2 of the report.

(g) It notes there is currently a vacancy for a representative of Special Academies and the proprietor of the special academy is requested to seek a representative for Schools Forum.

(h) That the Head of Education be requested to contact the Academy proprietors to request they nominate a representative to the Schools Forum.

14. Education Funding Agency Funding Changes for Post 16 (Education Other Than At School) Pupils

Mrs Marie Horton, School Improvement Advisor, presented a report to inform Schools Forum members about the changes to Education Funding Agency (EFA) funding regulations for learners who require additional high needs funding in post 16 organisations. Mrs Horton advised that historically, the Local Authority provided funding for all learners from Education Other Than At School (EOTAS) settings as they progressed into post 16 education irrespective of their Special Educational Need (SEN) and that this included a one off payment to providers of £250 per pupil to support transition.

Mrs Horton responded to members' queries regarding:

- The settings that would benefit from these changes.
- The implementation date for these changes.
- The work pressures on the Special Educational Needs Assessment Team (SENAT) and if they would be able to deliver the service within timescales.

Resolved: That it be noted that:

(a) The Local Authority was reviewing its practice with regard to post 16 high needs funding for EOTAS pupils.

(b) That from September 2017, high needs funding will only be provided for those post 16 learners who have an Education Health and Care Plan.

(c) That the School Improvement Advisor be requested to circulate information before the next meeting of the Forum on how the Special Educational Needs Assessment Team would be delivering this service.

15.**Post 16 High Needs Funding Update**

Mr Paul Davis, SEN/High Needs and Finance submitted a report updating the Forum on the level of funding available and the proposed funding allocations for 2016/17 for post 16 high need students that included students attending further education colleges, independent specialist college and other training providers.

Mr Davis commented on the various issues that influence funding allocations particularly regarding the contingency funding for 81 unconfirmed placements

Resolved: That it be noted that:

(a) The latest projected 2016/17 financial year costs arising from the Local Authority funding responsibilities for Post 16 High Needs Students was estimated at £1.954m for an estimated 311 post 16 (excluding school sixth form) high needs students.

(b) Further to (a) above, this included costs of 36 placements at Independent Specialist Providers (ISP) of £0.383m and indicative allocations to Further Education colleges and training providers of £1.323m for 275 students.

(c) That banding values for the 2016/17 academic year remain at the indicative values notified to Schools Forum in July 2016, which have reduced slightly since the 2015/2016 academic year to fit within the available funding envelope.

16.**2016-17 Dedicated Schools Grant Budget Position**

Mrs Anne Mackay, Finance Manager, Education, submitted a report advising the Forum on the latest projected 2016/17 Dedicated Schools Grant (DSG) retained budget position and on the projected year end position against the 2016/17 retained budgets based upon available information at the end of August 2016.

Mrs Mackay introduced the report and commented on the changes that had taken place since the last report and advised that to the end of August, finances were in a better position than indicated in past reports and that the balance would be added to the existing unallocated DSG balances.

Resolved: That it be noted that:

(a) The latest projection on the 2016-17 retained budget was £0.407m below budget.

(b) Following the projected out-turn position, the unallocated Dedicated School Grant balance will increase to £0.616m.

17.**Monitoring School Balances**

The Forum considered a report submitted by Ms Anne Mackay, Finance Manager, Education, setting out the level of school balances, particularly regarding the planned use of 2014-15 surplus balances during 2015-16 and the use of 2015-16 surplus balances in 2016-17 and beyond.

Mrs Mackay referred to the estimated school revenue balances expected to be held on 31st March 2016 as forecast by schools in December June 2015. She referred members to the planned use of 2015/16 surplus balances during 2016/17 and the use of 2016/17 surplus balances in 2017/18 and beyond. Mrs Mackay advised members of the estimated school revenue balances expected to be held on 31st March 2017 as forecast by schools in June 2016 and estimated school revenue balances expected to be held on 31st March 2018 and 31st March 2019 as forecast

by schools in June 2016.

Mrs Mackay, Mr Ian Burbidge, Head of Finance, Schools and Mr Peter Nathan, Head of Education, responded to members' queries regarding the financial impact on special schools, particularly relating to non-teaching staff and the difficulty for schools to monitor budgets.

Resolved: That it be noted that:

(a) The final 31st March 2016 school revenue balances as compared with the estimated position as forecast by schools in December 2015.

(b) The planned use of schools' surplus balances brought forward at 1st April 2016 and the schools' committed use of these balances in 2016/17.

(c) The schools projected 31st March 2017 surplus balances and the schools' committed use of these balances in 2017/18.

(d) Based on the survey forms received, nineteen schools plan to hold uncommitted balances on 31st March 2017 which exceed the target per pupil values agreed by the Schools Forum.

(e) The analysis of schools' planned use of March 2016 surplus balances in 2016/17.

(f) The indicative future balances to be held by schools at 31st March 2018 and 31st March 2019, taken from the three year budget plans submitted by schools in June 2016.

(g) The number of schools forecasting low balances on 31st March 2017, 31st March 2018 and 31st March 2019.

(h) The school balances claw back mechanism remains in place and the circumstances that need to apply for it to be implemented by the LA.

(i) That the Finance Manager, Education, be requested to circulate the Control of Balance Scheme to maintained schools.

18. Schools Financial Value Standard (SFVS)

Mrs Anne Mackay, Finance Manager Education, submitted a report (a) updating the Forum on the findings of the review of the 2015/16 Schools Financial Value Standard (SFVS) self-assessments, as required by the Department for Education and (b) proposing a consistent process across all relevant schools for future submission.

Mrs Mackay introduced the report and referred to the requirements of SFVS assessments which non-academy schools were required to complete once a year and submit to the Local Authority, to demonstrate that they have sound financial management practice and processes in place. She referred to guidance to be issued by the Local Authority and drew members' attention to Appendix 1 of the report that reflected best practice sample answers. Mrs Mackay thanked the schools for their collaboration in supplying the model answers.

Resolved: That it be noted that:

(a) The Local Authority was issuing guidance on how best to complete the Schools Financial Value Standard return and future submissions should follow this guidance.

(b) The Schools Financial Value Standard assessment forms part of the LA's financial health check of schools and schools may be contacted to verify evidence in support their assessments.

The Forum considered a report by Mr Ian Burbidge, Head of Finance, Schools, that included information on the latest position of the 2016/17 Dedicated Schools Grant (DSG) settlements and budget. He explained that following the Forum's meeting on 12th July 2016, the report addressed (a) an update on the Early Years 30 hours testing, (b) an update on the notional Special Educational Needs top-up funding, (c) the Tuition Services review, (d)) the Special Schools Primary provision, (e) the free school bids affecting Swindon, (f) the impact of the apprenticeship levy on schools in Swindon, (g) the de-delegation of funding, (h) the SALT review, (i) the High Needs moderation and (j), an update on the Early Years Consultation.

Mr Peter Nathan, Head of Education referred to the successful implementation of the 30 hours free childcare offer being tested at Great Western Hospital, the Brunel Centre, Honda and BMW. Mr Burbidge explained how the Notional SEN was assessed and confirmed that whilst a budget of £709k was required, the current budget sat at £400k and proposed a number of changes to the formula to reduce the pressure on the budget.

Mr Peter Nathan and Mr Burbidge responded to members' queries regarding:

- The three Notional SEN calculation proposals submitted for consideration and how they affected main stream provision.
- The proposed consultation regarding the increase in the number of places at Brimble Hill Special School and the infrastructure required if the proposal was approved.
- The promotion of apprenticeships within the Local Authority and the offer of support for schools wishing to employ apprentices.
- The pressures faced by special school staff as the Special Educational Needs Assessment Team would no longer be undertaking the High Needs moderation exercise.
- The impact in Swindon of the Early Years Pupil Premium funding allocation, particularly for pupils with special educational needs.
- The distribution of the 5% Early Years Block funding retained by the Local Authority.

Resolved: That it be noted:

(a) That all mainstream High Needs funded pupils will be included in future calculations.

(b) That a percentage of Age Weighted Pupil Unit (AWPU) is included in the Notional Income calculation and that this was anticipated to be between 1.3% & 1.75%.

(c) The outcomes and recommendations from the tuition service Review.

(d) That the Local Authority intends to consult on increasing the number of pupils at Brimble Hill Special School.

(e) That the Local Authority intends to increase the number of pupils at Crowdys Hill Special School.

(f) The current status of the bids that have been submitted to the Education Funding Agency to provide new free schools in Swindon.

(g) The potential impact of the apprenticeship levy on schools in Swindon.

(h) That members of the Forum be requested to consult with their relevant Headteacher Associations in order that in January 2017, they can vote on de-

delegation arrangements of Free School Meals eligibility, Trade Union and the Nylands Campus Outreach Team.

(i) That the Local Authority will be undertaking a review of the Speech and Language Therapy Service.

(j) That the Minutes of the SEND Project Board be submitted for noting at the next meeting of the Committee on 17th January 2017.

(k) The changes to the High Needs moderation process.

(l) The main aspects of the Early Years funding reform consultation.

20.

Any Other Business

Mr Gareth Cheal, Strategic Planning Manager, explained that in 2014, the Great Western Academy was granted permission to open a Free School in North Swindon and he referred to the work being undertaken in the area.

He advised that the Department for Education (DfE) was reviewing future forecast of school places and decided that a minimum of 120 pupils would be required as the schools' first intake to make it viable. Discussions with the DfE had been undertaken and it was agreed that Swindon would underwrite the first cohort of 120 pupils.

Resolved: That members of the Committee submit comments to the Strategic Planning Manager regarding this arrangement by 4th November 2016.

Schools Forum Membership Update

Schools Forum

Date: 17th January 2017

Author: Head of Education Services

Wards: All

Locality Affected: All

Parishes Affected: All

1. Purpose and Reasons

- 1.1. The purpose of this report is to confirm the appointment of members to the Schools Forum following the resignation departure of Sue Banks, Secondary Headteacher, and the resignation of Kate Adams as Early Years Representative.

2. Recommendations

The Schools Forum is asked:

- 2.1. To confirm the appointment of Jo Morris as Early Years Representative.
- 2.2. To confirm the appointment of Mark Edwards as Governor (Secondary).

3. Detail

- 3.1. Section 43 of the 2002 Education Act requires Local Authorities to establish a Schools Forum to represent its schools and to support local authorities on matters relating to school budgets.
- 3.2. The forums are an important part of the local decision-making process. Each local authority must ensure that the Schools Forum for its area is constituted in accordance with regulations endorsed by the Education Funding Agency. The Local Authority is responsible for determining the size of membership and terms of office of the Schools Forum.
- 3.3. The maintained schools representation can include governors in each group (i.e. Primary, Secondary and Special).

Members of the Schools Forum are asked to agree the appointment of:

- **Jo Morris as an Early Years Representative.**
- **Mark Edwards as Governor Representative (Secondary).**

Schools Forum Membership Update

Schools Forum

Date: 17th January 2017

4. Alternative Options

- 4.1. In light of Maintained Schools converting to Academy Status, there is a need to review the membership of the Schools Forum and therefore, no other options have been considered.

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 5.1. There are no specific implications relating to this report.

Legal and Human Rights Implications

- 5.2. The legal implications are set out in the body of the report.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 5.3. There are no such direct implications.

Diversity Impact Assessment (DIA)

- 5.4. The report reflects changes in the diversity of schools in Swindon.

Risk Assessment

There are no specific risk implications.

6. Consultees

- 6.1. The Board Director, Resources (Section 151 Officer) and Director of Law and Democratic Services (Monitoring Officer) are consulted on all reports.

7. Background Papers

- 7.1. Schools Forum: A Guide for Schools and Academies:
<https://www.gov.uk/guidance/schools-forum-a-guide-for-schools-and-academies>
- 7.2. Schools Forums Operations and Good Practice Guide 2015.
<https://www.gov.uk/government/publications/schools-forums-operational-and-good-practice-guide-2015>

8. Appendices

- 8.1. None.

2016-17 Dedicated Schools Grant Budget Position

Schools Forum

Date: 17th January 2017

Author:	Finance Manager Education
Wards:	All
Locality Affected:	All
Parishes Affected:	All

1. Purpose and Reasons

- 1.1 To advise Schools Forum members of the latest projected 2016-17 Dedicated Schools Grant (DSG) retained budget position.
- 1.2 The Local Authority (LA) is required to report the position on the DSG budget to the Schools Forum throughout the year and at the financial year end. This report provides Forum Members with the projected year end position against the 2016-17 retained budgets based upon available information at the end of November 2016.
- 1.3 The retained DSG budget is monitored in accordance with standard Swindon Borough Council (SBC) budgetary control procedures and a report is submitted to the Education Leadership Team each month. Updates are also periodically provided to Corporate Management Team, Cabinet and the Children and Young Peoples Overview and Scrutiny Committee.
- 1.4 Utilisation of the DSG contributes towards delivering the Council Vision Priority “Offer education opportunities that lead to the right skills and the right jobs in the right places”.

2. Recommendations

The Schools Forum is recommended to:

- 2.1 Note that the latest projection on the 2016-17 retained budget is an out-turn position that will be **£0.353m below budget**.
- 2.2 Note that following the projected out-turn position the unallocated DSG balance will increase to **£0.562m**.

3. Detail

Latest 2016-17 Retained Budget Position

- 3.1 As reported to Schools Forum on 12th January 2016 the overall value of the 2016-17 centrally retained DSG budget is £30.695m.
- 3.2 Attached at **Appendix A** is the report that was issued to the Education Leadership Team on 14th December 2016 based on information at the end of November. This shows that the projected out-turn position is forecast to be

Further information on the subject of this report can be obtained from Anne Mackay, Finance Manager Education 01793 465742, amackay@swindon.gov.uk.

2016-17 Dedicated Schools Grant Budget Position

Schools Forum

Date: 17th January 2017

£0.353m below the overall budget. The main reasons for the projected variances and commentaries on all high risk areas are provided in **Appendix A**.

- 3.3 The LA Finance team continue to work with Budget Managers to review the financial position to ensure robust forecasts are projected. In setting the retained budget officers included an element of contingency funding across some of the high risk budget areas to meet for any unexpected placements commissioned before 31st March 2017.
- 3.4 At this stage the LA is expecting that, although there continues to be a risk associated with the projection, it is expected that the year-end position of £0.353m (0.21%) below budget will occur, which will be added to existing unallocated DSG balances.
- 3.5 The previous position reported to forum in October was £0.407m below budget so there has been a decrease in the forecast of £0.054m. The main changes are an increase in High Needs Top ups following annual reviews in a special school, an increase in External Placement fees for new placements, offset by a reduction in the Post 16 High Need Provision.
- 3.6 Following the projected forecast of £0.353m the value of the unallocated DSG balances will be £0.562m. The financial position will continue to be monitored and the final 2016-17 outturn position (subject to external audit) will be represented to the July 2017 Schools Forum after closure of the LA's accounts.

Members of the Schools Forum are now asked to:

- **Note that the latest projection on the 2016-17 retained budget is £0.353m below budget**
- **Note that following the projected out-turn position the unallocated DSG balance will increase to £0.562m**

4. Alternative Options

- 4.1 There are no proposals included within this report.

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 5.1 Various financial implications are included in this report relating to management of the retained DSG budget

Legal and Human Rights Implications

- 5.2 There are no legal or human rights implications arising from this report.

Further information on the subject of this report can be obtained from Anne Mackay, Finance Manager Education 01793 465742, amackay@swindon.gov.uk.

2016-17 Dedicated Schools Grant Budget Position

Schools Forum

Date: 17th January 2017

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 5.3 This report does not make any recommendations that affect these areas.

Diversity Impact Assessment

- 5.4 As there are no proposals in this report, a DIA has not been completed.

Risk Management

- 5.5 There are no specific risk management implications not highlighted in the body of the report.

6. Consultees

- 6.1 The Board Director Resources (Section 151 Officer) and Director of Law and Democratic Services (Monitoring Officer) are consulted in respect of all reports.

7. Background Papers

- 7.1 None

8. Appendices

- 8.1 Appendix A - Education Leadership Team budget monitoring report
8.2 Appendix B – DSG Budget analysis by expenditure type
8.3 Appendix C – DSG Profiled budget to date compared with actual spend to date

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Report to: **Commissioning – Education Leadership Team**
 From: Anne Mackay, Finance Manager – Education
 Date: 9th December 2016
 Subject: **Budget Monitoring Report 2016-17 – DSG Services**
 Period: Period to November 2016

1) Background

The Council's corporate budget monitoring timetable requires each Group to report its forecast outturn position each month and this report is based on information provided at the end of November 2016.

Although the DSG position is included in Corporate Management Team and Cabinet reports it is unlikely that elected members will be overly concerned as this is outside the SBC General Fund and is essentially an issue for the Head of Education and his leadership team to manage in consultation with the Finance Team and Schools Forum.

A summary of how the 2016-17 DSG has been allocated so far is provided below. The table has been updated to take account of the 30 hours implementation grant which is now part of the budgets for the DSG allocation and 3 & 4 year old nursery entitlement.

