

Swindon Borough Council

Audit Committee

Tuesday, 24 June 2008

Committee Room 6, Civic Offices, Swindon
(Anticipated meeting room)

At 5.30 p.m.

Conservative Councillors

Michael Dickinson
(Chair)
Mark Edwards
Mary Martin
David Sammels
Peter Stoddart

Labour Councillors

Des Moffatt (Vice-
Chair)
Steve Allsopp

Liberal Democrat Councillors

Committee Officer: Steve Jones (01793 463602)

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AGENDA

PART 1 (PUBLIC ITEMS)

1. Apologies for Absence

2. Declarations of Interest

Members are requested at the start of the meeting to declare any known interests in any matter to be considered, and are reminded that any such interest should also be declared at the start of an item or during any discussion of the matter concerned.

3. Public Question Time

See explanatory note below. Please phone the Committee Officer whose name and number appears at the top of this agenda if you need further guidance).

4. Minutes (Pages 1 - 4)

To receive the minutes of the meeting held on 22 April 2008

5. Exempt Items - Exclusion of Press and Public

Certain items are expected to include the consideration of exempt information and the Sub-Committee is, therefore, recommended to resolve "That, in accordance with Section 100A(4) of the Local Government Act 1972, the public be excluded during the discussion of the matters referred to in the items listed below, on the grounds that they involve the

likely disclosure of exempt information, as defined in the respective paragraph of Part 1 of Schedule 12A of the Act".

<u>Item No.</u>	<u>Paragraph No.</u>
13	3
14 - 16	7

- 6. Statement of Accounts 2007/08 (DF-CE) (Pages 5 - 94)**
- 7. External Audit: Audit and Inspection Plan (GDBT) (Pages 95 - 126)**
- 8. External Audit: Progress Report (HIA-CE) (Pages 127 - 134)**
- 9. External Audit: Review of Internal Audit (CE) (Pages 135 - 148)**
- 10. Potential role for independent members on the Audit Committee (GDBT) (Pages 149 - 154)**
- 11. Audit Committee: Annual Report for the year 2007/08 (HIA-CE) (Pages 155 - 158)**
- 12. Annual Governance Statement (HIA-CE) (Pages 159 - 174)**

PART 2 (TO BE CONSIDERED WITHOUT THE PRESS AND PUBLIC PRESENT)

- 13. Risk Management Status Update (DPPC-B) (Pages 175 - 184)**
- 14. Internal Audit's Annual Report for the year 2007/08 (HIA-CE) (Pages 185 - 208)**
- 15. Internal audit reports identifying significant risk or "of concern"**
(Further to Minute 63 2007/8, to receive an oral update on action taken in response to the findings and recommendations of the internal audit review of Contracting of Works)
- 16. Head of Internal Audit Update (HIA-CE) (Pages 209 - 218)**

Date of Despatch: 17 June 2008

Key:

CE	-	Chief Executive
GDC	-	Group Director: Children
GDHSC	-	Group Director: Housing & Social Care
GDER	-	Group Director: Environment & Regeneration
GDBT	-	Group Director: Business Transformation
DF - B	-	Director of Finance
HIA-CE	-	Head of Internal Audit

Public Question Time - Swindon Borough Council is committed to increasing its accountability to the public and to promoting active citizenship. Up to 15 minutes will be allowed at the start of all Council meetings for questions to the Chair from members of the public about the work of the Committee (except for confidential matters and specific planning applications). Questions must be relevant, clear and

concise. Because of time constraints Public Question Time is not an opportunity to make speeches or statements. Prior notice of a question to the Director of Law and Democratic Services is desirable - particularly if detailed background information is needed.

Access Arrangements – *The Venue is wheelchair accessible and an infrared receiver hearing system is provided. If you would wish to attend the meeting but have any special requirement to enable you to do so please contact the Committee Clerk above, as soon as possible prior to the date of the meeting.*

If you would like to receive any of the pages contained in this agenda in a larger print size please contact the Committee Officer whose name appears on the first page of this agenda.

The Audit Committee - Terms of Reference

(the complete terms of reference and programme of activity for the Audit Committee are set out in Minute 61 of the meeting of the Audit Sub-Committee held on 25 January 2006)

To provide independent assurance to the Council on the following responsibilities:

- (i) the effectiveness of the Council's risk management, internal control and its overall assurance framework;
- (ii) the effectiveness of the Council's financial and non-financial performance to the extent that it might impact upon (i) above;
- (iii) the performance of the Council's Internal Audit section;
- (iv) the receipt and review of External Audit reports and liaison with external auditors on significant matters identified, and
- (v) advise upon and/or review the effectiveness of any other matters referred to the Audit Committee by the Cabinet, the Overview and Scrutiny and Regulatory Committees.