

Swindon Borough Council

Schools Forum

Tuesday, 7 October 2014

Committee Room 6, Civic Offices at 4.00 p.m.

School Members:

Steve Colledge, Chair - Academy Headteacher
Sharon Kirwan, Secondary Academy Headteacher
Wendy Conaghan, Academy Headteacher
Sue Banks, Secondary Headteacher
Alison Lowe, Primary Headteacher
Janet Urban, Primary Headteacher
Rhian Cockwell, Primary Headteacher
Andrew Henstridge, Primary Headteacher
Lauren Connor, Academy Headteacher
Jackie Smith, Special Headteacher
Ray Williams, Primary Governor
David Bell, Secondary Governor
Charles Law, Special Governor
Ben Slater, EOTAS

Non-School Members:

Andrew Wild, Diocese - Bristol
Ruth Lee, Diocese of Clifton
Peter Smith, Trade Unions
Debbie Waldron, Early Years Representative
Cathy Parker, Early Years Representative (Deputy)
Andrew Miller, 16-19 Partnership
Graham Taylor, 16-19 Partnership
Ram Thiagarajah, BME

Observer Status

Beverley Pennekett, Education Funding Agency

Committee Officer: Rita Glen-Gallo

Telephone: 01793 463611 Email: rglen-gallo@swindon.gov.uk

Swindon Borough Council can be contacted at the Civic Offices, Euclid Street,
Swindon, SN1 2JH (Telephone 01793 445500)

AGENDA

1. Apologies for Absence

2. **Minutes of Previous Meeting** (Pages 1 - 6)
To receive the minutes of the meeting held on 8th July 2014.
3. **Forum Membership Update** (Pages 7 - 16)
4. **2014-15 Dedicated Schools Grant Budget Position** (Pages 17 - 28)
5. **2014-15 Dedicated Schools Grant General Updates** (Pages 29 - 36)
6. **Post 16 High Needs Funding Update** (Pages 37 - 52)
7. **2015-16 DSG Update and Budget Proposals** (Pages 53 - 70)

Date of Despatch: 01 October 2014

Public Question Time - Swindon Borough Council remains committed to increasing its accountability to the public and to promoting active citizenship. 15 minutes will be allowed at the start of all Council meetings for questions to the Chair from the public about the work of the Committee (except for confidential matters, and matters relating to planning and licensing applications). We will give priority to those who submit questions in writing at least two days before the meeting. Questions must be relevant, clear, and concise. You may not use Public Question Time as an opportunity to make speeches or statements.

Questions in writing should be sent to the Committee Officer whose contact details appear on the agenda above, or to the Director of Law and Democratic Services. We will publish it, along with the answer, alongside the Minutes. The process associated with asking a public question is set out in the "Public Question Time at Council Meetings Protocol and Guidance" available on the Council's Website (<http://ww5.swindon.gov.uk/moderngov/ecCatDisplay.aspx?sch=doc&cat=13338&path=0>) or from the Committee Officer named above.

Access Arrangements – The Venue is wheelchair accessible and an infrared receiver hearing system is provided. If you would wish to attend the meeting but have any special requirement to enable you to do so please contact the Committee Clerk above, as soon as possible prior to the date of the meeting.

If you would like to receive any of the pages contained in this agenda in a larger print size please contact the Committee Officer whose name appears on the first page of this agenda.

SCHOOLS FORUM

TUESDAY, 8 JULY 2014

PRESENT: -

School Members:

Steve Colledge, Chair - Academy Headteacher
Paul Boyles, Vice-Chair - Academy Governor
Janet Urban, Primary Headteacher
Rhian Cockwell, Primary Headteacher
Alison Lowe, Primary Headteacher
David Easter, Primary Governor
Ray Williams, Primary Governor
Rachael Matthey, Secondary Headteacher
Jackie Smith, Special Headteacher
Joanne Brown, Special Governor
Richard Marshall, PRU
Nick Capstick, Academy Headteacher
Lauren Connor, Academy Headteacher
Wendy Conaghan, Academy Headteacher
Lynne Fletcher, Academy Finance
Andy Hazelton, Academy Governor

Non-School Members:

Andrew Wild, Diocese - Bristol
Ruth Lee, Diocese of Clifton
Peter Smith, Trade Unions
Debbie Waldron, Early Years Representative
Cathy Parker, Early Years Representative (Deputy)
Andrew Miller, 16-19 Partnership
Graham Taylor, 16-19 Partnership
Ram Thiagarajah, BME

Apologies for absence were received from Andrew Henstridge (Primary Headteacher).

In view of the size of the agenda the Chair advised the Forum that, as reports 4,5,6 and 8 were essentially for information, he had asked officers to be brief in their presentation of these items so that due time could be devoted to items 3,7,9, 10 and 11 which contained key decisions.

1. Minutes of Previous Meeting

Resolved: That the minutes of the meeting held on 11th March 2014 be confirmed and signed as a correct record.

2. Schools Forum Membership

The Head of Economy and Skills submitted a report setting out the mechanisms to be undertaken to elect members to the Schools Forum with effect from September 2014. During discussions on this matter Mr Bradley confirmed that Schools Forum representatives could be re-elected.

Resolved – That the Schools Forum agrees:

- (1) The election mechanism as set out in the report for future Schools Forum representation.
- (2) A timescale to implement the mechanism referred to in (1) above by October 2014.
- (3) The revised Terms of Reference for the Schools Forum.

3. Final Dedicated Schools Grant Outturn 2013-14

Steve Haley, Head of Finance (Education and Innovation) submitted a report concerning the final 2013/14 Dedicated Schools Grant (DSG) outturn position following closure of the Local Authorities 2013/14 final accounts.

The report provided information on the final spend against the 2013/14 centrally retained budget including commentaries on those areas of the budget where forecast figures proved to be inaccurate.