Table 1 – DSG 2016-17 Overview – updated October

	<u>£m</u>	<u>£m</u>
<u>Delegated</u>		
Maintained Mainstream schools	45.664	
Maintained Specialist settings (Special Schools and SRP's)	7.551	
Maintained Specialist Settings - £0.520m EFA Post 16 funding	(0.520)	
Academy Mainstream Recoupment	77.104	
Academy Specialist settings (Special Schools and SRP's) Recoupment	2.022	
Commissioned Services	1.034	
		<u>132.855</u>
<u>Centrally retained for provision</u>		
Disadvantaged 2 year old nursery places	2.526	
Free Nursery Entitlement 3 & 4 year olds (+£0.803m, was £9.111m)	9.914	
In Year Pupil Growth	0.656	<u>13.095</u>
High Need SEN		
Portage, SEN equipment, Therapeutic services etc.	0.752	
SBC top ups – mainstream settings	2.672	
SBC top ups - high need specialist settings	8.986	
HN Contingency	0.252	
Tuition Service	0.881	
Out of Borough placements	2.182	
Post 16 provision (new responsibilities)	2.000	
Exclusions income	(0.335)	<u>17.390</u>
<u>Centrally retained to cover SBC and other costs</u>		
Admissions, Free School Meal eligibility checks, school licences, equal pay etc.	1.013	<u>1.013</u>
		<u>31.498</u>
Total DSG Allocation (including Early Years Pupil Premium of £0.164m) (was £163.550m)		<u>164.353</u>

2) Completion of Online Monitoring Forecasts

As part of the budget monitoring reporting process the LA is required to report to Corporate Board how active Budget Managers have been in contributing to the on line information used to collate period end information. For DSG service areas the end of November completion rate decreased from 94.9% (37/39) to 84.6% (33/39), it should be noted that the forecast for one cost centres cannot be confirmed due to system parameters.

The Leadership Team is asked to remind Budget Managers of the importance of this exercise and to encourage continued high participation for the remainder of the year.

3) High Risk Areas and Latest Projections

Commentaries on all the main variances have been identified and those areas of the budget, which are inherently high-risk, and therefore have the potential to significantly affect the overall position, are provided below.

Table 2 – Latest Budget Monitoring Position

Item	Notes	Budget 2016-17 £'000	Projected Variance November £'000	Projected Variance October £'000
<u>High-Risk Areas</u>				
2 year old nursery place funding	2	2,526	(617)	(588)
Free nursery education (3&4 year olds)	3	9,914	(543)	(436)
High Needs Top ups	4	11,658	117	0
Notional SEN supplements (Mainstream)		400	0	0
External Placement Fees	5	2,182	38	0
HN Contingency	6	252	(252)	(252)
Post 16 HN Provision - New Responsibilities	7	2,000	(148)	0
School Exclusions / Dual registrations	8	(335)	41	20
Tuition Service	9	881	24	24
Schools Equal Pay Claims		31	0	0
Subtotal High Risk Areas		29,508	(1,340)	(1,232)
Other Low risk retained budgets	10	1,990	142	139
Total Retained Budgets		31,498	(1,198)	(1,093)
Maintained School Budgets (Mainstream)	11	45,664	(3,024)	(3,024)
Maintained School Budgets (Specialist Settings)	11	7,551	(90)	(90)
Commissioned Services		1,034	0	0
EFA 6 th Form Place Funding	12	(520)	10	10
Total DSG School Budgets		53,729	(3,104)	(3,104)
Total DSG Expenditure Budgets		85,227	(4,302)	(4,197)
Mainstream Academy budgets for recoupment	13	77,104	2,983	2,983
EFA direct funding of High Need places	13	2,022	90	90
Total DSG Budget		164,353	(1,229)	(1,124)
DSG Funding allocation receivable	1	(85,227)	3,949	3,835
DSG Funding recouped by the EFA for Academies	1	(77,104)	(2,983)	(2,983)
DSG Funding recouped by the EFA for HN places	1	(2,022)	(90)	(90)
Total DSG Funding Budgets		(164,354)	876	762
Total DSG Forecast		(0)	(353)	(362)

The main reasons for the variances from budget that are being forecast are provided below:

Note 1) DSG Funding Receivable

£3.949m decreased funding

Budget allocation

The DSG allocation has been updated to take into account the 30 hours early implementer funding for 3 & 4 year olds. The revised budgeted DSG allocation is now **£164.354m** including funding for Early Years Pupil Premium and an estimate of the DSG based on January 2016 and January 2017 census for 2 year olds and 3 & 4 year olds. As reported previously the LA budgets for the full DSG allocation from the EFA but the actual cash received is the DSG allocation less Academy Recoupment and High Need places funded directly by the EFA, a budgeted DSG receivable of **£85.228m**.

Actual receipt

As at **(A)** in table 3 below, after allowing for academy recoupment and EFA Direct funding of HN places the 2016-17 DSG expected to be received is **£81.278m**, an overall variance in funding in 2016-17 of **£3.949m** when compared to budget. This reduction in funding is due to lower than anticipated census data for Early Years pupils for 2 year olds **£0.406m** (note 2) and 3 & 4 year olds **£0.481m** (note 3).

The budget and DSG expected has been increased by **£0.803m** for the 30 Hours Implementation funding. The Early Years Pupil Premium allocation has been amended by the EFA following the spring census to £0.128m, a decrease of **£0.037m**.

The other variances are due to an increase in Academy recoupment **£2.983m** (note 12 and offset by a reduction in mainstream primary school budgets of £3.024m note 10) and an increase in EFA Direct Funding of HN places for the SRP places for a recent academy converter **£0.090m** (note 12).

The EFA have also finalised the spring census and the final numbers in both 2 year olds and 3 & 4 year olds were higher than anticipated at year end leading to the receipt of an additional **£0.047m**. As shown at **(B)** in Table 3 below, the total DSG expected to be received is **£81.278m**, an overall variance of **£3.949m** when compared to the DSG budget allocation.

<u>Table 3– 2016-17 DSG receivable</u>			
Allocation	Budget £m	Expected £m	Variance £m
EFA's DSG Allocation	(151.521)	(151.521)	0.000
EFA DSG Early Years for 2 year olds	(2.332)	(1.926)	0.406
EFA DSG Early Years for 3 & 4 year olds	(9.532)	(9.051)	0.481
EFA DSG Early Years 30 Hours implementation	(0.803)	(0.803)	0.000
EFA DSG Early Years Pupil Premium	(0.165)	(0.128)	0.037
Total DSG allocation	(164.354)	(163.430)	0.924
Less Academy recoupment	77.104	80.087	2.983
Less EFA Direct Funding of High Need places	2.022	2.112	0.090
(A) DSG receivable 2016-17	(85.228)	(81.231)	3.996
Early Years Spring 16 Census 2 yr olds	0.000	(0.008)	(0.008)
Early Years Spring 16 Census 3&4 yr olds	0.000	(0.039)	(0.039)
(B) DSG expected to be received in year 16-17	(85.228)	(81.278)	3.949

**Note 2 – Disadvantaged two year old nursery funding £0.617m Decreased expenditure
£0.406m Decreased funding**

As reported previously, following the summer payments to providers the total payments for the year are now expected to be **£0.617m** lower than the budget of £2.526m for 2 year old places in line with a lower than budgeted January 2016 pupil census. An estimate had been made for autumn adjustments but the actual numbers were lower than expected. Private, voluntary and independent settings are expected to be £0.889m lower than budgeted offset by a pressure of £0.285m for maintained nursery settings.

The funding is based on the actual pupil numbers at the spring census 2016 (5/12ths) and spring census 2017 (7/12ths). The spring 2016 census numbers were lower than anticipated and have fallen since the census data so a prudent estimate of funding has been forecast.

Table 4 – Early Years 2 year old 2016-17 DSG Funding		
	2016-17 Budget	2016-17 Projection
January 2016 Census (5/12)	494	408
January 2017 Census (7/12)	494	408
Full Year Equivalent	494	408
2 year old funding rate for FTE	£4,721.50	£4,721.50
Total DSG receivable	£2,332,421	£1,926,372
Variance		(£406,049)

The estimated net decrease in 2 year old pupils of 86 FTE will result in a **£0.406m** reduction of DSG.

**Note 3 – Free nursery education (3 & 4 year olds): £0.543m Decreased expenditure
£0.367m Decreased funding**

The 2016-17 forecast position is now expected to be **£0.543m** lower than budget based on actual take up, the expenditure in Private, Voluntary and Independent (PVI) settings is expected to be **£0.516m** less than budget and in Maintained settings **£0.027m** less than budget. The budget has been increased by £0.803m as the LA is one of the authorities taking part in the 30 Hours Early Implementer scheme.

This element of DSG is based on participation and the LA anticipates that along with the decrease in expenditure there will also be a decrease in the amount of Dedicated Schools Grant receivable as the FTE numbers are projected to be lower than budgeted based on the recent spring census. Early Years DSG is calculated based on 5/12ths of the January 2016 and 7/12ths of the January 2017 Early Years Census. The latest DSG calculation compared to the 2016-17 budget agreed by Schools Forum is summarised overleaf.

Table 5 – Early Years 3 and 4 year old 2016-17 DSG Funding		
	2016-17 Budget	2016-17 Projection
January 2016 Census (5/12)	2,380	2,328.00
January 2017 Census (7/12)	2,503	2,328.02
Full Year Equivalent	2,452	2,328.01
3 and 4 year old funding rate	£3,888.06	£3,888.06
Total DSG receivable	£9,532,551	£9,051,448
Variance		(£481,103)

The estimated net decrease in early years' pupils of approximately 124 FTE will result in a **£0.481m** reduction of DSG.

Note 4 – High Needs Top Ups: **£0.117m Pressure**

The overall High Needs Top Ups budget forecast has increased to a £0.117m pressure since last month, including an additional £0.050m expenditure for Early Years pupils. The increase in pressure is as a result of bespoke TIPS packages and a number of banding adjustments at a special school. There are still some risks relating to expected bandings and vacant places in some specialist provisions that could fill up during the year.

The LA has established robust SENRAP processes and there is rigorous moderation of all applications.

Note 5 – External placements: **£0.038m Pressure**

A pressure in external placements of £0.038m has emerged this month due to recent changes in placements, the additional costs for 7 new placements £0.121m is offset by £0.083m reduction in costs following the ending of 3 placements. There remains inherent risks with this demand led budget.

Note 6 – High Needs Contingency: **£0.252m not fully utilised**

During the 2016-17 budget setting process Schools Forum agreed that a contingency of £0.252m would be held to cover additional top up and placements costs. The **£0.252m** budget is currently expected to cover emerging pressures in HN Top ups for all settings £0.117m (note 4) and External Placement fees £0.038m (note 5) but it should be noted that there are inherent risks with these demand led budgets.

Note 7 – Post 16 HN Provision: **£0.148m Saving**

The forecast for Post 16 Provision has been revisited now that the impact of the new academic year intake has been assessed and a saving of £0.148m has been identified. A contingency has been retained for 82 pupils, whose destination is yet to be established, further work will be undertaken to identify whether these costs will materialise.

Note 8 – Exclusions: **£0.041m Pressure**

As reported previously, within the Tuition Service there is an increasing number of short term placements (currently 35/53) where a charge is not made resulting in a pressure of **£0.041m**.

Note 9 – Tuition Service: **£0.024m Pressure**

Salary pressure due to the service's requirement for staff cover and the support needed due to the long term absence of two colleagues.

Note 10 – Other low risk retained budgets: **£0.142m Pressure**

The total pressure across these budgets is **£0.142m**, £0.036m is related to the NNDR credits for two academy conversions, which will now show in the variance between the reduction of mainstream school budgets (note 11) and academy recoupment (note 13) and £0.014m for increased NNDR costs for an expanded maintained school. There is also an £0.80m pressure related to pupil growth (Trigger funding) as 70 new reception places needed to be created in North Swindon from September 16 and a small variance relating to the cost of manuals for families supported by the Portage service £0.002m. There is a pressure of £0.010m related to staffing cover required for a statutory service due to long term absence and increased postage costs.

Note 11 – Maintained school budgets: **£3.024m Decreased expenditure**

The projected expenditure for maintained school budgets has decreased further as a result of a new academy conversion £0.187m. As reported previously the school budgets for two

Schools Forum 17th January 2017 – DSG Retained Budget Monitoring Report Appendix A
 recent mainstream academy converters will now be recouped and paid directly to the academies by the Education Funding Agency (EFA) £2.837m. The reduction in school budgets is £0.041m less than the recoupment to allow for the academy NNDR credit (note 10). The EFA will also pay for the 9 SEN places at the academy with a special resource provision £0.090m, academy recoupment figures have been increased as a result (note 13).

Note 12 – EFA 6th Form Place Funding: £0.010m Decreased Funding

As reported previously the EFA will be funding 51 and not 52 6th form places at a Swindon Special School **£0.010m**.

Note 13 – EFA recoupment for academies and HN places: £3.073m Pressure

The EFA are expected to increase the amount of DSG funding they expect to recoup by **£3.073m** for academies by **£2.983m** due three academy conversions, the EFA will also be recouping 9 SEN places to pay the funding directly to an academy with a special resource provision **£0.090m**.

The Leadership Team is asked to note the risk areas above, the forecast out-turn positions and the suggested actions.

4) DSG Balance

In accordance with DfE grant conditions the DSG must be treated by the LA as being ring fenced for specified educational purposes and, in addition to consulting the Schools Forum on how each year's grant is allocated, the Forum must also be advised on any year end surplus or deficits. The latest position is as follows:

Table 6 – DSG Balance	Latest Position
Opening DSG balance b/fwd from 2015-16	£0.209m
Plus – projected in year position (see table 2)	£0.353m
Projected DSG balance unallocated	£0.562m

The Leadership Team is asked to note that the unallocated DSG balance is expected to be £0.562m and that all expenditure funded from this balance will need to be allowed under the early years and school finance regulations and be agreed by Schools Forum.

5) Subjective Analysis

A summary of the latest projected year-end position of £1.198m across the £31.498m centrally retained budget by type of expenditure compared to the budget profiles is shown below. This presents a different view to the more traditional analysis by service area. This is a standard table used by the Finance Team which has been included in the DSG report to improve consistency.

Subjective analysis Delegated DSG November 2016

Group Budget position by expenditure type	Year to date budget £'000	Year to date actual £'000	Year to date variance £'000	Total Budget 2016/17 £'000	Forecast Variance 2016/17 £'000
Employees	932	883	(49)	1,398	(10)
Premises	944	930	(14)	992	4
Transport	8	8	(0)	12	1
Supplies & Services	895	768	(127)	1,568	(109)
3rd Party Payments	17,440	17,587	148	29,844	(1,398)
Internal Recharges	891	908	17	942	50
Income	(1,964)	(1,945)	19	(3,257)	263
Total	19,145	19,138	(7)	31,498	(1,198)

To better understand the above table the following appendices are attached to this report.

- **Appendix B** – this shows a breakdown of all the 2016-17 retained budgets by type of expenditure and income and produces the totals in the “Total budget 2016-17” column in table 7 above
- **Appendix C** – this shows a breakdown of each services profiled budget to date compared with actual spend to date. This will present useful information for both year to date actuals and full year projections. The aim is to highlight and address variances now, rather than wait until nearer the year end where late changes undermine confidence in our reporting.

The Leadership Team is asked to note the addition of subjective analysis information and the need to agree realistic budget profiles for input to the oracle system.

6) Improving Forecasting

Managers across the LA will be regularly invited to attend discussions on what the barriers to effective forecasting are and how they can be alleviated.

Given that we are accountable to the Schools Forum regarding the effective management of the DSG, monthly meetings with senior Budget Managers will be scheduled through to the end of 2016-17 to take place shortly after the month end forecasting deadlines. This will enable all high risk forecast figures to be challenged and enable the wider budget position to be assessed, particularly on high need budgets, prior to reporting through to corporate board and in some months the Schools Forum.

The Leadership Team is asked to note that the finance team will be inviting budget managers to discuss barriers to effective forecasting.

