

Audit Committee

Draft Internal Audit Plan 2018/19

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Appendix 1

Corporate Governance

- *Annual Governance Statement*
- *Risk management*
- *Council governance review*
- *Compliance with contract standing orders (follow up)*
- *Swindon Programme work streams*
- *Swindon Programme governance and savings*
- *Information governance*
- *Business continuity*
- *Performance management*
- *Swindon Housing Company*

146 days

Key financial systems and grants

- *Treasury management*
- *Creditors*
- *Main accounting*
- *NNDR*
- *Payroll*
- *Asset management*
- *Carbon reduction commitment grant*
- *Troubled Families grant claims*
- *Bus subsidy operators grant*
- *Disabled facilities grant*

107 days

Contract and Commissioning:

- *Off contract spend*
- *Housing repairs and maintenance*
- *Housing capital programme (follow-up)*
- *New Eastern Villages*
- *Wichelstowe*
- *Domiciliary Care – master vendor contract*
- *Contracts and brokerage (Adults)*
- *Commissioning/mgmt. of prevention services (P/Health)*
- *Commissioning and procurement inflation process*

116 days

Adult Services and Public Health:

- *Shared Lives etc.*
- *Eclipse*
- *OK4U*
- *Newton Europe*
- *Workplace Health (follow-up)*

47 days

Children:

- *Payments (inc. Fostering, Adoption, Special Guardianship Orders and looked after children savings)*
- *Youth Offending Team*
- *Safeguarding in Education*
- *Early Help*
- *Plas Pencelli (follow-up)*
- *Schools (2)*

76 days

Resources and Growth:

- *Organisational excellence*
- *RIPA pre-inspection*
- *Law and Democratic Services – input to service review*
- *FAB Team*
- *Community engagement*
- *Intelligence and insight*
- *Diversity impact assessments*
- *Leavers process*
- *Health and Safety – premises (follow-up)*
- *LEP*
- *Commercial Assets (follow-up)*

105 days

Digital Services and Corporate Prog's:

- *General Data Protection Regulation*
- *Key ICT system controls*
- *Migration back from Capita – Data Centre*
- *Digital Strategy and capacity to deliver*
- *Management of privileged access control*
- *Corporate reporting and data management (follow-up)*
- *Swindon Programme – benefits realization; inflation process; process design*

87 days

Communities and Housing:

- *Stores, Fuel and Enterprise Works*
- *Waterside Depot – security*
- *Transport compliance*
- *Waterside Health and Safety*
- *Street lighting*
- *Members hotline – road safety, traffic management etc.*
- *Highways management inc. Code of Practice*
- *Housing allocations/green light to housing*
- *Sheltered housing*
- *Homelessness*

144 days

External work:

- *St Joseph's Catholic College*
- *Holy Cross Catholic Primary School*
- *St Nicholas Tolentine (Bristol)*
- *Bristol City Council – IT*

98 days

Total days:

	<i>Days</i>
<i>Internal Audit</i>	<i>926</i>
<i>Contingency , investigations etc.</i>	<i>110</i>
<i>Advice, assistance etc.</i>	<i>30</i>
<i>Follow-up audits</i>	<i>30</i>
<i>Total</i>	<i>1096 days</i>