Resolved – That the Schools Forum notes that:

- (1) The final outturn on the 2013/14 centrally retained DSG budget was an underspend of £1.031m.
- (2) After taking account of the brought forward balance, in-year underspend and allocations of funding previously agreed by the Schools Forum the total DSG balance available for redistribution as at 31st March 2014 was £2.470m.
- (3) After taking account of agreed commitments the uncommitted balance is currently £1.413m.

4. Actual 2013-14 Year End School Balances

Steve Haley, Head of Finance (Education and Innovation) submitted a report advising the Forum on final 31st March 2014 school balances compared with the estimated position as forecast by schools in December 2013.

Resolved – That the Schools Forum notes that:

- (1) Just over half, 22 of 43 (51%) of schools final year end reported balances were close to the estimates provided in December as measured by the thresholds agreed by Schools Forum.
- (2) 11 of 43 (26%) of schools were reasonably accurate in forecasting their year end balances.
- (3) Only 10 of 43 (23%) schools have reported significant variances between their final and December estimates of their projected year end balances
- (4) Final school balances (adjusted to exclude in-year Academy conversions) were £5.5m which is 42% higher, than the December estimates of £3.9million.
- (5) Whilst 4 schools started the year in minor deficit positions no maintained schools were in deficit at the 31st March 2014.

The Local Authority will present further information on the planned use of school balances to the October 2014 meeting of this Forum.

5. Schools Financial Value Standard return 2013-14

The Head of Finance (Education and Innovation) submitted a brief report advising the Forum of the position following the submission of the Schools Financial Value Standard (SFVS) self-assessments as required by the DfE for 2013/14.

Resolved – That this Forum notes that:

- (1) The Local Authority (LA)'s Chief Finance Officer has submitted the assurance statement to the DfE confirming that all relevant Swindon schools completed an SFVS review in accordance with the statutory deadline.
- (2) The LA is pleased to confirm that initial assessments are that the majority of schools have completed the returns to the levels required.
- (3) The LA will be reviewing each SFVS response over the next few weeks in detail and may contact individual schools to verify evidence to support their assessments. Key findings will be reported to the Schools Forum in October.

6. 2014-15 Dedicated Schools Grant General Updates

The Head of Finance (Education and Innovation) submitted a report providing the Forum with updates relating to 2014/15 including the inclusion of all Swindon Academy pupils in our DSG, the outcomes of the 2014/15 High Needs places return, the latest 2014/15 DSG settlement, proposals regarding the Redoaks Learning Difficulties SRP, the latest position regarding equal pay and a proposed change to the pupil growth policy.

Resolved – That the Schools Forum:

- (1) Notes the latest position regarding the implications of Swindon Academy pupils being included in our schools block DSG and funded from the local formula.
- (2) Notes the latest position regarding the Councils 2014/15 High Needs Block DSG funding which is that £24.283m is available. and that of this sum £2.022m is being earmarked to cover the costs of the Councils new post 16 funding responsibilities.
- (3) Notes that the latest estimate of Swindon's overall 2014/15 DSG settlement is £149.083m.
- (4) Confirms support for the Redoaks LDD SRP to increase from 6 to 10 places in September 2014 and agree that set up costs of £29,300 are met from the DSG balance.
- (5) Notes the recent equal pay settlements of which approximately £476,400 will need to be met from the 2014/15 centrally retained budget.
- (6) Supports the principle of using some of the DSG balance to pay off the centrally retained liability subject to further detailed proposals.
- (7) Supports a change to the pupil growth policy to provide up to £120,000 of pre-opening start-up funding where a new primary school is opening with a nursery and note that Tadpole Farm School will require considerable pupil growth funding from 2015/16 onwards.

7. Universal Infant Free School Meals

The Head of Commissioning, Economy and Attainment submitted a report updating the Forum on the allocation of capital funds to increase capacity for schools to meet the new requirement to offer every reception, year 1 and year 2 child a free hot lunch.

Resolved – That this Forum notes:

(1) that following the receipt of bids from schools the LA has fully allocated all the DfE capital grant allocated and that schools have been asked to contribute 5% towards their costs.

(2) the estimates of additional funding arising from the UIFSM initiative and arrangements on how revenue funding will operate.

(3) the steps proposed to mitigate against schools losing Pupil Premium and other funding arising from the introduction of universal free meals for infant pupils.

(4) that based on a DfE readiness questionnaire Swindon schools are on track to successfully implement the UIFSM entitlement.

8. 2014-15 Two Year Old Early Education Places

The Head of Economy and Skills submitted a report updating the Forum on the plans to meet the DfE 2014/15 target of achieving participation in nursery education by the 40% most disadvantaged 2 year olds across the Borough by March 2015.

Resolved – (1) That this Forum agrees the use of £9k to increase marketing in 2014-15, as defined in paragraphs 3.7 to 3.11 of the report in order to further promote additional places and raise participation towards the DfE 2014/15 target.

(2) Agrees to spend up to £470k on capital projects as defined in Table 1 of the report by March 2015 in order to meet the DfE targets for provision for 2 year olds in 2014/15.

9. Post 16 post schools high needs funding proposals 2014-15

The Head of Finance (Education and Innovation) submitted a report updating the Forum on the projected outturn position for the 2013/14 academic year relating to the LA's funding of education provision for post 16 (excluding school sixth forms) High Needs students. The report also included information on the level of funding available and proposed indicative funding allocations to providers for the 2014/15 academic year. The report highlighted the uncertainty relating to this funding.

Resolved – That this Forum:

(1) Notes that the latest projected 2013/14 academic year costs arising from the LA's new funding responsibilities for post 16 High Need students are estimated at £2.902m which is £0.612m lower than the enhanced budget agreed by Schools Forum in July 2013.

(2) Notes the latest position regarding the 2014/15 academic year with regard to funding available and that:

(a) The LA's latest estimate is that a total of 260.4 FTE post 16 (excluding school sixth form) high needs students will need to be supported financially.