7) Summary of Key Recommendations

The Leadership Team is asked to:-

- Note that the forecast out-turn position, which is now projected to be £0.353m below budget, will be reported to Corporate Board this month
- Note the risk areas above, the forecast out-turn positions and the suggested actions
- Note that the unallocated DSG balance is now expected to be £0.562m.
- Note the steps proposed aimed at improving forecasting

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Schools Forum 17th January 2017 – DSG Retained Budget Monitoring Report

DSG Budget Analysis by Expenditure Type

Appendix B

Service Area	2016/17 Budgets by Expenditure Type								
	Employees £'000	Premises £'000	Transport £'000	Supplies & Services £'000	3rd Party Payments £'000	Internal Recharges £'000	Capital Financing £'000	Income £'000	Total £'000
Free nursery education (3&4 year olds)	78.3	-	1.1	0.4	9,834.0	-	-	-	9,913.8
School subscriptions	-	-	-	190.6	-	-	-	(50.6)	140.0
Disadvantaged two year olds	22.8	-	-	-	2,462.5	40.2	-	-	2,525.5
Equal Pay	-	-	-	-	-	31.0	-	-	31.0
Central Charges	-	-	-	-	-	634.5	-	-	634.5
LAC Pupil Premium	-	-	-	378.1	-	-	-	(378.1)	-
DSG NNDR	-	904.7	-	-	-	-	-	(941.2)	(36.5)
Nyland Primary Behaviour Support	-	-	-	135.7	-	-	-	(135.7)	-
Trade Union Facilities	24.0	-	-	-	-	-	-	(24.0)	-
Schools' Forum	-	-	-	2.0	-	-	-	-	2.0
Teacher funding	-	-	-	-	524.0	-	-	-	524.0
Pupil Growth set up costs	-	-	-	-	131.8	-	-	-	131.8
Admissions	161.2	-	0.3	13.1	-	55.2	-	(34.5)	195.3
Free School Meals administration	-	-	-	2.4	-	19.4	-	(21.8)	-
Therapy	-	-	-	-	-	105.0	-	-	105.0
High Needs top ups	-	-	-	-	11,658.3	-	-	-	11,658.3
Statemented Pupils Equipment	-	-	-	130.0	-	-	-	-	130.0
Education Support Services Monitoring	-	-	-	10.0	-	-	-	-	10.0
Out of Borough fees	-	-	-	-	2,458.9	-	-	(277.3)	2,181.6
Travellers children	-	-	-	-	2.0	-	-	-	2.0
Tuition Services	634.0	28.3	6.5	37.9	123.6	59.9	-	(9.4)	880.8
Post 16 new responsibilities	15.1	-	-	-	2,248.7	-	-	(263.8)	2,000.0
Broadband Connectivity	-	-	-	383.8	-	-	-	(383.8)	-
The Oakfield project	288.5	47.4	2.2	11.1	-	-	-	(349.2)	-
Portage	158.2	11.7	2.1	2.7	-	-	-	(23.0)	151.7
Notional SEN	-	-	-	-	400.0	-	-	-	400.0
School Exclusions	15.5	-	-	17.6	-	(3.1)	-	(365.0)	(335.0)
High Needs Contingency	-	-	-	252.4	-	-	-	-	252.4
Expense Category Totals	1,397.6	992.1	12.2	1,567.8	29,843.8	942.1	0.0	(3,257.4)	31,498.2

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Schools Forum 17th January 2017 – DSG Retained Budget Monitoring Report

End of November 2016 Budget Monitoring

Appendix C

Service	Cost Centre	Budget to date	Actual to date	Variance to date	Full Year budget	Full year forecast	Full Year Variance
Free Nursery Education Commissioning - three & four year olds	2000	£4,741,959	£5,935,050	£1,193,091	£9,913,800	£9,371,166	(£542,634)
School subscriptions	2004	£140,000	£140,010	£10	£140,000	£140,000	£0
Free Nursery Education Commissioning - two year olds	2005	£1,286,650	£1,333,889	£47,239	£2,525,500	£1,908,418	(£617,082)
DSG Retained Equal Pay	2009	£31,000	£31,000	£0	£31,000	£31,000	£0
DSG Retained Contingency	2011	£634,500	£624,743	(£9,757)	£634,500	£634,500	£0
LAC pupil premium	2012	£189,050	(£238,329)	(£427,379)	£0	£0	£0
DSG NNDR	2015	(£36,500)	£12,829	£49,329	(£36,500)	£12,800	£49,300
Nyland Primary Behaviour Support	2019	(£45,236)	(£31,584)	£13,652	£0	£0	£0
Trade Union Facilities	2020	(£8,008)	(£9,430)	(£1,422)	£0	£0	£0
Schools' Forum	2021	£1,328	£1,297	(£31)	£2,000	£2,000	£0
Pupil Growth Trigger Funding	2023	£0	£110,645	£110,645	£524,000	£603,700	£79,700
Pupil Growth Set Up Costs	2024	£131,800	£24,229	(£107,571)	£131,800	£131,800	£0
Admissions	2025	£137,076	£148,225	£11,149	£195,300	£205,400	£10,100
Free School Meals administration	2026	(£800)	(£9,237)	(£8,437)	£0	£0	£0
Therapy	2027	£52,500	£26,250	(£26,250)	£105,000	£105,000	£0
SEN Resourcing Assessment Panel	2028	£7,783,664	£7,689,165	(£94,499)	£11,658,300	£11,775,779	£117,479
Statemented Pupils Equipment	2029	£122,000	£115,263	(£6,737)	£130,000	£130,000	£0
Quality Assurance of commissioned services	2032	£5,000	£0	(£5,000)	£10,000	£10,000	£0
Out of Borough School Fees	2033	£1,500,606	£1,080,670	(£419,936)	£2,181,600	£2,219,936	£38,336
Travellers Children	2034	£1,328	£0	(£1,328)	£2,000	£1,500	(£500)
Tuition Service	2035	£554,560	£618,526	£63,966	£880,800	£904,378	£23,578
Post 16 - New Responsibilities	2036	£1,333,336	£1,355,389	£22,053	£2,000,000	£1,852,478	(£147,522)
Broadband Connectivity	2039	£255,864	£212,074	(£43,790)	£0	£0	£0
The Oakfield Project	2040	£59,420	£110,472	£51,052	£0	£0	£0
Portage	2042	£96,912	£89,485	(£7,427)	£151,700	£153,482	£1,782
Notional SEN	2048	£400,000	£0	(£400,000)	£400,000	£400,000	£0
School Exclusions and short term placements	2049	(£223,336)	(£232,901)	(£9,565)	(£335,000)	(£293,900)	£41,100
High Needs Contingency	2055	£0	£0	£0	£252,400	£0	(£252,400)
OVERALL TOTAL		£19,144,673	£19,137,730	(£6,943)	£31,498,200	£30,299,437	(£1,198,763)

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2017-18 Dedicated Schools Grant Settlement and Budget

Schools Forum

Date: 17th January 2017

Author:	Head of Finance – Technical
Wards:	All
Locality Affected:	All
Settings Affected:	The Dedicated Schools Grant (DSG) is ring fenced funding provided by the DfE to meet the educational needs of Early Years, school age and all 0 to 25 year old high need pupils, any decisions on how the grant is utilised directly affects all settings.

1. Purpose and Reasons

- 1.1. This report provides Schools Forum members with an update on the estimated value of the Dedicated Schools Grant (DSG) and other funding that will be available in 2017/18. It also provides estimates of the level of expenditure that will be allocated to Early Years, Schools, Academies, High Needs settings and centrally retained budgets.
- 1.2. The Local Authority is required to submit the Authority Proforma Toolkit on 21st January 2017 to the Education Funding Agency (EFA). This toolkit calculates individual Primary and Secondary School and Academy budgets for 2017/18. It uses the October Schools Census information. The Authority is also required to provide maintained schools with budget information by 28th February 2017.
- 1.3. This report also includes expenditure proposals for all High Needs block funded items which includes: High Needs students in Mainstream schools, Special schools, Special Resource Provisions, Alternative Provision, Post-16 and Further Education, Independent and Non-Maintained Special Schools and Other Local Authority Commissioned High Needs provision.
- 1.4. The Local Authority is required to submit the Section 251 Budget Statement to the EFA by 31st March 2017. An integral part of this financial statement is the use of the Dedicated Schools Grant. Schools Forum is required to agree various aspects of the DSG budget, and the Local Authority is required to consider the recommendations of the Schools Forum in setting the remainder of the Dedicated Schools Grant Budget. This report forms a critical part of that budget setting process.

2. Recommendations

- 2.1. Members of Schools Forum are now asked to note the following points relating to the 2017/18 DSG Funding Settlement:

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

2017-18 Dedicated Schools Grant Settlement and Budget

Schools Forum

Date: 17th January 2017

- 2.1.1. The Early Years block 2017/18 DSG settlement will be subject to revisions by DfE in light of Early Years census information in January 2017 and 2018. The final settlement will not be confirmed until July 2018. (Para 3.4)
- 2.1.2. Swindon expects to receive a total DSG settlement of £171.115m in 2017/18. (Para. 3.21)
- 2.1.3. The estimated DSG balance at the end of 2016/17 is £0.562m. (Para 3.21)
- 2.2. In respect of the 2017/18 DSG expenditure budget, Members of Schools Forum are now asked to:
 - 2.2.1. Agree the proposed 2017/18 Early Years funding rates as shown in Table 8, which will take effect from 1st April 2016, (Para. 3.22)
 - 2.2.2. Agree a 2017/18 Early Years budget of £13.633m as shown in Table 8. (Para. 3.22)
 - 2.2.3. Note the anticipated overall Early Years budget for 2017/18 (Para. 3.22)
 - 2.2.4. Agree the new funding rates as detailed in Table 9 (Para. 3.31)
 - 2.2.5. Note that the DSG budget is balanced within its funding block as detailed in Table 10 (Para 3.32)
 - 2.2.6. Note the Notional SEN funding top ups payable in 2017/18 at a total estimated cost of £0.400m. (Para. 3.37)
 - 2.2.7. Note individual schools average pupil led funding is detailed in Annex B, which will be used by the LA to claw back funding for excluded pupils during 2017/18. (Para. 3.39)
 - 2.2.8. Agree the following de-delegations for one year from April 2017, each phase of maintained school will need to agree for their sector.
 - 2.2.8.1. Free School Meal eligibility administration will be charged at £4.80 per pupil in 2017/18 and is supported until 31st March 2018. (Para. 3.43.1)
 - 2.2.8.2. Trade Union release time will be charged at £1.25 per pupil in 2017/18 and is supported until 31st March 2018. (Para. 3.43.2)
 - 2.2.8.3. Nylands Campus Outreach Team will be charged at £12.33 per primary pupil in 2017/18 and is supported until 31st March 2018. (Para. 3.43.3)
 - 2.2.9. Agree that the LA retains the £498k of DSG funding to cover the statutory duties that it provides for all schools. (Para. 3.48)

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

2017-18 Dedicated Schools Grant Settlement and Budget

Schools Forum

Date: 17th January 2017

- 2.2.10. Maintained schools forum members are asked to agree the charge of £22.50 for mainstream schools and £33.75 for special and alternative provision to cover the cost of the statutory duties that the LA provides for them for the period September to March. (Para 3.54)
- 2.2.11. Agree a total 2017/18 pupil growth budget of £0.580m. (Para. 3.56 – 3.57)
- 2.2.12. Agree a total budget for Centrally Retained Services of £1.054m in 2017/18 as shown in Table 13. (Para. 3.58)
- 2.2.13. Note the overall mainstream school budget for 2017/18 as shown in Table 14. NB the central overheads budget is included in the High Needs Block budget (Para. 3.59)
- 2.2.14. Note the place funding budget for 2017/18 of £7.695m. (Para. 3.61)
- 2.2.15. Note the top-up funding budget for 2017/18 of £9.220m. (Para. 3.62)
- 2.2.16. Note that the Minimum Funding Guarantee budget for specialist settings will be £1k for 2017/18. (Para. 3.65)
- 2.2.17. Members of the School Forum are now asked to give a view on the Local Authority's proposals for High Needs Budgets as described in paragraphs 3.66 to 3.77 of this report, and listed below:
 - 2.2.18. Alternative Provision (Para. 3.66)
 - 2.2.19. Commissioned High Needs Services (Para. 3.71)
 - 2.2.20. Post 16 High Needs Budgets (Para. 3.72)
 - 2.2.21. Mainstream School Top Up Funding (Para. 3.73)
 - 2.2.22. External Placements (Pre 16) (Para. 3.74)
 - 2.2.23. High Needs Contingency (Para. 3.76)
 - 2.2.24. Other Centrally Retained High Needs Services (Para. 3.77)

3. Dedicated Schools Grant Funding Settlement 2017/18

- 3.1. On 20th December 2016 the Education Funding Agency (EFA) released information on the Dedicated Schools Grant Settlement for 2017/18. The DSG for 2017/18 comprises:
 - Early Years block covering:
 - Funding for disadvantaged two year olds.
 - The three and four year old entitlement;
 - The Early Years pupil premium
 - Schools block - based on school block units of funding (SBUF) announced in July 2016.

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

2017-18 Dedicated Schools Grant Settlement and Budget

Schools Forum

Date: 17th January 2017

- High needs block – based on the expenditure rebasing exercise undertaken by the LA in March 2016.
- 3.2. During 2016 the DfE asked all LA's to provide baseline information for the early years, high needs and centrally retained expenditure that were reflective of local authorities' spending patterns rather than DSG allocations. The EFA level of expenditure for the schools block was taken from the 2015/16 Annual Pro-forma Toolkit and the EFA added some centrally retained expenditure and the 2016/17 pupil growth budget. The EFA incorporated the new baselines into the DSG settlement for 2017/18.
- 3.3. The first part of this report describes the DSG settlement in more detail and attempts to add information on some of the aspects of the settlement that are still to be more formally announced. Further adjustments to the settlement information are expected for:
- Academies recoupment from the schools block
 - Deductions for high needs places in academies and non-maintained special schools
 - Deduction for national copyright licences for all schools and academies
 - Updates to the funding for three and four year olds
 - Initial allocations for disadvantaged two year olds and
 - Updates to the Early Years pupil premium
 - School Improvement Grant Funding

Early Years - Disadvantaged 2 year old free nursery education DSG

- 3.4. This funding is paid on a participation model (Part Time Equivalent or "PTE") whereby the level of grant to be paid to the LA will be based on the January 2017 EY census (5/12) and the January 2018 EY census (7/12). Each pupil is entitled to a maximum 15 hours of education per week, which equates to 570 hours per year. The PTE census figure is then multiplied by a DfE determined hourly rate for Swindon of £5.32 which equates to £3,032.40 per child per year.
- 3.5. The LA will therefore need to revise its DSG estimate throughout the year and will not know the final 2017/18 grant value until July 2018. The latest estimate for 2017/18 is based on the projected position for January 2017. The LA has assumed for income budget planning purposes that there will be no overall increase in the number of pupils between January 2017 and January 2018, any increases will result in a matching increase in the cost of provision. Any increases in pupil numbers between January 2017 and August 2017 need to be

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funded from within the Swindon DSG budget, there is no additional funding provided by the EFA.

Table 1 - Disadvantaged 2 year old DSG estimate 2017/18				
	Esti- mated Census	PTE equivalent	Funding	Estimated DSG @ £3,032.40
Jan 17 estimated count	679	283	5/12	£857,917
Jan 18 estimated count	679	396	7/12	£1,201,083
Total DSG 2 year old funding				£2,059,000

Early Years - Free nursery education for all 3 and 4 year olds DSG

- 3.6. Funding will continue to be based on participation as measured by the January 2017 (5/12) and January 2018 (7/12) EY censuses. The participation (PTE) census figure is then multiplied by a DfE determined hourly rate of £4.53 for Swindon which equates to £2,587.38 per child per year. The final 2017/18 grant value will not be confirmed until July 2018.
- 3.7. The LA will therefore need to revise its DSG estimate throughout the year and will not know the final 2017/18 grant value until July 2018. The latest estimate for 2017/18 is based on the projected position for January 2017. The LA has assumed for income budget planning purposes that there will be no overall increase in the number of pupils between January 2017 and January 2018, any increases will result in a matching increase in the cost of provision. Any increases in pupil numbers between January 2017 and August 2017 need to be funded from within the Swindon DSG budget, there is no additional funding provided by the EFA.
- 3.8. The proposed budget includes an allowance for funding and costs associated with the increase to 30 hours of provision, based on the EFA's estimate of 522 pupils taking up this option during 2017/18

Table 2 - 3 and 4 year old DSG estimate 2017/18		
	PTE equivalent	Estimated DSG @ £2,587.38
No of Pupils included in settlement	3,880	£10,039,034
Disability Access Fund (DAF) – EFA estimate	n/a	£55,300
30 Hours funding – EFA estimate	522	£1,351,130
Total DSG 3 & 4 year old funding		£11,445,464

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Early Years Pupil Premium

- 3.9. The DSG settlement includes an amount of **£0.129m** for the Early Years Pupil Premium. This funding will be paid to Early Years providers in support of 3 and 4 year olds whose parents are in receipt of various state funded income supplements.
- 3.10. This is a provisional settlement and will be amended to reflect the pupils on the January 2017 census; initial allocations will be announced in summer 2017. The allocations will be updated in summer 2018 based on 5/12 of January 2017 and 7/12 of January 2018 census numbers.
- 3.11. Providers will be paid at a rate of £0.53 per hour, which equates to £302.10 for every 3 or 4 year old that takes up the full 570 hours entitlement.

Overview of Early Years DSG Settlement

- 3.12. The table below shows the overview of the £13.633m funding anticipated to be received for the Early Years block.

Table 3 - Estimated Early Years Settlement 2017/18	Estimated 2016 Settlement
	£m
Early Years 2 Year Olds	2.059
Early Years 3-4 Year Olds	10.039
Early Years 30 hours funding	1.351
Disability Access Fund	0.055
EY Pupil Premium	0.129
Estimated EY Settlement 2017/18	13.633

Schools Block DSG

- 3.13. This is the only area of DSG which can be determined accurately from the outset as funding for 2017/18 will be based on the October 2016 school census multiplied by a DfE determined rate per pupil for Swindon of £4,170.75. This per pupils rate is a total increase £47.93 over the actual spend data submitted to the EFA as part of the baselining exercise undertaken earlier in the year. This represents a school block increase of £32.93 per pupil, which relates to central and pupil growth expenditure budgeted in 2016/17, and has been allocated by the EFA to Schools Block. The balance of the increase is the former Education Service Grant (ESG) retained duties rate of £15 per pupil, to fund statutory

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services for all pupils in both maintained schools and academies, a total allocation of £0.498m.

