

INTERNAL AUDIT – SUMMARY OF 2017/18 INTERNAL AUDITS

SYSTEM CONTROL	MATERIALITY AND IMPACT		
	High	Medium	Low
High Standard	<ul style="list-style-type: none">Treasury managementHousing Rents <div>[Moderate risk]</div>	<ul style="list-style-type: none">Improvement grants <div>[Minimal risk]</div>	<div>[Minimal risk]</div>
Satisfactory	<ul style="list-style-type: none">Risk managementPerformance managementAsset management – valuationCivic campus – securityHousing benefitsPatch managementSpecial Educational Resources and Assessment Panel (SENRAP)Main accountingDebtorsWhitbourne HouseCreditorsPayrollHousing rentsCouncil TaxNNDR <div>[Moderate risk]</div>	<ul style="list-style-type: none">Care leaver paymentsMunicipal burials and crematoriumStartersRegistrarsFleet management <div>[Moderate risk]</div>	<div>[Minimal risk]</div>
Significant improvements required	<ul style="list-style-type: none">Emergency duty serviceHousing and ASC joint solutions programmeCorporate reportingCompliance with contract standing ordersNew Eastern VillagesPPS Waste serviceStarters and leavers asset managementGeneral Data Protection Regulations (GDPR)Adult safeguardingOracle: system control and integrityIT management <div>[Of Concern risk]</div>	<ul style="list-style-type: none">ID badgesWorkplace healthPlas PencelliSTEAMConcessionary travelLawn Primary SchoolPhonesChildren’s health visitingUse of social mediaStreet worksStaff training <div>[Moderate risk]</div>	<div>[Moderate risk]</div>
Fundamental weaknesses	<ul style="list-style-type: none">Premises Management <div>[Significant risk]</div>	<div>[Of Concern risk]</div>	<div>[Moderate risk]</div>

Non-opinion audits/reviews:

- Library evaluation
- Organised crime checklist
- Homelessness
- Voluntary Sector: Supported Employment

- National Fraud Initiative
- Managed accounts: DHI
- Nursery funding
- Modern Slavery and human trafficking

- Annual Governance Statement
- Business continuity f/up
- HB cheques
- Management of capital projects: contingencies

- Duplicate Payments
- Newton Europe
- HR support: schools
- Corporate programme - templates

- S.151 Officer responsibilities
- Debtors recovery
- Commercialisation

Grants:

- Public Health Assurance Statement

- Troubled Families (4 grant claims: 2017/18)

- Carbon Reduction Commitment

- NNDR3

- Bus subsidy

Investigations: 21 Investigations including Whistleblowing (13) **External work:** St. Joseph’s Catholic College; UTC; Bristol City Council IT