(b) The estimated costs of 47.4 FTE placements at ISPs is £1.088m

(c) 21 unplanned places will require element 2 funding of £0.126m to be paid to providers.

(d) The LA is proposing to introduce banding based funding for FE colleges and training providers and agree the proposed band and supplement values – subject to uplift should the EFA agree any additional funding.

(e) The LA proposals regarding indicative allocations to FE colleges and training providers total £0.809m for an estimated 213 students of which 36 have yet to enrol.

10. 2015-16 DSG Prospects and School Funding Changes

The Head of Finance (Education and Innovation) submitted a report providing the Forum with an update on DSG prospects for 2015/16 and potential changes to school funding which will need to be consulted on over the next few months in preparation for next year's budget setting process.

Resolved – That this Forum:

(1) Notes the key issues arising from recent school funding reform announcements and that Swindon is expected to benefit from an increase to its Schools' Block DSG allocation in 2015/16 of approximately £2.9m (2.5%)

(2) Agrees that the additional Schools Block DSG receivable in 2015/16 is allocated across factors in the local formula for mainstream schools.

(3) Notes the options identified by the LA on how funding could be allocated and agree that this should be entirely via an increase to BPPE funding. (The Forum did not support any review of the primary or secondary lump sum values).

(5) Asks Primary Sector Schools' Forum representatives to give their views of the likelihood that maintained primary schools and primary academies would wish to support continued LA commissioning of the primary behaviour support service provided by the Nylands Campus Outreach Team at the October Schools Forum.

(6) Asks All Schools' Forum representatives to give their views at the October Forum meeting on the likelihood that relevant schools and academies would wish to support continued LA commissioning of the:

- Uplands Assistive Technology Service
- Millbrook Specific Learning Difficulties Support Service

(7) Agrees the proposed 2014 work plan for the High Needs Task Group in order that any required changes can be consulted on and agreed prior to 2015/16 budget setting for specialist settings.

(8) Notes that the DfE has announced a new Early Years Pupil Premium for disadvantaged 3 and 4 year olds valued at £50m nationally which will be allocated to LAs in 2015/16.

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Forum Membership Update

Schools Forum

Date: 7th October 2014

Authors: Head of Economy and Skills

Wards: All

Locality Affected: All

Parishes Affected: All

1. Purpose and Reasons

- 1.1. To update the Schools' Forum on adopted changes to the Forum's Membership and Terms of Reference.

2. Recommendations

The Schools Forum is asked to:

- 2.1. Note and approve the changes to the Schools Forum Membership set out in Appendix 1.
- 2.2. Note the revised Terms of Reference agreed on 8th July 2014 and set out in Appendix 2.

3. Detail

- 3.1. Proposed changes to the Schools Forum Membership and Constitution were considered at the Schools Forum meetings held on 21st January (Minute 14, 2013/14 refers) and 8th July 2014 (Minute 2, 2014/15 refers).
- 3.2. At these meetings, Members:
- Noted the content of the operational and good practice guidance for 2014-15 issued by the Education Funding Agency.
 - Agreed that a working group comprising of School Forum members work together with Local Authority officers to review the good practice guidance. This Working Group met and subsequently their findings were incorporated in a report of the Forum's meeting in July 2014.
 - Agreed the election mechanism and timescales.
 - Agreed the revised Terms of Reference (Appendix 2).
- 3.3. This report has been submitted to enable the Forum to be aware of its revised membership structure and terms of reference as agreed at the previous meetings. The Schools Forum Membership for 2014/15 is set out in Appendix 1 and the revised Terms of Reference is attached as Appendix 2.

Forum Membership Update

Schools Forum

Date: 7th October 2014

4. Alternative Options

- 4.1. In light of Maintained Schools converting to Academy Status, there is a need to review the membership of the Schools Forum and therefore, no other options have been considered.

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 5.1. There are no specific implications relating to this report.

Legal and Human Rights Implications

- 5.2. There are no specific implications relating to this report.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 5.3. There are no such direct implications.

Diversity Impact Assessment (DIA)

- 5.4. The report reflects changes in the diversity of schools in Swindon.

6. Consultees

- 6.1. The Board Director, Resources (Section 151 Officer) and Director of Law and Democratic Services (Monitoring Officer) are consulted on all reports.

7. Background Papers and Appendices

Appendix 1 – Schools Forum Membership
Appendix 2 – Terms of Reference

School Forum Membership (September 2014)

Membership Group	Name	Term of office (4 years HT, 3 years Governors)
1 PRU Headteacher/SLT	Ben Slater	Sept 2014- Aug 2018
1 Special School Headteacher/SLT	Jackie Smith	Sept 2014- Aug 2018
1 Secondary Headteacher/SLT (LA maintained)	Sue Banks	Sept 2014 – Aug 2018
3 Primary Headteachers/SLT (LA maintained)	Janet Urban	Sept 2014- Aug 2018
	Alison Lowe	Sept 2014- Aug 2018
	Rhian Cockwell	Sept 2014- Aug 2018
3 Secondary Academy Headteachers/SLT	Steve Colledge	Sept 2014- Aug 2018
	Wendy Conaghan	Sept 2014- Aug 2018
	Sharon Kirwan	Sept 2014- Aug 2018
3 Primary Academy Headteachers /SLT	Lauren Connor	Sept 2014- Aug 2018
	Andrew Henstridge	Sept 2014- Aug 2018
	VACANCY	