- 3.14. **Appendix 1** provides more detail about the changes to ESG funding and the statutory duties that the LA has to carry out for all schools and academies; it further details the duties that the LA has to carry out on behalf of maintained schools.
- 3.15. Table 4 below shows the Schools Block DSG Unit of Funding for 2016/17 and 2017/18, for the actual unit of funding received and also the 2016/17 average expenditure on Schools and Academies only. The EFA have allocated the 2017/18 Schools Block funding based on the 2016/17 average expenditure but have also included some Central Expenditure and the Pupil Growth budget from 2016/17. Table 5 below identifies the current 2016/17 and 2017/18 Schools Block funding settlement of **£127.250m**; this includes the former Education Services Grant (ESG) funding of **£0.498m**.

Table 4 – Schools Block DSG Unit of Funding 2017/18			
	School Census October 2015	School Census October 2016	Change
Primary	19,389	20,027	638
Secondary	10,379	10,483	104
Subtotal Pupil Numbers	29,768	30,510	742
Schools Block Unit of Funding	£4,248.40		
Average per pupil expenditure data on Schools and academies Individual Budget Shares per baselining exercise	£4,122.82		£32.93
School block settlement including Central Expenditure and Pupil Growth (both 16/17 allocations) but excluding ESG		£4,155.75	
Former Education Services Grant		£15.00	£15.00
Total Schools Block Unit of Funding		£4,170.75	£47.93

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Table 5 - Summary of Schools Block Funding			
	£m	£m	£m
Schools Block Funding	126.593	126.751	0.158
Former Education Services Grant transferred into DSG	0.000	0.498	0.498
Total Estimated Schools Block DSG Settlement	126.593	127.250	0.656

High Needs Block DSG

- 3.16. As identified earlier this area was part of the expenditure rebasing exercise that was carried out earlier this year, as a result the EFA have allocated High Needs provided funding of **£28.174m** (before the deduction for direct funding of High Needs Academy and Post 16 places by the EFA).
- 3.17. The LA has received an additional £614k of funding. This increase is not reflective of Swindon pupils' specific High Needs requirements, but is part of a national increase in High Needs funding based on population growth.
- 3.18. The High Needs Block funding includes £1.444m that relates to funding for FE colleges in which was previously paid directly to the colleges but is now included as part of the DSG allocated to Swindon.
- 3.19. High Needs Block funding for 2017/18 is therefore **£30.233m**. More information on High Needs Expenditure is included later in this report.

DSG Balances

- 3.20. In accordance with DfE grant conditions the DSG must be treated by the LA as ring-fenced for specific educational purposes. The Local Authority is permitted to carry forward unused DSG between each financial year. Schools Forum has received a monitoring report on the use of 2016/17 DSG elsewhere on this agenda. This report identified the following DSG balance position, which the LA anticipates continuing to hold throughout 2017/18.

Table 6 – DSG Balances Estimate 2017/18	
	£m
Opening DSG balance brought forward from 2015/16	0.209
Add - projected in year surplus (as at December 2016)	0.353
Projected DSG balance carried forward to 2017/18	0.562

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Estimated DSG Settlement and balances In Summary

- 3.21. In Summary the total estimated Dedicated Schools Grant settlement for 2017/18 is estimated to be **£171.115m**, with an estimated carry forward from 2016/17 of **£0.562m**, as shown in the following table.

Table 7 – Total DSG Funding Estimate 2017/18		
	2016/17	2017/18
	£m	£m
Early Years	12.029	13.633
Schools Block	126.594	127.250
High Needs Block	24.884	30.233
Newly qualified teachers funding	0.043	0.000
Estimated DSG Settlement 2017/18	163.550	171.115
Estimated 2016/17 DSG Balance	0.240	0.562
Total estimated DSG for 2017/18	163.790	171.677

Members of Schools Forum are now asked to note that:

- The Early Years block 2017/18 DSG settlement will be subject to revisions by DfE in light of Early Years census information in January 2017 and 2018. The final settlement will not be confirmed until July 2018. (Para 3.4)
- Swindon expects to receive a total DSG settlement of £171.115m in 2017/18. (Para. 3.21)
- The estimated DSG balance at the end of 2016/17 is £0.562m. (Para 3.21)

Early Years Expenditure Proposals 2017/18

- 3.22. The DfE have confirmed Swindon's 2 year old DSG funding rate will be increased to £5.32 from the current £4.95 per hour, the LA therefore is proposing to pass on this higher funding rate to providers. Currently no increase for growth has been included within the budget, and therefore any variances will be monitored and reported on throughout the year.
- 3.23. The DfE have confirmed Swindon's 3 & 4 year old DSG funding rate of £2,587.38 per child (based on 570 hours of provision), in line with the recently announced Early Years funding formula. This means that the basic rate payable will be £3.86 per hour for all settings. All settings will receive a basic uplift of

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£0.14 per hour such that all places will be funded at £4.00 per hour. There will be two further levels of supplement of £0.35 per hour and £0.70 per hour that are available to those settings that provide more flexibility for parents. In addition the LA is holding deprivation funding of £140k, which will be distributed to providers to support provision for pupils in need.

- 3.24. These proposals have been subject to a consultation with Early Years providers, and seek to distribute 95% of the funding received in line with government guidance. The remaining 5% is held centrally to fund staffing costs and also includes £100k for an inclusion fund. Additionally £55k is provided by the EFA for a Disability Access Fund for children claiming Disability Living Allowance.
- 3.25. Historically there has been a fall in the autumn numbers which rises again towards the spring term and the January census. The LA receives 7/12ths of funding based on the January 2017 census and based on 2016/17 budget monitoring the LA has not assumed any growth in overall pupil numbers. Any variances will be monitored throughout the year.
- 3.26. Schools Forum members are reminded that Early Years providers are entitled to be paid for any additional pupils that join after the census date, although LA does not receive any funding for increases in pupil numbers between January and August.
- 3.27. The Local Authority has established a budget of £0.129m to support the Early Years Pupil Premium. This is a sum equivalent to the initial DSG allocation announced in the settlement.
- 3.28. The 2017/18 Early Years budgets (based on estimates of participation and the proposed funding rates) is shown in the table below.

Table 8 - 2017/18 Early Years Funding Rates and budget proposals				
	Estimated pupil places	2016/17 Rates	2017/18 Rates	2017/18 Budget £m
Disadvantaged 2 year old children · Basic hourly rate - standard per child	679	£4.95	£5.32	2.059
Total Disadvantaged 2 year olds budget (based on planned take up by approx. 679 children at 570 hours)				2.059

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3 and 4 year old children				
· Basic hourly rate - all settings	3,883	£3.85	£3.86	8.543
· Supplement rate 1	2,260	N/A	£0.14	0.180
· Supplement rate 2	520	N/A	£0.49	0.145
· Supplement rate 3	1,103	N/A	£0.84	0.528
· 30 Hours funding rate	522	N/A	£4.54	1.351
Other payments to providers				
· Deprivation payments				0.140
· Inclusion fund				0.100
· Disability Access Fund				0.055
Staffing costs				0.402
Total 3 and 4 year old budget (based on average take up by approx. 3,883 children at 570 hours)				11.446
Early Years Pupil Premium				0.129
Total 2017/18 Early Years budget proposals				13.633

- 3.29. LAs are required to provide all Early Years' settings with indicative funding allocations prior to the start of each financial year. Schools and academies will be notified as part of their main school funding allocations. SBC is required to issue maintained school budgets by 28th February 2017. Other Early Years providers will receive letters from the Early Years team advising them of their indicative 2017/18 funding by the end of February.

Members of Schools Forum are asked to:

- Agree the proposed 2017/18 Early Years funding rates as shown in Table 8, which will take effect from 1st April 2016, (Para. 3.23)
- Agree a 2017/18 Early Years budget of £13.633m as shown in Table 8. (Para. 3.23)
- Note the anticipated overall Early Years budget for 2017/18 (Para. 3.23)

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Schools Budgets 2017/18

Formula funding factors 2017/18

- 3.30. The DfE announced as part of their Schools revenue funding 2017 to 2018 - Operational guide (updated November 2016) that they were reviewing the Income Deprivation Affecting Children Index ("IDACI") data. This review has resulted in the current indices being more reflective of those that existed in 2015/16. Full details of this review and the changes that are being implemented can be found in **Appendix 2**.
- 3.31. As noted above the DfE has amended the IDACI banding for 2017/18, these changes to bandings have resulted in an additional **£0.947m** of funding being distributed via the IDACI factors. **Annex A** provides a summary of the main changes to funding, as a result of these and other funding changes it has been possible to provide a small increase (0.49%) in mainstream funding factors. The proposed new funding rates are provided below.

Table 9 - 2017/18 Primary and Secondary Schools and Academies Formula Factors				
	2016/17		Proposed 2017/18	
Factor	Primary £	Secondary £	Primary £	Secondary £
AWPU (BPPE) KS1-2	£2,725.01		£2,738.36	
AWPU (BPPE) KS3		£3,544.35		£3,561.72
AWPU (BPPE) KS4		£4,325.47		£4,346.67
Lump sum values - per school	£105,100	£172,400	£105,614.99	£173,244.76
EAL (1,2 & 3yrs)	£565.45	£689.44	£568.22	£692.82
IDACI Band F	£427.46	£427.46	£429.55	£429.55
IDACI Band E	£521.02	£521.02	£523.58	£523.58
IDACI Band D	£598.83	£598.83	£601.77	£601.77
IDACI Band C	£769.22	£769.22	£772.99	£772.99
IDACI Band B	£940.60	£940.60	£945.21	£945.21
IDACI Band A	£1,196.68	£1,196.68	£1,202.54	£1,202.54
FSM Ever 6	£852.80	£852.80	£856.98	£856.98
Lower Prior Attainment – Proxy SEN	£690.99	£984.92	£694.38	£989.75
NNDR (Business Rates) - per school	Actual cost	Actual cost	Actual cost	Actual cost
PFI Affordability Gap funding – per school	Actual cost	Actual cost	Actual cost	Actual cost

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2017/18 Budget position

- 3.32. All Head teachers were invited to a briefing session on the 9th January outlining the current position of the overall DSG for Swindon, which showed that despite an increase in the demand for High Needs places the DSG in total is balanced. The table below provides the overview of the four funding streams and the estimated expenditure associated with them.

Table 10 - DSG Block Funding v Expenditure			
Block	Estimated Settlement 2017/18 £m	Estimated Expenditure 2017/18 £m	Variance £m
Early Years	13.633	13.633	0.000
Schools	127.250	126.751	(0.498)
Former ESG Funding		0.498	0.498
High Needs	30.233	30.233	0.000
Total	171.115	171.115	0.000

- 3.33. Swindon has an increasing demand for additional high needs places, however this has been offset by a reduction in provision at some of the SRP units.
- 3.34. Where appropriate Minimum Funding Guarantee (MFG) protection has been provided to ensure no school receives a year on year reduction in funding per pupil of more than 1.5%. Due to the changes resulting from the Governments review of the IDACI bandings and potential increase in formula funding factors as noted above, only nine schools would be in receipt of MFG protection.
- 3.35. New regulations in 2015/16 required Local Authorities to fund schools and academies that have opened in the last 7 years and are still growing, on an estimated composite pupil number. This takes account of 5/12ths of the October 16 School Census and 7/12ths of an estimate of the October 2017 school census (rather than funding just on October 2016 school census as is currently the case for established schools and academies). This approach has been applied to two schools (The Croft Primary School & Tadpole Farm CE Primary Academy) and the composite pupil numbers have been built into the individual schools budget calculations.
- 3.36. Forum members are reminded that schools are not protected against the impact of falling pupil numbers and are expected to anticipate and take appropriate actions to reduce costs in these circumstances. Schools with growing pupil

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numbers between October 2015 and October 2016 censuses will receive increased funding and schools which are expanding in September 2017 in agreement with the LA will also receive in year trigger funding as described above.

Members of Schools Forum are asked to:

- Agree the new funding rates as detailed in Table 9 (Para. 3.31)
- Note that the DSG budget is balanced within its funding blocks as detailed in Table 10 (Para 3.32)

Notional SEN Funding

- 3.37. Forum members will remember that at their October 2016 meeting they agreed to amend the factors included in calculating the Notional SEN to include a proportion of AWPU funding payable to each school. The notional SEN calculation now includes all pupils funded by the LA regardless of whether they have an Education Health and Care Plan (EHCP) or not.
- 3.38. The current budget proposals contain a Notional SEN budget of £400k based on the current profile of pupils in Swindon; which is the same value as the 2016/17 budget figure.

Funding per pupil

- 3.39. **Annex B** provides the details of the 2017/18 pupil led funding calculation i.e. excluding Rates, Lump Sum and PFI allocations. These rates will be used to claw back funding during 2017/18 if pupils are excluded from schools.

De-Delegation

- 3.40. Maintained schools are reminded that their 2017/18 school budget includes funding for services that the Schools Forum has agreed to de-delegate. De-delegation is where funding is deducted from maintained schools budgets to provide services centrally.
- 3.41. At its October meeting Schools Forum members were asked to consult with colleagues to establish whether they wished to carry on with the existing de-delegation arrangements for the following services. Table 11 below illustrates which group needs to approve the de-delegations.
- 3.42. The EFA have confirmed that Schools Forum should agree funding for services from de-delegations annually.

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Table 11 – Group to agree de-delegations				
Service	SAPH	SASH	ASSSH	Value per pupil
FSM Eligibility	Yes	Yes	n/a	£4.80
Trade Union	Yes	n/a	Yes	£1.25
NCOT	Yes	n/a	Yes	£12.33

3.43. The de-delegation funding to be deducted and the services are as follows:

3.43.1. Free School Meals Eligibility Administration – there is continued support for this service from maintained schools and high levels of buyback from Academies. The service is provided by the LA Admissions team. The de-delegation value / charge in all sectors for 2017/18 will remain at £4.80 per pupil.

3.43.2. Trade Union Release time – there is continued support for this service provided by the LA Human Resources team and a high level of buy back from academies. The de-delegation value / charge per pupil in all sectors for 2017/18 will remain at £1.25 per pupil.

3.43.3. Nylands Campus Outreach Team – the October 2014 Forum agreed that this service provided by the White Horse Federation from the Nylands campus should be delegated to schools from 2016/17. The Primary AWP rate was uplifted by £12.33 per pupil. In order to provide this service centrally the charge for 2017/18 will remain at £12.33.

3.44. The amounts of funding that will be de-delegated from each maintained school will be included within their final budget package.

Education Services Grant

3.45. As noted above the Government will transfer funding previously allocated through the ESG retained duties rate of £498k to the DSG Schools Block. This is to fund the statutory responsibilities for pupils in all schools and academies, previously funded via the Education Services Grant retained duties rate, which was paid directly to LAs outside of the DSG. Details of these changes were communicated to schools in December; a copy of the letter is attached at **Appendix 3**. The LA will be able to fund central services previously funded within the retained duties rate (for all schools and academies), with the agreement of Schools Forum. The LA will be able to fund services previously funded within the general duties rate (for maintained schools only) from maintained school budgets shares with the agreement of maintained school members of the Schools Forum.

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- 3.46. Headteachers and Governors were invited to a consultation event on 9th January to explain what these changes involve and to discuss the implications for schools and the LA. Schools Forum members are now asked to consider the following de-delegations.

Duties carried out on behalf of all schools and academies

- 3.47. The statutory duties that have to be provided by the LA for pupils in all schools and academies are listed in the left hand column of table 6 in **Appendix 1**. The DSG settlement includes £498k in the DSG Schools Block allocation to contribute towards the cost of providing these services.
- 3.48. Schools Forum are requested to allow the LA to retain this sum to fund these statutory services for 2017/18.

Duties carried out on behalf of maintained schools

- 3.49. The DfE will cease the ESG retained duties funding rate from 2017/18, this was historically £77 per pupil and paid directly to LAs to fund statutory duties for maintained schools. The statutory duties that LAs will continue to have responsibility for maintained schools are listed in the right hand column of table 6 of Appendix 1. The Government had originally indicated that it expected the retained duties rate to be set at £50 to cover the year 2017/18, this was broken down into £20 for the period April to August and £30 for the period September to March, as laid out in the letter sent to schools.
- 3.50. The Government will pay a grant of £396k to provide transitional protection to LAs to fund the period from April 2017 to August 2017, this is equivalent to £27.50 per pupil. The grant is expected to contribute to the cost of services for maintained schools from April up to the end of August, after this date the LA will not receive any funding to cover the cost of these services.
- 3.51. During 2017 the LA will be reviewing the services that it provides to assess how it can continue to provide support to maintained schools following the withdrawal of ESG funding by the DfE. The LA may need to explore options around service level agreements, contractual arrangements or other forms of organisation (including establishing schools companies, staff mutual, social enterprises) to deliver services and gain contributions from schools in order to do so. There is a provision within the guidance that explains what happens should a maintained school convert to an academy during the period April to August 2017; details can be found in Table 5 in **Appendix 1**.