Membership Group	Name	Term of office (4 years HT, 3 years Governors)
3 Governors	Ray Williams (Beachcroft Infants)	Sept 2014- Aug 2017
	Charles Law (Chalet)	Sept 2014- Aug 2017
	David Bell (Dorcan)	Sept 2014- Aug 2017
Diocesan – Clifton Catholic	Ruth Lee (St Catherine's RC Primary School)	Sept 2014- Aug 2017
Diocesan – Bristol CE	Andrew Wild	Sept 2014- Aug 2017
Trade Union representative	Peter Smith	Sept 2014- Aug 2017
Early Years representative	Debbie Waldron / Cathy Parker	Sept 2014- Aug 2017
16 – 19 Partnership	Graham Taylor / Andrew Miller (alternating)	Sept 2014- Aug 2017
BME representative	Ram Thiagarajah	Sept 2014- Aug 2017
Observer – EFA	Bev Pennekett	N/A

Swindon Borough Council
Children's Services

Schools Forum

Terms of Reference

Section 1	Regulations	Page 2
Section 2	Functions	Page 2
Section 3	Membership	Page 2
Section 4	Period of membership	Page 3
Section 5	Substitutes	Page 3
Section 6	Election of schools members	Page 3
Section 7	Quorum	Page 4
Section 8	Declaration of interest and conduct	Page 4
Section 9	Voting arrangements	Page 4
Section 10	Conduct at meetings	Page 5
Section 11	Public Access	Page 5

1. Regulations

Section 43 of the 2002 Education Act required Local Authorities to establish a Schools Forum to represent its schools. Subsequent regulations have extended and amended the original Act. As at 1st October 2013, the current regulations are Statutory Instrument 2012 No. 2261 Education England: "The Schools Forums (England) Regulations 2012". Guidance issued by the Department for Education available at:

<http://www.education.gov.uk/schools/adminandfinance/financialmanagement/schoolsreviewfunding/schoolsforums/a0070290/guidance-for-schools-forums-on-their-role>

2. Functions

The Functions of the Schools Forum are set out in Appendix 1.

3. Membership

School Members (15)

Maintained and Secondary School

- 1 Head of Pupil Referral Unit (PRU) or senior member of staff
- 1 Head of Special School, Headteacher or senior member of staff
- 1 Secondary Headteacher or senior member of staff
- 3 Primary Headteachers or senior members of staff

Academies

- 3 Secondary Headteachers or senior members of staff (may include the UTC or a Free School)
- 3 Primary Headteachers or senior members of staff

Governors

- 3 Governors (1 primary, 1 special and 1 secondary)

Non School Members (5)

- 1 Representative of the Roman Catholic and Anglican Dioceses
- 1 Early Years Representative
- 1 Representative of the 16-19 Institutions
- 1 Representative from the Black and Minority Ethnic Community
- 1 Representative of the Teachers' Trade Unions

Observers

- 1 Education Funding Agency

Other attendees permitted to contribute

The Director of Children's Services
 Officers providing financial & technical advice to the Schools' Forum
 The Cabinet Member for Children's Services
 Presenters (restricted to the paper they are presenting)
 The Cabinet Member with responsibility for Resources

4. Period of membershipSchool Members

Governors	3 years
Headteachers	4 years

Non-School Members

All Members	3 years
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- The varying lengths of membership terms are designated to ensure that continuity of experience is maintained within the Forum.
- Where a vacancy arises, the Authority shall appoint a replacement elected by the members of the relevant group or sub-group nominated in accordance with the set procedure for such nominations.
- In addition to a term of office coming to an end, a schools' member ceases to be a member of the Schools Forum if he/she resigns from the Forum or no longer occupies the office that he/ she was nominated to represent.

5. Substitutes

No substitutes are allowed.

6. Election of schools members

Members will be elected through the following process:

Election of Chair and Vice Chair

- The Chair of the Forum will be drawn from schools members only. He/She will be elected by a simple majority vote of members at a quorate meeting. The Vice Chair will be elected by the same process.
- Election for Chair will be held on an annual basis. The next election is to be held at the first meeting in the financial year 2014-15.
- In the absence of the Chair and Vice Chair at any quorate meeting, the members will elect a chair for the meeting, drawn from the whole membership present.

Schools and Academies Members

Each representative group will be responsible for the method by which they elect and nominate representatives and should take full account of the Department for Education guidance upon the election and nomination of school representatives.

Governors

Nominations will be sought for governor members when a vacancy arises through the Governor Services Team of the Leisure, Libraries, Traded Services and Culture Department.

7. Quorum

The Forum shall be quorate if at least 40% of total membership is present at the meeting. If a meeting is inquorate, it may proceed but it cannot legally make decisions. An inquorate meeting can respond to the local authority's consultation and give views to the authority. It would normally be good practice for the authority to take account of such "unofficial" views but it is not legally obliged to do so. An inquorate meeting would have to be reconvened for decisions to be legally taken.

8. Declaration of interest and conduct

- In carrying out their functions, members of the Forum shall act in accordance with the seven principles of public life set out in the The Code of Conduct drawn up by the Committee on Standards in Public Life (CSPL) in 1995 and revised in 2013: integrity, objectivity, accountability, openness, honesty and leadership.
- At each meeting, members of the Forum shall declare an interest in any proposals, which directly affect a school at which they are a governor or headteacher or which their children attend or in which they have a pecuniary interest.
- Forum members must declare an interest where relevant, for example, when discussing the letting of service contracts.

9. Voting arrangements

The voting arrangements shall be as follows:

- (a) Voting on the funding formulae will be restricted to school members and the Early Years Provider.
- (b) Voting on items which are subject to de-delegation will be restricted to the relevant maintained school members.
- (c) Any other question to be decided at a meeting of the Forum shall be determined by a majority of the votes of members present. The Chair will not vote unless there is a need for a casting vote.