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- 3.52. Prior to the DfE announcement the LA wrote to schools to propose that the LA charges maintained schools £30 per pupil in mainstream schools to cover the statutory services that provides for them for the period September to March (see **Appendix 3**). This would have provided overall funding to the LA of £420k to cover the cost of the services that it provides to maintained schools. As noted above the LA will work with maintained schools to review how its services can be provide in future without any Government funding.
- 3.53. The LA has revised its proposals in the light of the better than expected settlement from the EfA and is now proposing that the charge to Maintained schools is reduced to **£22.50** (providing total funding to the LA of £50 per pupil). Further it is proposed to use local multipliers of 1.5 for both Special Schools and Alternative Provision.
- 3.54. Maintained schools representatives on Schools Forum are requested to agree to the LA charging maintained schools **£22.50** per mainstream pupil and **£33.75** to both Special Schools and Alternative Provision to contribute to the cost of statutory services that it provides for the period September 2016 – March 2017.

Table 12 - Former ESG to be retained by the LA from Maintained provisions

Former Education Services Grant - To be agreed by all maintained schools	Value per pupil per letter 16/12/16	Proposed value per pupil
Maintained Mainstream Schools	£30.00	£22.50
Maintained Special Schools	£127.50	£33.75
Maintained Alternative Provision	£112.50	£33.75

Members of the Schools Forum are asked to:

- **Note the Notional SEN funding top ups payable in 2017/18 at a total estimated cost of £0.400m. (Para. 3.37)**
- **Note Individual schools average pupil led funding is detailed in Annex B, which will be used by the LA to claw back funding for excluded pupils during 2017/18. (Para. 3.39)**
- **Agree the following de-delegations for one year from April 2017, each phase of maintained school will need to agree for their sector;**
 - **Free School Meal eligibility administration will be charged at £4.80 per pupil in 2017/18 and is supported until 31st March 2018. (Para.**

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3.43.1)

- Trade Union release time will be charged at £1.25 per pupil in 2017/18 and is supported until 31st March 2018. (Para. 3.43.2)
- Nylands Campus Outreach Team will be charged at £12.33 per primary pupil in 2017/18 and is supported until 31st March 2018. (Para. 3.43.3)
- Agree that the LA retains the £498k of DSG funding to cover the statutory duties that it provides for all schools. (Para. 3.48)
- Maintained schools forum members are asked to agree the charge of £22.50 for mainstream schools and £33.75 for special and alternative provision to cover the cost of the statutory duties that the LA provides for them for the period September to March. (Para 3.54)

Central Expenditure Proposals 2017/18

- 3.55. In recent years the LA has provided Schools Forum with a full breakdown of all centrally retained budget proposals. Under the school funding reform programme the DfE introduced various categories and the 2017/18 budget proposals below are broken down in accordance with the latest school finance regulations.

Pupil Growth

- 3.56. Under the school finance regulations the Schools Forum must agree the criteria by which pupil growth funding is allocated and the local policy and values payable next year were agreed in October. These values are shown below together with the associated 2017/18 budget requirements.
- 3.56.1. Pre-opening start-up costs for a new primary school at £100,000 plus up to £20,000 where the school has a nursery which the LA has requested – **no budget requirement in 2017/18.**
 - 3.56.2. Infrastructure set up costs at £35,000 per 1 Form of Entry primary school – **no budget requirement in 2017/18.**
 - 3.56.3. Classroom set up costs at £11,500 per primary class – budget requirement of **£46,000**, (4 classes).
 - 3.56.4. Pre-opening and infrastructure set up costs at £40,000 for a new specialist phase of provision i.e. primary, secondary, Post 16 – **no budget requirement in 2017/18.**

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- 3.56.5. Trigger funding is payable at the primary sector average of **£3,320** x 7/12ths per pupil providing a maximum of £58,097 (30 pupils) and minimum of £40,668 (21 pupils) – a budget requirement in 2017/18 of **£533,800**.
- 3.57. Where a school (other than a free school) is opening or has opened in the last 7 years, then authorities estimate the pupil numbers expected to join the school in September and fund accordingly through the schools budget, rather than through the Growth Fund, Tadpole Farm and The Croft fall into this category. Where previously the LA held funds in the Growth fund for the increase in pupil numbers at these schools, this money will now pass directly into the ISB and be paid as part of the Schools Budget Share.

Members of the Schools Forum are asked to:

- **Agree a total 2017/18 pupil growth budget of £0.580m. (Para. 3.56 – 3.57)**

Other Central Costs

- 3.58. Commentaries on the other centrally held budget proposals for 2017/18 are detailed below. The budget for centrally retained budgets are not allowed to be higher than the budget that existed in 2014/15, the exception to this is the school subscription and licences budget which increases based on the number of schools in the borough. For 2017/18 the guidance has been updated and therefore an increase in the Admissions budget no longer needs Secretary of State approval:
- 3.58.1. **Admissions** – For 2017/18 the budget proposal is **£231,100** an increase of £35,800 to reflect the increased demands on the service due to the increasing number of pupils.
- 3.58.2. **School subscriptions and licenses** - Forum members may recall that DfE arranged for a number of licenses (Performing Rights, Copyright etc.) to be nationalised and recharged to LAs in respect of all their schools and academies. In addition the LA still manages the CAPITA SIMS license for maintained schools on a traded service basis. For 2017/18 the budget proposal is **£143,900** for the National Copyright licences.
- 3.58.3. **Schools Forum administration** – This relatively minor budget is held to cover Forum meeting room hire and refreshments plus F40 subscriptions and attendance at regional and national school funding conferences. The

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budget cannot be set above the 2016/17 level of **£2,000** and therefore this is the budget proposal for 2017/18.

3.58.4. **Quality Assurance** – This budget is held to help ensure the quality of education provided by schools in Swindon. The budget proposal is **£10,000** for 2017/18.

3.58.5. **Travellers' children** – This budget is held to support the education of travellers' children whilst they are in the Swindon area. The 2017/18 budget proposal is **£2,000**.

3.58.6. **Equal pay** – There is still an amount of £500k of central equal pay liabilities to be repaid over 16 years, this is equivalent to **£31,000** per annum and therefore this is the 2017/18 budget proposal.

3.58.7. **Central overheads** – For 2016/17 the cost of central overheads charged to the DSG as agreed by Schools Forum was **£634,500**. As part of the rebasing exercise the central overheads budget was included within the High Needs Block, it is included here for consistency reasons. When the DSG was created in 2006/07 it was based upon spending decisions taken by schools forum in 2005/06, which included a budget for corporate overheads of £1.426m. This funding was for central support staff and other support costs for Early Years, High Needs, schools and other DSG funded services. Since 2006/07 SBC staff and other costs have reduced in line with reduced government funding and some services have been delegated to schools. Consequently the corporate overhead recharge is at a reduced level. Rather than apportion the overheads across all DSG retained services the LA retains a single budget.

3.58.8. A Summary of the proposed Centrally retained DSG funded budgets is shown in the following table

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

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Table 13 – Summary of Proposed 2017/18 Centrally Retained Budgets			
Other Central Budgets	2016/17	2017/18 Proposal	Variance
Admissions	£195,300	£231,100	£35,800
Schools Subscriptions and Licenses	£140,041	£143,900	£3,859
Schools Forum	£2,000	£2,000	£0
Quality Assurance	£10,000	£10,000	£0
Travellers Children	£2,000	£2,000	£0
Equal Pay	£31,000	£31,000	£0
Central Overheads	£634,500	£634,500	£0
	£1,014,841	£1,054,500	£39,659

Members of Schools Forum are asked to:

- **Agree a total budget for Centrally Retained Services of £1.054m in 2017/18 as shown in Table 13. (Para. 3.58)**

- 3.59. A summary of all mainstream school funding is provided in the table below. Please note that the central overheads are included as part of the High Needs Block budget:

Table 14 – Summary of Mainstream Schools Budgets 2017/18		
	£000's	£
Maintained Schools and Academies		
- ISB (Maintained Schools)	42,145	
- ISB (Academy Schools)	82,607	
		125,752
Central School Expenditure		
- Pupil Growth (Trigger funding)	534	
- Pupil Growth (Set-up funding)	46	
- Central Costs (per table 12)	420	
- Statutory Duties (formerly ESG)	498	
		1,498
TOTAL MAINSTREAM EXPENDITURE 2017/18		127,250

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Summary of Funding and Expenditure	
	Total position £000's
Funding received	£127,250
Expenditure	£127,250
Surplus / (deficit) in funding	£0

Members of Schools Forum are asked to:

- **Note the overall mainstream school budget for 2017/18 as shown in Table 14. NB the central overheads budget is included in the High Needs Block budget (Para. 3.59)**

High Needs Expenditure Proposals 2017/18

- 3.60. The 2016/17 High Needs funding was set at £27.477m and as reported earlier as a result of the rebasing exercise this has now been increased to £30.233m. The remainder of this report will consider each of the budgets that are included within the High Needs block, and present recommendations to Schools Forum for consideration in the run up to the 2017/18 budget settlement.

Special School and Special Resourced Provision - Place Numbers

- 3.61. The LA has anticipated a need for 769.5 places during 2017/18. Final commissioning decisions have not yet been agreed. **In total Swindon will require £7.695m of place funding in 2017/18.**
- 3.62. As well as Place funding the Local Authority will need to identify sufficient additional resource to pay for the top up funding for each place. Officers are still identifying the most appropriate provision for pupils for September, additional work will be carried out on this budget and any changes will be notified to Schools Forum in March, **It is expected that a budget of £9.220m will be required for High Need top-ups for 2017/18.**

Special Schools and Special Resourced Provision – Banding and Top Up Funding

- 3.63. The current banding model provides funding to all specialist settings on the same basis, it is proposed to retain the same banding values as 2016/17. Details of the proposed banding values are provided in the table below.

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Table 15 – Current Band and Supplement Values		
	Proposed 2017/18	
	Primary	Secondary
Band Values	£	£
Band 1	£1,668	£12,282
Band 2	£10,501	£11,054
Band 3	£7,001	£7,369
Band 4	£4,376	£4,606
Band 5	£3,500	£3,685
Band 6	£1,750	£1,842
BESD SEMH Response Assessment Class	£2,550	£2,684
Primary band values are 95% of secondary values		

Supplement Values	£	£
Manual Handling	£1,500	£1,500
Medical Needs	£1,500	£1,500
ASC – SRPs	£6,000	£6,000
ASC – Band 1 Special Schools	£1,000	£1,000
Access to mainstream inclusion	£2,000	£2,000
SEMH High	£4,275	£4,275
SEMH Medium	£3,040	£3,040
SEMH Low	£1,900	£1,900
British Sign Language	£16,750	£16,750

Special School and SRP – Therapy Supplement

- 3.64. In 2016/17 the Local Authority amended the funding to Special Schools and Special Resourced Provisions for Speech and Language, Physio and Occupational Therapy, there is no intention to revisit this arrangement as part of this budget.

Summary of special School and SRP Expenditure and Minimum Funding Guarantee (MFG)

- 3.65. The Local Authority has modelled the impact of the changes that formed part of the 2016/17 budget setting process, this exercise has identified that provision of £1k is required for Minimum Funding Guarantee protection.

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Members of Schools Forum are asked to:

- Note the place funding budget for 2017/18 of £7.695m. (Para. 3.61)
- Note the top-up funding budget for 2017/18 of £9.220m. (Para. 3.62)
- Note that the Minimum Funding Guarantee budget for specialist settings will be £1k for 2017/18. (Para. 3.65)

EOTAS Place and top up expenditure / tuition service and exclusions income

- 3.66. There is no change to the place funding requirements for the alternative provision and therefore this remains at £10k per place.
- 3.67. Due to an increase in operating costs it is proposed to increase the top up funding for the Riverside unit from the current £5,500 to **£6,250** per annum with effect from the 1st April 2017. This proposal has been ratified by the EOTAS management board which includes a number of secondary headteachers.
- 3.68. Currently each provision has 45 places available; at present there is no intention to increase this number. However should there be a significant increase in the number of temporary or permanent exclusions this position will need to be reviewed.
- 3.69. In summary EOTAS budgets for 2017/18 will be as shown in the following table. Schools Forum members will note that the overall level of funding has not changed.

Table 16 – EOTAS Budget Proposal 2017/18			
AP Establishment	Places	Rate	Total
Hospital Education	10	Fixed Grant	£191,500
Marlborough House	12	Fixed Grant	£276,800
Stratton PRU - Places	45	£10,000	£450,000
Stratton PRU - Top Up	45	£8,700	£391,500
Riverside - Places	45	£10,000	£450,000
LA Centrally Held AP Funding Total			£1,759,800
Secondary Schools have been delegated the budget to cover Riverside Top Up costs	45	£6,250	£281,250
Total Funding			£2,041,050

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- 3.70. In addition to the above budgets the Local Authority holds two further Alternative Provision budgets for the Tuition Service **£0.880m** and for Income received from exclusions **£0.335m**.

Local Authority Commissioned High Needs Services

- 3.71. None of the Commissioned High Needs services have submitted a business case for additional funding in 2017/18, therefore the LA is proposing to maintain the budgets for these services at their 2016/17 levels.

Table 17 – Local Authority Commissioned and Centrally Retained High Needs Services		
Service	2016/17	2017/18
	Allocation	Proposed Allocation
Commonweal – Physical Impairment Advisory Service	£77,100	£77,100
Even Swindon – Speech and Language	£22,200	£22,200
Millbrook – Specific Learning Difficulties Support Service	£66,900	£66,900
Nylands – Therapeutic services primary SEMH support	£87,410	£87,410
Redoaks – Education Audiologist	£77,500	£77,500
Redoaks – Hearing Impaired Support Service	£239,700	£239,700
Uplands – Autistic Spectrum Disorder	£87,000	£87,000
Uplands – Junior Autistic Spectrum Condition Support Service	£135,800	£135,800
Uplands - Visually Impaired and Assistive Technology Support Service	£240,650	£240,650
Total	£1,034,260	£1,034,260
Nylands – Outreach Service - NCOT	Delegated to Primary Schools	

Post 16 Expenditure Proposals

- 3.72. The 2017/18 financial year budget for Post 16 High Needs pupils educated within Swindon is **£3.401m**. This is made up of the Top-up funding paid to providers of **£1.875m** (2016/17 £2.0m), and the Element 2 funding of **£1.484m** for post 16 FE Colleges (historically this has been paid by the EFA directly to the FE Colleges but is now part of Swindon's DSG High Needs Block allocation, and as noted at Para. 3.19). This funding will be recouped by the EFA and paid to the FE Colleges. The LA also pays Element 1 funding of **£0.042m** for 7 post

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16 places at Swindon secondary schools for High Needs pupils that are educated as part of their school sixth forms. This funding will be recouped by the EFA and paid to the academies directly. The post 16 top-up values are shown in the table below.

Table 18 – Post 16 High Needs Top-up rates		
	2016/17	Proposed 2017/18
Band 1	£8,155	£8,155
Band 2	£7,340	£7,340
Band 3	£4,893	£4,893
Band 4	£3,058	£3,058
Band 5	£2,447	£2,447
Band 6	£1,223	£1,223
Bespoke	No change	No change

Mainstream Schools High Needs Top Up expenditure proposals

- 3.73. In 2016/17 SBC held the following budgets for mainstream schools SEN. The LA has based the top-up funding required for mainstream top-ups on the current cohort of pupils, a budget requirement of **£2.121m** has currently been identified, and details are contained in table 19 below. There is also a proposal to increase the Early Years top-up funding budget by £12k to £200k. There is no proposal to increase the Notional SEN budget in 2017/18. The proposed Mainstream Top-up rates are noted in Table 19 below.

Table 19 – Mainstream Schools High Needs Budgets			
Service	2016/17 Budget	Proposed Budget 2017/18	Variance
Notional SEN Additional School Funding	£400,000	£400,000	£0
SEN RAP Statemented Pupil Top Up	£2,279,500	£2,121,400	(£158,100)
Early Years Top Up Funding	£188,000	£200,000	£12,000
Total Top Up Funding	£2,867,500	£2,721,400	(£146,100)

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Table 20 – Mainstream High Needs Top-up rates

	2016/17 Budget	Proposed Budget 2017/18
Band 1	£8,285	£8,285
Band 2	£6,845	£6,845
Band 3	£5,405	£5,405
Band 4	£3,965	£3,965
Band 5	£2,525	£2,525
Band 6	£1,085	£1,085
Bespoke	No change	No change

External Placements

- 3.74. The LA aims to provide places for high need pupils in Swindon settings but there are a number of pupils for which external placements are agreed following consideration by the LA's Multi Agency Panel.
- 3.75. In recent years there has been a significant reduction in the number of external placements because more places have been offered by Swindon schools which, even with bespoke levels of funding, are usually at a lower cost to the LA than if they were placed externally. However during 2016/17 it has proved necessary to place more pupils in Out Of Borough provision, this has resulted in a requirement to increase the budget by £225k, and the breakdown of this is detailed below.