10. Conduct of meetings

- Consultation on final changes to the formula will take place within a given period of the start of the financial year. Otherwise, meetings will take place a minimum of three times a year.
- Extraordinary meetings may be called at the request of Council Officers and with the agreement of the Chair.
- Meetings will be held at a time of day and at an appropriate location to allow full participation by members – 4.00 pm in the Civic Offices – or otherwise notified to Forum members ten days before each regular meeting.
- These arrangements may be changed by a quorate meeting of the Forum.
- Working groups or sub-groups of the Forum will be constituted as necessary to discuss specific issues and to produce draft advice for the Forum to consider. The Forum will agree the terms of reference of such groups and specify membership arrangements.
- Sub-groups of the Forum will consider policy and make recommendations to the Forum but will not have delegated decision-making powers.
- Sub-groups will be required to report to a full (quorate) meeting of the Forum for approval of findings before such findings are published or formally passed to the Local Authority.
- Meetings of the Schools Forum shall be open and notes of the meetings will be available to the public. This will apply to sub-groups.
- Forum members must declare an interest where relevant, for example, when discussing the letting of service contracts.
- The Forum shall inform the Governing Bodies of all schools of the results of these consultations within 14 days of the date of the consultation.
- Reports on the action taken by the Local Authority in response to Forum advice will be given by the Director of Children's Services or his or her representative as necessary.
- Feedback from Governing Bodies on the value of the Forum and the appropriateness of its response to consultations will be requested at regular intervals of 12 months

11. Public access

- Papers, agendas and minutes of the Forum will be publically available through the Council's website.

- Public questions may be asked on the day or sent to the Clerk before the meeting. A written answer will be provided if questions are received 3 clear working days before the date of the meeting. The Chairman will advise timescale for any questions asked on the day of the meeting or received less than 3 working days before the meeting.
- Proceedings and meetings of the Forum shall be open to the public except in limited circumstances as decided by the Forum.

2014-15 Dedicated Schools Grant Budget Position

Schools Forum

Date: 7th October 2014

Author:	Head of Finance (Education and Innovation)
Wards:	All
Locality Affected:	All
Parishes Affected:	All

1. Purpose and Reasons

- 1.1 To advise Schools Forum members of the latest projected 2014-15 DSG retained budget position.
- 1.2 The LA is required to report the position on the DSG budget to the Schools Forum throughout the year and at the financial year end. This report provides Forum Members with the projected year end position against the 2014-15 retained budgets based upon available information at the end of August 2014.
- 1.3 The retained DSG budget is monitored in accordance with standard Swindon Borough Council (SBC) budgetary control procedures and a report is submitted to the Economy and Attainment Leadership Team each month. Updates are also periodically provided to Corporate Board, Cabinet and the Children and Young Peoples Overview and Scrutiny Committee.

2. Recommendations

The Schools Forum is recommended to:

- 2.1 Note that the latest projection on the 2014-15 retained budget is an overspend of £0.066m

3. Detail

Latest 2014-15 Retained Budget Position

- 3.1 At the 11th March 2014 Schools Forum meeting the overall value of the 2014/15 centrally retained DSG budget was agreed at £28.5m. Attached at **Annex A** is the report issued to the Economy and Attainment Leadership Team on 25th August 2014 which was based on information at the end of September. This shows that an overspend of £0.066m is projected across the overall budget. The main reasons for the projected variances and commentaries on all high risk areas are provided in Annex A.
- 3.2 The LA Finance team has continued to work with Budget Managers to review the financial position to ensure robust forecasts are projected. In setting the retained budget officers included an element of contingency funding across some of the high risk budget areas to meet for any unexpected placements commissioned before 31st March 2015.

Further information on the subject of this report can be obtained from Steve Haley, 01793 465794, shaley@swindon.gov.uk.

2014-15 Dedicated Schools Grant Budget Position

Schools Forum

Date: 7th October 2014

- 3.3 At this stage the LA is expecting that, although there continues to be a risk associated with the projection, an overspend of £0.066m (0.2%) will occur which will be a charge against existing unallocated DSG balances. The financial position will continue to be monitored with an update being provided at the January 2015 and March 2015 Schools Forums. The final 2014-15 outturn position (subject to external audit) will be represented to the July 2015 Schools Forum after closure of the LAs accounts.
- 3.4 In planning on the allocation of 2015/16 DSG funding the LA will also take into account the DSG balance brought forward from previous years (see separate report on today's agenda regarding repayment of equal pay liabilities). It is important that Forum Members bear in mind that this funding can only support one- off spending and cannot sustain permanent increases to local formula funding rates..

Schools Forum is asked to;

- **note that the latest projection on the 2013-14 retained budget is an overspend of £0.066m**
- **support that £0.200m of existing disadvantaged 2 year old funding is allocated to increase capacity of which £0.150m will be used to create a 48 place 2 year old nursery at Tadpole Farm school**
- **support that £0.006m of existing disadvantaged 2 year old funding is allocated to fund the appointment of a temporary early years project support officer up to 31st March 2015**

4. Alternative Options

- 4.1 There are no proposals included within this report.

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 5.1 Various financial implications are included in this report relating to management of the retained DSG budget

Legal and Human Rights Implications

- 5.2 There are no legal or human rights implications arising from this report.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 5.3 This report does not make any recommendations that affect these areas.

Further information on the subject of this report can be obtained from Steve Haley, 01793 465794, shaley@swindon.gov.uk.

2014-15 Dedicated Schools Grant Budget Position

Schools Forum

Date: 7th October 2014

Links to One Swindon, Plans and Policies

- 5.4 Utilisation of the DSG has a direct link to two of the Council's five priorities – “Right skills, right jobs in the right place” and “Make the best use of Swindon’s resources inside and outside the Council”.

Diversity Impact Assessment

- 5.5 As there are no proposals in this report, a DIA has not been completed.

Risk Management

- 5.6 There are no specific risk management implications not highlighted in the body of the report.

6. Consultees

- 6.1 The Board Director Resources (Section 151 Officer) and Director of Law and Democratic Services (Monitoring Officer) are consulted in respect of all reports.