Table 21 – Out of Borough Education fees

Service	2016/17 Budget	Proposed Budget 2017/18	Variance
Other Local Authority (OLA) Special Schools - Pre 16	£156,600	£454,800	£298,200
OLA Special Schools - Post 16	£70,000	£7,800	(£62,200)
OLA Mainstream Schools - Pre 16	£72,800	£44,600	(£28,200)
OLA Mainstream Schools - Post 16	£0	£61,000	£61,000
Non Maintained Special Schools - Pre 16	£216,600	£280,900	£64,300
Independent Special Schools - Pre 16	£1,445,600	£1,875,000	£429,400
Non Maintained Special Schools - Post 16	£25,200	£0	(£25,200)
Independent Special Schools - Post 16	£472,100	£162,500	(£309,600)
Children's Social Care and Health Contributions	(£277,300)	(£480,000)	(£202,700)
TOTAL Out of Borough Education fees	£2,181,600	£2,406,600	£225,000

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High Needs Contingency

- 3.76. Currently the DSG supports £252k of High Needs Contingency funding. This funding acts as a release valve for all of the High Needs costs that are not place or top up costs for Special Schools or Special Resourced Provisions. In particular it covers overspends on mainstream SENRAP Statemented expenditure, External Placements and Post 16 High needs expenditure. In order to balance the High Needs element of the budget it is proposed to reduce the contingency to **£41k**.

Other Centrally Retained High Needs Budgets

- 3.77. In 2016/17 the Local Authority holds the following additional High Needs budgets. It is proposed to increase the Speech, Language and Occupational Therapy budget by £20k to cover the additional staffing required to meet the demands of statutory assessments for Education, Health and Care Plans (EHCP) and increased needs of pupils in Swindon.

Table 22 – Other High Needs Budgets			
Service	2016/17 Budget	Proposed Budget 2017/18	Variance
Saltway Portage Service	£151,700	£151,700	£0
Speech, Language and Occupational Therapy	£105,000	£125,000	£20,000
Statemented Pupils Equipment	£130,000	£130,000	£0
Central Overheads (following the rebasing exercise is now part of High Needs base funding)	£0	£634,500	£634,500
TOTAL Other SEN Budgets	£386,700	£1,041,200	£654,500

Members of the School Forum are asked to comment on the Local Authority's proposals for High Needs Budgets as described in paragraphs 3.66 to 3.77 of this report, and listed below:

- **Alternative Provision (Para. 3.66)**
- **Commissioned High Needs Services (Para. 3.71)**
- **Post 16 High Needs Budgets (Para. 3.72)**
- **Mainstream School Top Up Funding (Para. 3.73)**
- **External Placements (Para. 3.74)**
- **High Needs Contingency (Para. 3.76)**
- **Other Centrally Retained High Needs Services (Para. 3.77)**

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

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Summary of High Needs Budgets (with Year on Year Comparison)

3.78. The following table summarises the 2016/17 and proposed 2017/18 High Needs Budgets, as discussed in the preceding paragraphs.

Table 23 – Summary of High Needs Budgets 2017/18					
	2016/17		Proposed 2017/18		Variance
	£	£	£	£	£
Special Schools and Academies					
- Place Funding (Maintained Schools)	4,926,600		5,384,100		457,500
- Place Funding (Academy)	420,000		420,000		0
- Top Up Funding (Maintained and Academy)	6,393,400		6,699,700		305,600
- PFI Top Up Funding	299,700		365,000		65,300
		12,039,700		12,868,100	828,400
Special Resourced Unit (SRP)					
- Place Funding (Maintained Schools)	660,000		606,700		(53,300)
- Place Funding Academy	1,333,000		1,284,200		(48,400)
- Top Up Funding (Maintained and Academy)	2,405,900		2,521,000		115,100
		4,398,900		4,411,900	13,000
Minimum Funding Guarantee		10,300		1,000	(9,300)
Alternative Provision					
- Place Funding	900,000		900,000		0
- Top Up Funding	391,500		391,500		0
- CAMHS and Hospital Education Service	468,300		468,300		0
- Exclusions Income	(335,000)		(335,000)		0
- Tuition Service	880,800		880,800		0
		2,305,600		2,305,600	0
Other High Needs Provision					

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- Commissioned High Needs Outreach and Support Services	1,034,300		1,034,300		0
- Post 16 High Needs provided within Swindon	2,000,000		1,875,000		(125,000)
- Post 16 High Needs Element 2 funding recouped by the EFA			1,484,000		1,484,000
- Post 16 High Needs Element 1 funding recouped by the EFA			42,000		42,000
- Mainstream Top Up Funding (including equipment)	2,279,500		2,121,400		(158,100)
- Early Years Top Up Funding	188,000		200,000		12,000
- Mainstream Notional SEN Funding	400,000		400,000		0
- Out of Borough Independent and Non Maintained Special Schools	2,181,600		2,406,600		225,000
- High Needs Contingency	252,400		41,600		(210,800)
- Central Support Costs (previously part of Schools Block)			634,500		634,500
- Other High Needs Services					0
- Portage	151,700		151,700		0
- Therapy	105,000		125,000		20,000
- Statemented Pupils Equipment	130,000		130,000		0
		8,722,500		10,646,100	1,923,600
TOTAL HIGH NEEDS EXPENDITURE 2017/18		27,477,000		30,232,700	2,755,700
High Needs Funding 2017/18		24,884,100		30,232,700	
Funding Gap for High Needs provision		(2,592,900)		0	

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

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Summary of overall DSG / EFA Settlement and Proposed Expenditure 2017/18

- 3.79. Based on the proposals included in this report the overall DSG position for 2017/18 can be summarised as follows:

Table 24 - Overall DSG Funding and Expenditure 2017/18	
	£m
Total DSG and EFA Funding receivable (Section 3.22)	171.115
Early Years Expenditure (Para. 3.24)	13.633
Mainstream School Expenditure (Para. 3.32)	126.751
Former ESG Funding (Para. 3.46)	0.498
High Needs Expenditure (Para. 3.61)	30.233
Total Expenditure	171.115
DSG in balance	(0.000)

Other Funding Streams

- 3.80. In addition to receiving a delegated allocation of DSG funding from the local formula, schools and Early Years setting will receive other sources of funding which are determined by the EFA without any LA or Schools Forum influence. These are briefly described below for information.
- 3.80.1. Pupil Premium (schools) – schools have experienced stepped increases in the funding per pupil values as DfE have increased the national Pupil Premium grant allocations since its introduction in 2011/12. The LA is not anticipating any further increases and schools are reminded that their final Pupil Premium grant values will be determined by DfE based on January 2017 PLASC details. The following values are assumed for 2017/18;
- Looked After Child £1,900
 - Disadvantaged (FSM6) child (primary) £1,320
 - Disadvantaged (FSM6) child (secondary) £935
- 3.80.2. Universal Infants Free School Meals – As previously reported to the Schools Forum DfE will be providing funding for all infant meals at £2.30 per meal using the October and January censuses to determine take up levels and no increases are anticipated.

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

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3.80.3. Devolved Formula Capital – Schools will continue to receive a fixed amount per school and an amount per pupil with different values for each sector which at the time of writing have still to be announced, but we expect little change on the 2014/15 values which were:

- Primary lump sum of £4,000 plus £11.25 per pupil
- Secondary lump sum of £4,000 plus £16.88 per pupil
- Special lump sum of £4,000 plus £33.75 per pupil

4. Alternative Options

4.1. There are various alternatives on how the DSG could be allocated. The LA has a statutory duty to manage and distribute the DSG in accordance with prevailing grant conditions and the school finance regulations. Although under the school funding reform programme DfE are more prescriptive in terms of options and approaches in most cases funding values are locally determined.

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

5.1. These are fully detailed within the body of the report.

Legal and Human Rights Implications

5.2. There are no legal or human rights implications arising from this report.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

5.3. This report does not make any recommendations that affect these areas.

Links to One Swindon, Plans and Policies

5.4. Utilisation of the DSG has a direct link to two of the Council's five priorities – "Right skills, right jobs in the right place" and "Make the best use of Swindon's resources inside and outside the Council".

Diversity Impact Assessment

5.5. The proposals within this report are designed to allocate funding to all Pupils in Swindon, whilst ensuring that disadvantaged pupils, pupils with low prior attainment and / or special education needs are funded at an appropriate level in order to narrow the attainment gap between these and other pupils.

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

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Risk Management

- 5.6. There are no specific risk management implications not highlighted in the body of the report.

6. Consulters

- 6.1. The Board Director Resources (Section 151 Officer), Director of Law and Democratic Services (Monitoring Officer) and Board Director Commissioning (Director of Children Services) are consulted in respect of all reports.

7. Background Papers

- 7.1. Previous reports to the Schools Forum and documents posted on the DfE web site.

8. List of Annexes

- Appendix 1 – Schools Revenue Funding 2017 to 2018 extract – ESG
- Appendix 2 – Schools Revenue Funding 2017 to 2018 extract – IDACI
- Appendix 3 – Letter to schools regarding ESG proposals
- Annex A – IDACI & funding changes summary
- Annex B – Schools Budget 2017/18 and comparison with 2016/17

Further information on the subject of this report can be obtained from Ian Burbidge on 01793 464384 or iburbidge@swindon.gov.uk

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Schools revenue funding 2017 to 2018

Operational guide

Updated November 2016

Removal of ESG general funding rate and arrangements for schools block retention

82. In the 2015 Spending Review, we announced a saving of £600 million by removing the ESG general funding rate from 2017-18. In the first stage of the NFF consultation, we set out our intention to provide transitional funding for local authorities from April 2017 to August 2017 inclusive. The general funding rate will then be removed completely from September 2017. While the final rate has yet to be determined, we expect the transitional protection to be in the region of an annual rate of £50 per pupil, which equates to £20 per pupil for the five months from April to August. We will announce the final rate in December alongside DSG allocations and confirmation of the ESG protection rates for academies.

83. We recognise that local authorities will need to use other sources of funding to pay for education services once the general funding rate has been removed.

84. As proposed in the first stage of the national funding formula consultation, we will amend regulations to allow local authorities to retain some of their schools block funding to cover the statutory duties that they carry out for maintained schools which were previously funded through the ESG.

85. The amount to be retained by the local authority will need to be agreed by the relevant maintained schools members of the schools forum (primary, secondary, special and PRU). If the local authority and schools forum are unable to reach consensus on the amount to be retained by the local authority, the matter will need to be referred to the Secretary of State.

86. Local authorities should set a single rate per 5 to 16 year old pupils (in other words, this will be deducted from basic entitlement funding) for all mainstream maintained schools (both primary and secondary). In the interests of simplicity, adjustments to other factors will not be allowed and the rate will not include early years or post-16 pupils; the latter are in any case funded through different formulae. Local authorities may choose to establish differential rates for special schools and PRUs if the cost of fulfilling the duty is substantially different for these schools. The rate will be expressed per place rather than per pupil for special schools and PRUs⁽³⁾.

87. As with de-delegation, the amount to be held by the local authority will be determined after MFG has been applied.

⁽³⁾ The multipliers used in ESG previously were 3.75 for PRUs and 4.25 for special schools

Extract from Schools revenue funding 2017 to 2018 – Operational Guide - ESG

88. If a school converts to academy status during September 2017 to March 2018, the amount retained for that school will be recouped from the local authority's DSG for the remaining months of the financial year that the school is an academy. The academy will be reimbursed in its monthly general annual grant (GAG) payment from the point of conversion. Unlike for de-delegated services, there will be no phased transfer of funding following conversion so there will be immediate recoupment of this part of the budget. For example, if a school converts on 1 January 2018, 3/7ths of the retained amount relating to that school will be recouped. This is because the school is an academy for remaining 3 months of the financial year, and the retained DSG was allocated for 7 months (September 2017 to March 2018). This is illustrated in table 5.

Table 5: ESG and retained DSG arrangements for schools converting to academy status

Conversion date	ESG and retained DSG
On or before 1 April 2017	ESG paid direct to academy No DSG retention
2 April 2017 to 31 August 2017	ESG paid in parts to local authority and academy depending on exact conversion date All retained DSG allocated to the academy as they are converting by 31 August.
1 September 2017 to and including 31 March 2018	No ESG payable as this was removed from September 2017 EFA recoup the retained DSG from the local authority to pay the academy – the exact amount will depend on the date of conversion.

Background to ESG and ESG duties

89. Up until 2017 to 2018, ESG was made up of two rates that funded two different groups of services:

- The **retained duties rate** has gone to local authorities
- The **general duties rate** has gone to both local authorities and academies to fund services authorities provide to maintained schools but which academies must provide themselves

90. For 2017 to 2018, the general duties rate is ending and funding previously allocated through the ESG retained duties rate (£15) will be transferred into the schools block. Local authorities will be able to fund central services previously funded within the retained duties rate (for all schools), with the agreement of schools forum. They will be able to fund services previously funded within the general duties rate (for maintained schools only) from maintained school budgets shares with the agreement of maintained school members of the schools forum. The split of services between the two groups is shown at Table 6. References are to the schedules in the current schools and early years finance (England) regulations.

Table 6 Former ESG duties may be funded from centrally retained schools block funding with agreement of schools forum

Responsibilities local authorities hold for all schools (funding may be retained centrally from all schools with agreement of schools forum)	Responsibilities local authorities hold for maintained schools (funding may be retained centrally from maintained schools only with agreement of schools forum)
Statutory and Regulatory duties Director of children's services and personal staff for director (Sch. 1, 20a) Planning for the education service as a whole (Sch. 1, 20b) Revenue budget preparation, preparation of information on income and expenditure relating to education, and external audit relating to education (Sch. 1, 20d) Administration of grants (Sch. 1, 20e) Authorisation and monitoring of expenditure not met from schools' budget shares (Sch. 1, 20fi) Formulation and review of local authority schools funding formula (Sch. 1, 20g) Internal audit and other tasks related to the authority's chief finance officer's responsibilities under Section 151 of LGA 1972 except duties specifically related to maintained schools (Sch. 1, 20i) Consultation costs relating to non-staffing issues (Sch. 1, 20r) Plans involving collaboration with other LA services or public/voluntary bodies (Sch. 1, 20v) Standing Advisory Committees for Religious Education (SACREs) (Sch. 1, 24) Provision of information to or at the request of the Crown other than relating specifically to maintained schools (Sch. 1, 20w)	Statutory and Regulatory duties Functions of LA related to best value and provision of advice to governing bodies in procuring goods and services (Sch. 1, 20c) Budgeting and accounting functions relating to maintained schools (Sch. 1, 20d) Functions relating to the financing of maintained schools (Sch. 1, 20e) Authorisation and monitoring of expenditure in respect of schools which do not have delegated budgets, and related financial administration (Sch. 1, 20fii) Monitoring of compliance with requirements in relation to the scheme for financing schools and the provision of community facilities by governing bodies (Sch. 1, 20h) Internal audit and other tasks related to the authority's chief finance officer's responsibilities under Section 151 of LGA 1972 for maintained schools (Sch. 1, 20i) Functions made under Section 44 of the 2002 Act (Consistent Financial Reporting) (Sch. 1, 20j) Investigations of employees or potential employees, with or without remuneration to work at or for schools under the direct management of the headteacher or governing body (Sch. 1, 20L) Functions related to local government pensions and administration of teachers' pensions in relation to staff working at

Extract from Schools revenue funding 2017 to 2018 – Operational Guide - ESG

	<p>maintained schools under the direct management of the headteacher or governing body (Sch. 1, 20m)</p> <p>Retrospective membership of pension schemes where it would not be appropriate to expect a school to meet the cost (Sch. 1, 20n)</p> <p>HR duties, including: advice to schools on the management of staff, pay alterations, conditions of service and composition/organisation of staff (Sch. 1, 20o);</p> <p>determination of conditions of service for non-teaching staff (Sch. 1, 20p);</p> <p>appointment or dismissal of employee functions (Sch. 1, 20q)</p> <p>Consultation costs relating to staffing (Sch. 1, 20r)</p> <p>Compliance with duties under Health and Safety at Work Act (Sch. 1, 20s)</p> <p>Investigation and resolution of complaints relating to maintained schools (Sch. 1, 20t)</p> <p>Provision of information to or at the request of the Crown relating to schools (Sch. 1, 20w)</p> <p>School companies (Sch. 1, 20x)</p> <p>Functions under the Equality Act 2010 (Sch. 1, 20y)</p> <p>Establish and maintaining computer systems, including data storage (Sch. 1, 22)</p> <p>Appointment of governors and payment of governor expenses (Sch. 1, 26)</p>
<p>Education Welfare</p> <p>Functions in relation to the exclusion of pupils from schools, excluding any provision of education to excluded pupils (Sch. 1, 10c)</p> <p>School attendance (Sch. 1, 11)</p>	<p>Education Welfare</p> <p>Inspection of attendance registers (Sch1, 11)</p>