7. Background Papers

- 7.1 None

8. Appendices

- 8.1 Appendix 1 – Economy and Attainment Leadership Team budget monitoring reports

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Report to: **Commissioning – Economy and Attainment Leadership Team**
From: Steve Haley, Head of Finance – Education and Innovation
Date: 29th September 2014
Subject: **Budget Monitoring Report – DSG Services**
Period: Period to end of August 2014

1) Background

The Council's corporate budget monitoring timetable requires each Group to report its forecast outturn position each month and this report is based on information provided at the end of August 2014. In addition to financial forecasts, information is also provided regarding Budget Manager participation in the on line forecasting process.

Although the DSG position is included in Corporate Board and Cabinet reports it is unlikely that elected members will be overly concerned as this is outside the SBC General Fund and is essentially an issue for the Head of Commissioning (Economy and Attainment) and his leadership team to manage in consultation with the Finance Team and Schools Forum.

The introduction by the DfE of a three block approach to the allocation of DSG has made it harder for the LA to predict the level of annual funding receivable. The July monitoring report estimated 2014/15 DSG funding at £149.083m and at that time there was uncertainty over the inclusion of all Swindon Academy pupils in our schools block following changes to Academy recoupment arrangements. Since then the LA has received confirmation from the EFA that the transfer of Swindon Academy will be fully funded meaning that the full £4.556m can now be included as DSG funding to match the costs arising from the local formula. There are three further minor changes to the level of this year's DSG funding totalling £0.065m and the latest estimate is now **£153.574m**.

Further adjustments are to be expected as the Early Years block will be adjusted for the Early Years census information in January 2015 and updates on these items will be incorporated into future Leadership Team reports. A summary of how the 2014/15 DSG has been allocated so far, including Swindon Academy, is provided in the following table.

Table 1 – DSG 2014/15 Overview

	<u>£m</u>	<u>£m</u>
<u>Delegated</u>		
Mainstream schools & academies (including Swindon Academy)	115.7	
Specialist settings (Special Schools and SRP's)	8.2	
Alternative provision settings	1.2	125.1
<u>Centrally retained for provision</u>		
Disadvantaged 2 year old nursery places	2.6	
Free Nursery Entitlement 3 & 4 year olds	7.8	
In Year Pupil Growth	0.7	
High Need SEN		
Portage, SEN equipment, Therapeutic services etc.	0.4	
SBC top ups – mainstream settings	1.7	
SBC top ups - high need specialist settings	8.3	
HN Contingency	0.6	
Tuition Service	0.9	
Out of Borough placements	2.6	
Post 16 provision (new responsibilities)	2.0	
Exclusions income	-0.3	27.3
<u>Centrally retained to cover SBC and other costs</u>		
Admissions, Free School Meal eligibility checks, school licences, equal pay etc.	1.2	1.2
Total DSG Allocation		153.6

2) Completion of Online Monitoring Forecasts

As part of the budget monitoring reporting process the LA is required to report to Corporate Board on how active Budget Managers have been in contributing to the on line information used to collate period end information. For DSG service areas the end of August Budget monitoring completion rate was 97.5% (39/40) compared with 75% for July. (The poor response in July was entirely due to staff absences in the Finance Team).

The Leadership Team is asked to remind Budget Managers of the importance of this exercise and to encourage continued high participation for the remainder of the year.

3) High Risk Areas and Latest Projections

Commentaries on all the main variances which have been identified so far and on those areas of the budget which are inherently high-risk, and therefore have the potential to significantly affect the overall position, are provided below.

Table 2 – Latest Budget Monitoring Position				
Item	Notes	Budget 2014/15 £'000	Projected Variance (August) £'000	Projected Variance (July) £'000
High-Risk Areas				
2 year old nursery place funding	1	2,158	(276)	0
2 year old nursery place capacity building	1	470	200	0
Free nursery education (3&4 year olds)	2	7,823	220	0
High Needs Top ups (Mainstream)	3	1,682	209	209
High Needs Top ups (Specialist)	4	8,316	125	125
<ul style="list-style-type: none"> Less costs of Ridgeway pump priming top up enhancements agreed to be funded from the DSG balance as at the March 2014 Schools Forum) 	4	0	(58)	(58)
Notional SEN supplements (Mainstream)		350	0	0
External Placement Fees (speak to June)	5	2,562	(43)	(49)
HN Contingency	6	550	(233)	(226)
Post 16 HN Provision - New Responsibilities	7	2,022	0	0
School Exclusions / Dual registrations		(350)	0	0
Tuition Service	8	890	5	15
Schools Equal Pay Claims	9	170	190	190
Subtotal High Risk Areas		26,643	338	206
Other Low risk retained budgets	10	1,900	40	43
<ul style="list-style-type: none"> Less costs of Redoaks SRP premises alterations agreed to be funded from the DSG balance at the July 2014 Schools Forum 	10	0	(29)	(29)
Total Retained Budgets		28,543	349	220
Delegated School Budgets (Mainstream)		115,630	970	970
<ul style="list-style-type: none"> Less costs of Temporary Primary BPPE increase agreed to be funded from DSG balance at the January 2014 Schools Forum 		0	(970)	(970)
Delegated School Budgets (Specialist Settings)		9,401	0	0
Total DSG Budgets		153,574	349	220
DSG Funding Allocation	2	(153,574)	(283)	0
Total DSG		0	66	220

The Leadership Team is asked to note that the forecast out-turn position, a projected overspend of £66,000, will be reported to Corporate Board this month and to Schools Forum on 7th October.

The position has improved since the end of July due to a £0.283m increase in expected early years funding and the main reasons for the net over spend are shown on the following pages:

Note 1 – Disadvantaged two year old nursery funding : £0.076m Underspend

The budget of £2.158m for 2 year old places is projecting a £0.276m underspend. This has arisen because a number of infrastructure expansions of nursery provision have been completed later than originally planned resulting in lower overall take up during the early part of the year.