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Responsibilities regarding the employment of children (Sch. 1, 29)	
<p>Asset management</p> <p>Management of the LA's capital programme including preparation and review of an asset management plan, and negotiation and management of private finance transactions (Sch. 1, 10a)</p> <p>General landlord duties for all buildings owned by the local authority, including those leased to academies</p>	<p>Asset management</p> <p>General landlord duties for all maintained schools (Sch. 1, 10a (section 542(2) Education Act 1996; School Premises Regulations 2012) to ensure that school buildings have:</p> <ul style="list-style-type: none"> • appropriate facilities for pupils and staff (including medical and accommodation) • the ability to sustain appropriate loads • reasonable weather resistance • safe escape routes • appropriate acoustic levels • lighting, heating and ventilation which meets the required standards • adequate water supplies and drainage • playing fields of the appropriate standards <p>General health and safety duty as an employer for employees and others who may be affected (Health and Safety at Work etc. Act 1974).</p> <p>Management of the risk from asbestos in community school buildings (Control of Asbestos Regulations 2012).</p>
<p>Central support services</p> <p>No functions</p>	<p>Central support services</p> <p>Clothing grants (Sch. 1, 10e)</p> <p>Provision of tuition in music, or on other music-related activities (Sch. 1, 15)</p> <p>Visual, creative and performing arts (Sch. 1, 16)</p> <p>Outdoor education centres (but not centres mainly for the provision of organised games, swimming or athletics) (Sch. 1, 17)</p>
<p>Premature retirement and redundancy</p> <p>No functions</p>	<p>Premature retirement and redundancy</p> <p>Dismissal or premature retirement when costs cannot be charged to maintained schools (Sch. 1, 25)</p>

Extract from Schools revenue funding 2017 to 2018 – Operational Guide - ESG

Monitoring national curriculum assessment	Monitoring national curriculum assessment
No functions	Monitoring of National Curriculum assessments (Sch. 1, 23)
Therapies	Therapies
No functions	This will be covered in the high needs section of the regulations
Additional note	
<p>Services set out in the table above will also include overheads relating to these services (regulation 8(11) already refers to this for schedule 2 services) for:</p> <ul style="list-style-type: none"> • Ensuring payments are made in respect of taxation, national insurance and superannuation contributions (sch. 1, 20e). • Recruitment, training, continuing professional development, performance management and personnel management of staff (Sch. 1, 20k) • Investigations of employees or potential employees, with or without remuneration (Sch. 1, 20l) • Investigation and resolution of complaints (Sch. 1, 20t) • Legal services related to education functions (Sch. 1, 20u) 	

91. School improvement is not included in the arrangements set out in table 6. As we highlighted earlier, from 2017 to 2018 local authorities will receive a separate grant covering their statutory intervention functions and services such as monitoring and commissioning of school improvement support. This will allow local authorities to play a transitional role, as the school-led system of school improvement continues to mature and capacity in the system increases. This grant will be £50 million per full year ⁽⁴⁾, allocated to local authorities on the basis of the number of maintained schools, an area cost adjustment and top-up to ensure each local authority receives a minimum allocation of £50,000. In addition, a £140m per year “Strategic School Improvement Fund” will be provided to support school improvement and help to build school-led capacity in parts of the country where it is needed. Further information on this fund, including how to access the support, will be available shortly. Finally, schools forums can agree to de-delegate further funding for additional school improvement provision, in 2017 to 2018 (see section on de-delegated services).

92. We proposed in the first stage of the consultation to only fund local authorities’ historic commitments in 2017 to 2018 where there is evidence the commitment was entered into before 2013 and strong evidence of an ongoing commitment. EFA has conducted an exercise with local authorities reviewing their historic commitments and further guidance has been published. DfE will not be reducing authorities’ schools block funding for 2017 to 2018 as a result of this exercise, but will expect authorities to use funding in 2017 to 2018 in accordance with the regulations. Funding no longer required for historic commitments should be allocated to other aspects of the DSG.

Extract from Schools revenue funding 2017 to 2018 – Operational Guide - ESG

(4) The new grant is to cover the 7 months from September 2017 to March 2018, following on from the transitional ESG payment provided for April to August 2017 (see paragraph 82). Funding in the financial year 2017 to 2018 will therefore be provided at 7/12ths of the full year rate.

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Schools revenue funding 2017 to 2018

Operational guide

Updated November 2016

Annex 2: New IDACI bands for 2017 to 2018

The income deprivation affecting children Index (IDACI) dataset is updated every five years by the Department for Communities and Local Government. The most recent update to the dataset, which took effect in local authorities' 2016 to 2017 schools block dataset in December 2015, showed a markedly different distribution to the previous 2010 dataset. We recognise that the 2015 data update created unexpected and unhelpful turbulence in budgets, towards the latter stages of the local formula-setting process.

We have considered the concerns raised by local authorities and views expressed through the first stage national funding formula consultation, and have decided to update the IDACI banding methodology to return the IDACI bands to a roughly similar size (in terms of the proportion of pupils in each band) as in 2015 to 2016. The revised bands are named "A" to "G"; with the most deprived neighbourhoods being captured by band "A" (previously bands 6 and 5). For future data updates, we will set out plans for managing the change in data by adjusting the band boundaries more promptly.

In the draft APT to be issued to local authorities shortly, schools' IDACI data will be consistent with the new IDACI band definitions. In the final 2017 to 2018 APT issued in December, local authorities will receive October 2016 census data matched to the new IDACI bands.

The following table shows the proportion of pupils in each IDACI band in the 2015 to 2016 schools block dataset (column V) and the 2016 to 2017 schools block dataset (column W). Column Z sets out the 2016 to 2017 dataset mapped onto the new IDACI bands.

Table 8: Proportion of pupils in each IDACI band in the 2015 to 2016 and 2016 to 2017 schools block dataset mapped on to new bands

Bands used in 2015-16 and 2016-17	IDACI Score	% pupils in each band (2015-16) Based on 2010 IDACI dataset; 2016-17 IDACI bands	% pupils in each band (2016-17) Based on 2015 IDACI dataset; 2016-17 IDACI bands	New bands for 2017-18	IDACI Score	% pupils in each new band (2015 October census) Based on 2015 IDACI dataset; new IDACI bands for 2017-18
T	U	V	W	X	Y	Z
6	Between 0.60 and 1.00	3%	1%	A	Between 0.50 and 1.00	3%
5	Between 0.50 and 0.60	6%	3%	B	Between 0.40 and 0.50	8%
4	Between 0.40 and 0.50	10%	8%	C	Between 0.35 and 0.40	7%
3	Between 0.30 and 0.40	12%	14%	D	Between 0.30 and 0.35	8%
2	Between 0.25 and 0.30	7%	9%	E	Between 0.25 and 0.30	9%
1	Between 0.20 and 0.25	8%	10%	F	Between 0.20 and 0.25	10%
0	Less than 0.20	53%	56%	G	Less than 0.20	56%

The data in this table has been produced by taking the postcodes of each pupil sourced from the schools census in October, and matching them to an IDACI score, and hence IDACI band. The total number of pupils mapped onto each band is then calculated, and 48 proportions calculated.

Table 9 IDACI bandings data sources

The data sources are as follows: Schools block dataset (table column)	School census data used	IDACI data used
<ul style="list-style-type: none"> 2015 to 2016 (column V) 	<ul style="list-style-type: none"> October 2014 	<ul style="list-style-type: none"> IDACI 2010
<ul style="list-style-type: none"> 2016 to 2017 (column W) 	<ul style="list-style-type: none"> October 2015 	<ul style="list-style-type: none"> IDACI 2015
<ul style="list-style-type: none"> 2016 to 2017 mapped onto the new IDACI bands (column Z) 	<ul style="list-style-type: none"> October 2015 	<ul style="list-style-type: none"> IDACI 2015

Local authorities will wish to review the impact of the new band definitions when they receive their forthcoming draft 2017 to 2018 APT, as some schools may see shifts compared to the data authorities used to set their 2016 to 2017 budgets. We expect there to be much less turbulence when authorities receive their final APTs at the end of the year.

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Please ask for: Peter Nathan

e-mail: pnathan@swindon.gov.uk

Our Ref: PN/scm/14.12.16-011

Date: 14th December 2016

Dear Headteacher

Re: Consultation on changes to Education Services Grant (ESG) funding from 1st April 2017

The Department for Education (DfE) released new guidance on the ESG funding mechanism on the 1st December, which requires that Schools Forum need to agree that the Local Authority (LA) is able to retain the Education Services Grant (ESG) funding that will be included within the Dedicated Schools Grant (DSG) from April 2017.

Background

The Education Services Grant (ESG) for 2016/17 is paid to the Local Authority (LA) to fund the statutory and regulatory duties that rest with the LA, these duties can be broken down into two categories:

- a) Duties carried out on behalf of all schools and academies
- b) Duties carried out on behalf of maintained schools.

An extract from the **Schools Revenue Funding 2017 to 2018 - Operational guide (Updated November 2016)** is attached (Appendix 2).

The operational guide provides the changes to how the ESG operates para 82 – 88. In summary the has now been included in the LA's DSG allocation and Schools Forum will need to agree what happens to this funding.

a) Duties carried out on behalf of all schools and academies

The duties that are provided for all schools and academies are listed in the left hand column of table 6; the provisional DSG settlement has indicated that £482k has been included in the DSG allocation to cover the cost of providing these services.

Schools Forum will be requested to allow the LA to retain this sum to fund these services for 2017/18.

b) Duties carried out on behalf of maintained schools

The responsibilities that LAs hold for maintained schools are listed in the right hand column of table 6. The DfE has removed the ESG general funding rate from 2017/18. It has given an indication that LAs will receive transitional protection from April 2017 to August 2017 inclusive an annual rate in the region of £50 per pupil, which equates to £20 per pupil. This is expected to contribute to the cost of services for maintained schools from April up to the end of August after this date the LA will not receive any DSG funding to cover the cost of these services.

Maintained schools representatives on Schools Forum will be requested to allow the LA to retain the DSG funding received to fund these services for 2017/18.

Maintained schools representatives on Schools Forum will be requested to agree to the LA charging maintained schools £50 annual charge per pupil which equates to £30 per pupil, to fund the services that the LA provides for the period September 2016 – March 2017. Table 5 in the Appendix 2, shows the retained DSG arrangements for Maintained Schools converting to academy status.

All schools are requested to review the attached documents and provide views to your Schools Forum representatives so that they can vote on these proposals at the 17th January 2017 meeting.

During 2017 the LA will be reviewing the services that it provides to assess how it can continue to provide support to maintained schools following the withdrawal of funding by the DfE. The LA may need to explore options around service level agreements, contractual arrangements or other forms of organisation (including establishing schools companies, staff mutual, social enterprises) to deliver services and gain contributions from schools in order to do so.

If the LA and schools forum are unable to reach consensus on the amount of DSG funding to be retained by the LA, the matter will need to be referred to the Secretary of State.

The LA will set a single rate per 5 to 16 year old pupil (to be deducted from the basic entitlement funding) for all mainstream maintained schools. The LA may choose to establish differential rates for special schools and the PRU if the cost of fulfilling the duty is substantially different for these schools. The rate will be expressed per place rather than per pupil for special schools and PRUs. The multipliers used in the ESG previously were 3.75 for PRUs and 4.25 for Special Schools.

As with de-delegation, the amount to be held by the local authority will be determined after MFG has been applied.

School improvement funding

The government have announced that they will be creating a school improvement fund of £50 million from September 2017 to pay LA's to monitor maintained schools, and there will be an additional £140 million "Strategic School Improvement Fund" to

support all schools that need to drive up their standards. No information has been released at present about how these resources will be allocated to LAs or schools.

2017/18 Budget briefing

The LA will be holding two briefing sessions on the 9th January 2017:

- 12.00pm for headteachers and school business managers
- 6.30pm for governors / academy proprietors,

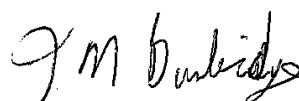
to which you are invited. Further details will be provided once the census and DSG funding settlement is announced by the DfE, which are expected to be provided to us next week. There may also be an opportunity to discuss the outcomes of the Fair Funding consultation which took place earlier in the year if published before this meeting.

If you have any queries please contact one of us or Anne Mackay Finance Manager – Education (01793 465742) and we will be happy to help.

Yours sincerely



Peter Nathan
Head of Education
Swindon Borough Council



Ian Burbidge
Head of Finance, Education

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ANNEX A - Funding Changes including impact of IDACI changes

Overall Variance	Change in NOR	Change in funding from 2016/17 £	Subtotal change in funding
KS 1&2 funding	617.00	£1,949,251	
KS 3 funding	188.92	£781,576	
KS 4 funding	(85.00)	(£282,144)	
	720.92		£2,448,683
FSM	(804.68)	£33,064	£33,064
IDACI Band 1	541.98	£15,204	
IDACI Band 2	25.70	£17,126	
IDACI Band 3	(2,378.39)	(£1,418,339)	
IDACI Band 4	1,535.38	£1,190,350	
IDACI Band 5	318.04	£303,637	
IDACI Band 6	697.67	£838,983	
	740.38		£946,960
EAL	202.24	£125,044	£125,044
Low attainment	(26.35)	£31,535	£31,535
Lump sum		£42,067	£42,067
MFG		(£782,774)	(£782,774)
Rates		£93,221	£93,221
PFI		(£38,081)	(£38,081)
		£2,899,718	£2,899,718

		2016/17	2017/18	Variance
Primary	No of Pupils KS 1 &	19,448.00	20,065.00	617.00
	KS 1 & 2	£52,996,007	£54,945,257	£1,949,251
	FSM	£3,610,818	£3,625,254	£14,436
	IDACI Band 1	£441,915	£446,998	£5,083
	IDACI Band 2	£497,696	£510,753	£13,056
	IDACI Band 3	£1,668,106	£772,263	(£895,844)
	IDACI Band 4	£462,782	£1,206,854	£744,073
	IDACI Band 5	£439,415	£583,565	£144,151
	IDACI Band 6	£0	£591,375	£591,375
	EAL	£1,211,235	£1,318,804	£107,569
	Low attainment	£2,665,267	£2,600,167	(£65,100)
		£63,993,242	£66,601,291	£2,608,049

ANNEX A - Funding Changes including impact of IDACI changes

Secondary	No of Pupils KS 3	6,258.67	6,447.59	188.92
	No of Pupils KS 4	4,120.00	4,035.00	(85.00)
	KS 3	£22,182,930	£22,964,506	£781,576
	KS 4	£17,820,952	£17,538,808	(£282,144)
	FSM	£2,195,069	£2,213,696	£18,628
	IDACI Band 1	£260,364	£270,485	£10,121
	IDACI Band 2	£251,707	£255,777	£4,070
	IDACI Band 3	£963,869	£441,373	(£522,496)
	IDACI Band 4	£253,685	£699,962	£446,277
	IDACI Band 5	£177,038	£336,524	£159,486
	IDACI Band 6	£0	£247,608	£247,608
	EAL	£260,306	£277,781	£17,476
	Low attainment	£2,307,980	£2,404,615	£96,635
		£46,673,898	£47,651,136	£977,237
All Settings	Lump sum	£8,585,000	£8,627,067	£42,067
	MFG	£1,084,961	£302,187	(£782,774)
	Rates	£1,453,044	£1,546,265	£93,221
	PFI	£1,061,712	£1,023,631	(£38,081)
		£12,184,717	£11,499,149	(£685,567)
	Total	£122,851,858	£125,751,576	£2,899,718

Annex B - Schools Budget 2017/18 and comparison with 2016/17

School Name	October 2015 Pupil Numbers	October 2016 Pupil Numbers	Variation in Pupil Numbers	2016/17 Funding Allocation	2017/18 Indicative Funding Allocation	Funding Variation
					A	
Abbey Meads Community Primary	414	449	35	£1,481,410	£1,604,370	£122,960
Beechcroft Infants	247	251	4	£960,186	£980,889	£20,704
Bishopstone School	43	47	4	£298,610	£313,386	£14,775
Bridlewood Primary School	267	267	0	£926,729	£937,695	£10,966
Brook Field County Primary	408	419	11	£1,365,560	£1,408,986	£43,426
Catherine Wayte Primary School	415	412	(3)	£1,359,512	£1,351,885	(£7,627)
Chiseldon Primary School	164	178	14	£617,380	£660,474	£43,094
Colebrook Infant Academy	130	147	17	£515,362	£563,946	£48,584
Colebrook Junior School	161	157	(4)	£616,487	£603,342	(£13,145)
Covingham Park Primary School	372	371	(1)	£1,274,918	£1,289,177	£14,259
Drove Primary School	616	590	(26)	£2,257,229	£2,138,652	(£118,577)
East Wichel Community Primary School	315	345	30	£1,187,357	£1,273,802	£86,445
Eldene Primary School	300	285	(15)	£1,256,202	£1,205,002	(£51,200)
Even Swindon Primary School	533	551	18	£2,078,619	£2,127,085	£48,467
Ferndale Primary And Nursery School	410	440	30	£1,503,951	£1,577,092	£73,140
Goddard Park Primary School	527	551	24	£2,165,062	£2,288,105	£123,043
Gorse Hill Primary	406	408	2	£1,531,889	£1,532,551	£662
Grange Infants	260	268	8	£901,114	£940,573	£39,459
Grange Junior School	366	366	0	£1,229,678	£1,240,214	£10,536
Greenmeadow School	295	288	(7)	£1,050,863	£1,038,211	(£12,652)
Haydon Wick Primary School	272	275	3	£959,634	£970,503	£10,869
Haydonleigh Primary School	526	549	23	£1,712,957	£1,819,297	£106,340
Hazelwood Academy	193	200	7	£826,461	£845,430	£18,969
Highworth Eastrop Infants	166	167	1	£602,866	£600,092	(£2,775)
Holy Cross Catholic Primary	294	286	(8)	£1,016,669	£1,029,435	£12,766
Holy Family Catholic Primary	306	312	6	£1,236,035	£1,243,831	£7,796
Holy Rood Catholic Primary Sch	248	307	59	£981,828	£1,173,574	£191,746
King William Street Ce	202	206	4	£741,671	£745,831	£4,160
Lainesmead Primary School	396	398	2	£1,589,940	£1,577,139	(£12,800)
Lawn Primary School	414	411	(3)	£1,462,339	£1,460,615	(£1,724)
Lethbridge Primary School	486	482	(4)	£1,535,208	£1,534,871	(£337)
Liden Primary School	308	331	23	£1,172,346	£1,249,248	£76,902
Millbrook Primary	309	315	6	£1,222,116	£1,231,504	£9,389
Moredon Primary & Nursery School	404	406	2	£1,669,086	£1,695,092	£26,006
Mountford Manor Primary And Nursery School	202	217	15	£935,516	£983,932	£48,416
Nythe Primary School	154	168	14	£638,843	£684,893	£46,050
Oakhurst Community Primary School	404	408	4	£1,382,445	£1,413,718	£31,273
Oaktree Primary School	330	333	3	£1,489,002	£1,504,767	£15,765
Oliver Tomkins C.E. Infant School	173	174	1	£733,603	£746,090	£12,487
Oliver Tomkins C.E. Junior	183	203	20	£784,089	£864,739	£80,650
Orchid Vale Primary School	354	376	22	£1,313,005	£1,402,057	£89,052