It is proposed to use £0.200m of this underspend to support the existing £0.470m budget (£0.670m in total) for growing capacity in 2 year old provision. This will enable the LA to supplement the SBC capital programme by £0.150m to increase capacity and commission an additional 48 disadvantaged 2 year old places at Tadpole Farm Primary School beyond those already planned and other schemes costing up to £0.050m are being developed.

As DfE have confirmed that participation funding will apply from 2015/16 onwards it is important that take up is maximised and Schools Forum will be asked to support the employment of a temporary part time project support officer from mid October to 31st March 2015 at a total approximate cost of £6,000 to help promote the 2 year old offer to disadvantaged parents and provide capacity to the team administering the increasing demand.

**Note 2 – Free nursery education (3 & 4 year olds) : £0.220m Overspend
£0.283m increased funding**

This is the first estimate of the 2014/15 outturn position and a **£0.220m** overspend is forecast. Maintained setting early years numbers are stable but there has been an increase in take up of places in Private, Voluntary and Independent providers of Nursery Education.

As this element of DSG is based on participation the LA anticipates that the overspend will be offset by an increase in the amount of Dedicated Schools Grant receivable. Early Years DSG is calculated based on 5/12ths of the January 2014 and 7/12ths of the January 2015 Early Years Census. There has been a small reduction in the January 2014 census (-4 FTE) but a larger increase in the January 2015 census (+128 FTE) is now projected. The updated DSG calculation compared to the 2014/15 budget agreed by Schools forum in January is summarised below;

Table 3 – Early Years 3 and 4 year old 2014/15 DSG Funding		
	2014/15 Budget	2014/15 Projection
January 2014 Census (5/12)	2,108	2,104
January 2015 Census (7/12)	2,227	2,355
Full Year Equivalent	2,177	2,250
3 and 4 year old funding rate	£3,888.06	£3,888.06
Total DSG receivable	£8,465,927	£8,748,135
Difference		£282,208

The net increase in early years pupils of 73 will attract additional DSG of **£0.283m**.

Note 3 – High Needs Top ups (Mainstream) : £0.209m Overspend

This overspend is in line with the pressure identified earlier in the year and is mainly as a result of the new SENRAP policy implemented in September 2013 whereby monetary requests must be submitted to secure funding over and above the delegated notional SEN budget of £6,000. A review of the process indicated that there were inconsistencies in the amount of additional resource provided for pupils with similar levels of need (for example band 3 pupils

have attracted a minimum of £1.577 up to a maximum of £10.068) and average funding allocations exceed the values assumed when the budget was set. The 2014/15 budget assumed 384 pupils would be supported at an average annual cost of £3,818. Whilst the number of pupils has remained stable average annual costs since September 2013 are £4,573 per pupil and it is intended that a move to band based funding is fully implemented from 1st April 2015.

A briefing note have been issued to SENRAP members to advise them of the situation and to encourage greater consistency and letters have been sent to all mainstream schools on this issue inviting comments. It is anticipated that new process will be developed by December 2014 and progress will be discussed at the scheduled High Need Task group meetings.

Note 4 – High Needs Top ups (Specialist) :

£0.067m Overspend

The gross overspend on High needs Top Ups for Specialist providers is £0.125m. Of this £0.058m relates to the policy to pay double top ups to provide pump priming funding to the new Ridgeway ASC SRP. Schools Forum agreed that this element should be funded from the DSG balance. The remaining £0.067m overspend relates to the agreement of additional places at Eldene and Redoaks and some further changes to bespoke packages of SEN and therapy supplements.

Note 5 – External Placement Fees :

£0.043m Underspend

The projected underspend follows an audit of every provider where individual pupils have been checked and education plans agreed. There has been a small reduction in underspend since the July report. Future projections of the year end forecast will be affected by new pupils requiring placements, changes in education plans or where pupils stop attending their placed provider. All placement and financial changes will be reported as they become known.

Note 6 – High Needs Contingency :

£0.233m Underspend

During the 2014/15 budget setting process Schools Forum agreed that a contingency of £550,000 would be held to cover additional top up and placements costs. At this stage in the year this should prove sufficient to cover the projected overspends at notes 3 (£0.209m) and 4 (£0.067m) which are partly offset by underspends at note 5 (£0.043m) above resulting in a net overspend of £0.233m. If expenditure on placements increases through the remainder of the year to the level where the £0.550m contingency is fully spent and if offsetting underspends or savings can not be identified in other DSG retained budgets any residual overspend would need to be met from the DSG balance.

Note 7 – Post 16 HN Provision (New Responsibilities) :

Breakeven.

FE colleges, ISP's and other post 16 post school training providers operate on academic years and indicative 2014/15 figures were presented to the July 2014 Schools Forum. Since July, the EFA has confirmed that it will pay for elements 1 and 2 for 20 Swindon pupils attending UET which has reduced the commitment required from the DSG. The LA has also finalised the 2013/14 academic year position which has a one term impact on the 2014/15 budget.

This provides an opportunity to increase the indicative post 16 post school 2014/15 band values above the values presented to the Forum in July and a separate report on this matter has been prepared for the 7th October Schools Forum. Proposals have been based on fully utilising the £2.022m funding available with a view to achieving a break even position in 2014/15.

Note 8 – Tuition Service :**£0.05m Overspend**

The service is supporting a greater number of pupils than was originally budgeted for and a £15k overspend was forecast last month as Tutor salary costs have increased as a result of this higher demand. A minor restructuring has recently been agreed which will reduce annual costs and bring down the in-year overspend to approximately £5k.

Note 9 – Equal Pay :**£0.190m Overspend**

As reported to the July Forum a number of school equal pay claims have been settled recently of which 50% will need to be met from the retained budget either as one off funding or via loans to match decisions taken by schools and academies. Based on information received an in overspend of approximately £0.190m will occur which can be absorbed from the current year budget. Schools Forum have agreed in principle to use some of the DSG balance to reduce the central liability and a separate report on this matter has been prepared for the October Forum meeting.