Annex B - Schools Budget 2017/18 and comparison with 2016/17

School Name	October 2015 Pupil Numbers	October 2016 Pupil Numbers	Variation in Pupil Numbers	2016/17 Funding Allocation	2017/18 Indicative Funding Allocation	Funding Variation
					A	
Peatmoor Community Primary	199	202	3	£699,407	£729,100	£29,693
Red Oaks Primary School	477	475	(2)	£1,780,357	£1,756,382	(£23,975)
Robert Le Kyng Primary School	405	406	1	£1,505,683	£1,505,222	(£461)
Rodbourne Cheney Primary School	257	255	(2)	£998,504	£997,918	(£586)
Ruskin Junior School	350	347	(3)	£1,332,973	£1,329,923	(£3,049)
Seven Fields Primary School	289	313	24	£1,362,522	£1,460,422	£97,899
Shaw Ridge Primary School	390	400	10	£1,369,144	£1,397,789	£28,645
South Marston C Of E Primary	104	116	12	£450,774	£484,714	£33,940
Southfield Junior School	234	235	1	£813,726	£811,240	(£2,486)
St Catherine's Primary	201	205	4	£761,966	£777,552	£15,586
St Francis C Of E	418	421	3	£1,356,139	£1,366,222	£10,083
St Leonard's Ce Primary Academy	193	202	9	£669,869	£702,591	£32,722
St Mary's Rc School	315	341	26	£1,129,280	£1,221,817	£92,537
Tadpole Farm Ce Primary Academy	135	201	66	£502,749	£708,336	£205,587
The Croft Primary School	251	322	71	£892,225	£1,099,020	£206,795
Tregoze Primary	210	207	(3)	£778,381	£765,820	(£12,561)
Wanborough Primary School	208	205	(3)	£724,326	£712,348	(£11,978)
Westlea School	304	299	(5)	£1,109,955	£1,097,432	(£12,524)
Westrop Primary	238	266	28	£842,921	£933,718	£90,797
Wroughton Infants School	249	265	16	£874,348	£912,321	£37,973
Wroughton Junior School	345	347	2	£1,161,937	£1,182,641	£20,704
Churchfields Academy	780	771	(9)	£4,156,941	£4,108,459	(£48,482)
Highworth Warneford School	921	920	(1)	£4,070,932	£4,061,842	(£9,091)
Isambard Community School	1,079	987	(92)	£5,247,639	£4,954,731	(£292,909)
Kingsdown School	1,171	1,162	(9)	£5,476,230	£5,578,432	£102,202
Nova Hreod	795	760	(35)	£4,118,969	£3,940,211	(£178,758)
St Joseph's Catholic College	1,132	1,182	50	£5,271,662	£5,510,265	£238,603
Swindon Academy	1,202	1,249	47	£5,881,494	£6,125,860	£244,366
The Commonweal School	1,089	1,135	46	£4,987,132	£5,199,119	£211,987
The Dorcan Academy	882	850	(32)	£4,365,904	£4,239,750	(£126,154)
The Lydiard Park Academy	939	1,000	61	£4,490,907	£4,738,007	£247,100
The Ridgeway School	1,017	1,086	69	£4,513,139	£4,777,479	£264,340
UTC Swindon	75	102	27	£569,941	£712,796	£142,855
Total	29,827	30,548	721	£122,851,858	£125,751,576	£2,899,718

Annex B - Schools Budget 2

School Name	School Factors (Lump Sum, Rates, PFI)	ESTIMATED Pupil Premium (Oct 16 APT FSM6 x £1,320 Pri and £935 Sec)	17/18 funding less School Factors Plus Pupil Premium	Annual Per Pupil Funding	Daily Per Pupil Funding 195 Days
	B	C	D = A - B + C		
Abbey Meads Community Primary	147,580	104,591	£1,561,380	£3,477	£17.83
Beechcroft Infants	119,787	63,045	£924,147	£3,682	£18.88
Bishopstone School	106,339	8,657	£215,703	£4,589	£23.54
Bridlewood Primary School	134,068	41,771	£845,398	£3,166	£16.24
Brook Field County Primary	138,858	64,672	£1,334,800	£3,186	£16.34
Catherine Wayte Primary School	135,505	23,818	£1,240,198	£3,010	£15.44
Chiseldon Primary School	120,344	40,666	£580,796	£3,263	£16.73
Colebrook Infant Academy	107,288	28,360	£485,017	£3,299	£16.92
Colebrook Junior School	115,336	36,646	£524,652	£3,342	£17.14
Covingham Park Primary School	125,376	66,178	£1,229,979	£3,315	£17.00
Drove Primary School	115,193	140,009	£2,163,468	£3,667	£18.80
East Wichel Community Primary School	134,881	107,895	£1,246,816	£3,614	£18.53
Eldene Primary School	133,162	150,952	£1,222,793	£4,291	£22.00
Even Swindon Primary School	172,388	155,271	£2,109,968	£3,829	£19.64
Ferndale Primary And Nursery School	110,448	120,410	£1,587,054	£3,607	£18.50
Goddard Park Primary School	111,314	291,478	£2,468,269	£4,480	£22.97
Gorse Hill Primary	112,034	154,059	£1,574,577	£3,859	£19.79
Grange Infants	129,989	36,318	£846,902	£3,160	£16.21
Grange Junior School	142,450	64,504	£1,162,268	£3,176	£16.29
Greenmeadow School	121,462	43,712	£960,460	£3,335	£17.10
Haydon Wick Primary School	111,363	68,567	£927,706	£3,373	£17.30
Haydonleigh Primary School	163,287	63,135	£1,719,145	£3,131	£16.06
Hazelwood Academy	112,273	102,892	£836,049	£4,180	£21.44
Highworth Eastrop Infants	107,736	18,591	£510,947	£3,060	£15.69
Holy Cross Catholic Primary	109,631	32,433	£952,237	£3,330	£17.07
Holy Family Catholic Primary	108,770	89,011	£1,224,071	£3,923	£20.12
Holy Rood Catholic Primary Sch	111,035	31,047	£1,093,586	£3,562	£18.27
King William Street Ce	106,757	35,041	£674,116	£3,272	£16.78
Lainesmead Primary School	123,140	163,089	£1,617,088	£4,063	£20.84
Lawn Primary School	131,946	90,312	£1,418,980	£3,453	£17.71
Lethbridge Primary School	113,135	51,267	£1,473,003	£3,056	£15.67
Liden Primary School	140,055	114,904	£1,224,097	£3,698	£18.97
Millbrook Primary	113,478	99,062	£1,217,089	£3,864	£19.81
Moredon Primary & Nursery School	265,033	143,044	£1,573,102	£3,875	£19.87
Mountford Manor Primary And Nursery School	108,547	113,754	£989,140	£4,558	£23.38
Nythe Primary School	116,151	58,167	£626,909	£3,732	£19.14
Oakhurst Community Primary School	161,850	98,277	£1,350,146	£3,309	£16.97
Oaktree Primary School	120,064	229,395	£1,614,098	£4,847	£24.86
Oliver Tomkins C.E. Infant School	105,807	64,517	£704,800	£4,051	£20.77
Oliver Tomkins C.E. Junior	113,020	108,355	£860,075	£4,237	£21.73
Orchid Vale Primary School	293,829	46,663	£1,154,890	£3,072	£15.75

Annex B - Schools Budget 2

School Name	School Factors (Lump Sum, Rates, PFI)	ESTIMATED Pupil Premium (Oct 16 APT FSM6 x £1,320 Pri and £935 Sec)	17/18 funding less School Factors Plus Pupil Premium	Annual Per Pupil Funding	Daily Per Pupil Funding 195 Days
	B	C	D = A - B + C		
Peatmoor Community Primary	110,736	72,242	£690,606	£3,419	£17.53
Red Oaks Primary School	307,179	88,679	£1,537,882	£3,238	£16.60
Robert Le Kyng Primary School	133,589	116,951	£1,488,584	£3,666	£18.80
Rodbourne Cheney Primary School	108,071	114,840	£1,004,687	£3,940	£20.20
Ruskin Junior School	112,293	147,674	£1,365,305	£3,935	£20.18
Seven Fields Primary School	115,099	223,021	£1,568,343	£5,011	£25.70
Shaw Ridge Primary School	115,770	102,326	£1,384,344	£3,461	£17.75
South Marston C Of E Primary	107,579	24,557	£401,692	£3,463	£17.76
Southfield Junior School	108,407	39,600	£742,433	£3,159	£16.20
St Catherine's Primary	108,574	24,725	£693,703	£3,384	£17.35
St Francis C Of E	112,404	37,225	£1,291,043	£3,067	£15.73
St Leonard's Ce Primary Academy	108,435	13,604	£607,761	£3,009	£15.43
St Mary's Rc School	110,503	49,776	£1,161,090	£3,405	£17.46
Tadpole Farm Ce Primary Academy	111,028	9,919	£607,226	£3,021	£15.49
The Croft Primary School	110,475	32,548	£1,021,093	£3,171	£16.26
Tregoze Primary	109,759	46,841	£702,902	£3,396	£17.41
Wanborough Primary School	123,631	16,832	£605,549	£2,954	£15.15
Westlea School	111,507	72,229	£1,058,154	£3,539	£18.15
Westrop Primary	129,972	50,993	£854,739	£3,213	£16.48
Wroughton Infants School	118,247	47,383	£841,457	£3,175	£16.28
Wroughton Junior School	125,236	96,020	£1,153,424	£3,324	£17.05
Churchfields Academy	192,243	295,202	£4,211,418	£5,462	£28.01
Highworth Warneford School	192,719	113,505	£3,982,628	£4,329	£22.20
Isambard Community School	694,315	154,527	£4,414,943	£4,473	£22.94
Kingsdown School	199,952	265,602	£5,644,082	£4,857	£24.91
Nova Hreod	490,061	186,858	£3,637,008	£4,786	£24.54
St Joseph's Catholic College	228,848	175,579	£5,456,996	£4,617	£23.68
Swindon Academy	236,729	878,174	£6,767,305	£5,418	£27.79
The Commonweal School	204,778	217,480	£5,211,820	£4,592	£23.55
The Dorcan Academy	195,293	277,795	£4,322,251	£5,085	£26.08
The Lydiard Park Academy	203,825	244,125	£4,778,308	£4,778	£24.50
The Ridgeway School	209,068	175,220	£4,743,631	£4,369	£22.41
UTC Swindon	195,593	22,588	£539,790	£5,292	£27.14
Total	£11,212,630	£7,999,170	£122,538,116		

Annex B - Schools Budget 2

School Name	Total Per Pupil Funding (excl Pupil Premium 16/17)	Total Per Pupil Funding (excl Pupil Premium 17/18)	Percentage Increase 16/17 to 17/18
Abbey Meads Community Primary	£3,578	£3,573	99.9%
Beechcroft Infants	£3,887	£3,908	100.5%
Bishopstone School	£6,944	£6,668	96.0%
Bridlewood Primary School	£3,471	£3,512	101.2%
Brook Field County Primary	£3,347	£3,363	100.5%
Catherine Wayte Primary School	£3,276	£3,281	100.2%
Chiseldon Primary School	£3,765	£3,711	98.6%
Colebrook Infant Academy	£3,964	£3,836	96.8%
Colebrook Junior School	£3,829	£3,843	100.4%
Covingham Park Primary School	£3,427	£3,475	101.4%
Drove Primary School	£3,664	£3,625	98.9%
East Wichel Community Primary School	£3,769	£3,692	98.0%
Eldene Primary School	£4,187	£4,228	101.0%
Even Swindon Primary School	£3,900	£3,860	99.0%
Ferndale Primary And Nursery School	£3,668	£3,584	97.7%
Goddard Park Primary School	£4,108	£4,153	101.1%
Gorse Hill Primary	£3,773	£3,756	99.6%
Grange Infants	£3,466	£3,510	101.3%
Grange Junior School	£3,360	£3,389	100.9%
Greenmeadow School	£3,562	£3,605	101.2%
Haydon Wick Primary School	£3,528	£3,529	100.0%
Haydonleigh Primary School	£3,257	£3,314	101.8%
Hazelwood Academy	£4,282	£4,227	98.7%
Highworth Eastrop Infants	£3,632	£3,593	98.9%
Holy Cross Catholic Primary	£3,458	£3,599	104.1%
Holy Family Catholic Primary	£4,039	£3,987	98.7%
Holy Rood Catholic Primary Sch	£3,959	£3,823	96.6%
King William Street Ce	£3,672	£3,621	98.6%
Lainesmead Primary School	£4,015	£3,963	98.7%
Lawn Primary School	£3,532	£3,554	100.6%
Lethbridge Primary School	£3,159	£3,184	100.8%
Liden Primary School	£3,806	£3,774	99.2%
Millbrook Primary	£3,955	£3,910	98.8%
Moredon Primary & Nursery School	£4,131	£4,175	101.1%
Mountford Manor Primary And Nursery School	£4,631	£4,534	97.9%
Nythe Primary School	£4,148	£4,077	98.3%
Oakhurst Community Primary School	£3,422	£3,465	101.3%
Oaktree Primary School	£4,512	£4,519	100.1%
Oliver Tomkins C.E. Infant School	£4,240	£4,288	101.1%
Oliver Tomkins C.E. Junior	£4,285	£4,260	99.4%
Orchid Vale Primary School	£3,709	£3,729	100.5%

Annex B - Schools Budget 2

School Name	Total Per Pupil Funding (excl Pupil Premium 16/17)	Total Per Pupil Funding (excl Pupil Premium 17/18)	Percentage Increase 16/17 to 17/18
Peatmoor Community Primary	£3,515	£3,609	102.7%
Red Oaks Primary School	£3,732	£3,698	99.1%
Robert Le Kyng Primary School	£3,718	£3,707	99.7%
Rodbourne Cheney Primary School	£3,885	£3,913	100.7%
Ruskin Junior School	£3,808	£3,833	100.6%
Seven Fields Primary School	£4,715	£4,666	99.0%
Shaw Ridge Primary School	£3,511	£3,494	99.5%
South Marston C Of E Primary	£4,334	£4,179	96.4%
Southfield Junior School	£3,477	£3,452	99.3%
St Catherine's Primary	£3,791	£3,793	100.1%
St Francis C Of E	£3,244	£3,245	100.0%
St Leonard's Ce Primary Academy	£3,471	£3,478	100.2%
St Mary's Rc School	£3,585	£3,583	99.9%
Tadpole Farm Ce Primary Academy	£3,724	£3,524	94.6%
The Croft Primary School	£3,555	£3,413	96.0%
Tregoze Primary	£3,707	£3,700	99.8%
Wanborough Primary School	£3,482	£3,475	99.8%
Westlea School	£3,651	£3,670	100.5%
Westrop Primary	£3,542	£3,510	99.1%
Wroughton Infants School	£3,511	£3,443	98.0%
Wroughton Junior School	£3,368	£3,408	101.2%
Churchfields Academy	£5,329	£5,329	100.0%
Highworth Warneford School	£4,420	£4,415	99.9%
Isambard Community School	£4,863	£5,020	103.2%
Kingsdown School	£4,677	£4,801	102.7%
Nova Hreod	£5,181	£5,184	100.1%
St Joseph's Catholic College	£4,657	£4,662	100.1%
Swindon Academy	£4,893	£4,905	100.2%
The Commonweal School	£4,580	£4,581	100.0%
The Dorcan Academy	£4,950	£4,988	100.8%
The Lydiard Park Academy	£4,783	£4,738	99.1%
The Ridgeway School	£4,439	£4,400	99.1%
UTC Swindon	£7,599	£6,988	92.0%
Total			