Note 10 – Other Low Risk Items :**£0.011m Overspend**

Two areas of overspend have been identified so far this year. Schools Forum agreed a £20,000 increase in pre-opening start-up funding for the Tadpole Lane primary school to recognise their early years provision. Schools Forum also agreed that premises alteration costs of £29,000 at the Redoaks SRP could be funded from the DSG balance and there are 3 smaller underspends on Portage (£4,300), Travellers Children (£2,000) and Trade Union Facilities (£2,500).

The Leadership Team is asked to note the risk areas above, the forecast out-turn positions and the suggested actions.

4) DSG Balance

In accordance with DfE grant conditions the DSG must be treated by the LA as being ring fenced for specified educational purposes and, in addition to consulting the Schools Forum on how each year's grant is allocated, the Forum must also be advised on any year end surplus or deficits. The latest position incorporates agreements made at the July 2014 Schools Forum and proposals which are being presented at the October Forum is as follows;

Table 4 – DSG Balance	Latest Position
Opening DSG balance bfwf from 2013/14	£2.470m
Less – Primary £54.77 BPPE increase payable in 2014/15 and included in delegated school budgets (January 2014 Forum)	(£0.970m)
Less – Additional double top up payments to Ridgeway ASC unit (March 2014 Forum)	(£0.058m)
Less – Red Oaks SRP premises alterations to expand unit places (July 2014 Forum)	(£0.029m)
Less – projected in year deficit (see table 2)	(£0.066m)
Current DSG balance unallocated	£1.347m
Less proposed repayment of equal pay liabilities (see separate SF report)	£0.700m
Projected DSG balance post equal pay liabilities	£0.647m

The Leadership Team is asked to note that the unallocated DSG balance is £1.347m with £0.700m earmarked to repay equal pay and that all expenditure met from the balance must be allowed under the school finance regulations and be agreed by Schools Forum.

7) Summary of Key Recommendations

The Leadership Team is asked to:-

- *Note that the forecast out-turn position, a projected overspend of £66,000 will be reported to Corporate Board this month and that the end of August position will be reported to Schools Forum on 7th October.*
- *Note the risk areas above, the forecast out-turn positions and the suggested actions*
- *Note that the unallocated DSG balance is currently £1.347m and that there is a further proposal to October Schools Forum to pay for £0.700m of Equal Pay Liabilities.*

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2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

Author:	Head of Finance – Education and Innovation
Wards:	All
Locality Affected:	All
Settings Affected:	As the DSG is ring fenced funding provided by the DfE to meet the educational needs of early years, school age and all 0 to 25 year old high need pupils, any decisions on how the grant is utilised indirectly affects all settings.

1. Purpose and Reasons

- 1.1 To provide Schools Forum members with updates relating to 2014/15 including the inclusion of all Swindon Academy pupils in our DSG, an update on overall DSG and other funding available this year and proposals regarding equal pay.

2. Recommendations

The Schools Forum is recommended to:

- 2.1 Note the latest position regarding Swindon Academy pupil costs and that additional funding is to be provided by the EFA meaning that Swindon will not be detrimentally affected by the end of partial recoupment arrangements.
- 2.2 Note that the latest estimate of Swindon's overall 2014/15 DSG settlement is £153.574m.
- 2.3 Note that Swindon will receive £0.5m of EFA funding to effectively reimburse the DSG for place costs at Uplands Sixth Forum
- 2.3.1 Agree that this will be used to repay £0.5m of equal pay liabilities in 2014/15.
- 2.3.2 Agree that this will be used to enhance mainstream BPPE rates by £0.5m from 2015/16 onwards.
- 2.4 Note the position regarding school NNDR charges
- 2.4.1 Agree that the current NNDR balance is to be used to repay £0.8m of equal pay liabilities in 2014/15 which will leave a more reasonable sum of £0.2m.
- 2.5 Note that the equal pay liability as at 1st April 2015 payable from the centrally retained budget is currently estimated at £2.5m which will require annual budgetary provision to be made from 2015/16 onwards of £0.161m.
- 2.5.1 Agree to the repayment of £2m of liabilities from the sources identified in the current year reducing the liability as at 1st April 2015 to £0.5m which will require a reduced annual budgetary provision to be made from 2015/16 onwards of £0.034m.

Further information on the subject of this report can be obtained from Steve Haley, 01793 465794, shaley@swindon.gov.uk.

2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

3. Detail

Swindon Academy costs and DSG implications

- 3.1 At the last three Schools Forum meetings officers have advised that the end of partial recoupment academies instigated by the EFA had unintended and very significant detrimental consequences for Swindon. Overall additional costs charged to the local formula for the Academy's 918 pupils (which were previously outside Swindon's DSG) are £4.556m whilst the additional funding generated from their inclusion in our schools block DSG calculation was only £3.765m. There was therefore a gap between costs and funding of £0.791m.
- 3.2 The LA Finance team has contacted EFA on a number of occasions and on 18th September finally received confirmation that the change to recoupment arrangements was intended to be broadly cost neutral for LAs and that we could expect to receive the extra funding needed to meet the shortfall. This eliminates the risk that the DSG balance would need to be depleted to meet this cost in the current year. From 2015/16 onwards, as reported elsewhere on today's agenda, Swindon's schools block DSG is to be enhanced and the EFA calculations include Swindon Academy pupils.
- 3.3 In July Schools Forum was advised that, following late DfE changes to the High Needs block, the LA was anticipating an overall 2014/15 DSG settlement of £149.083m. As a result of the Swindon Academy issue above being resolved (£4.556m) and other minor DfE changes (£0.065m) the final 2014/15 DSG settlement is now estimated at **£153.574m** subject to an Early Years adjustment which DfE will make arising from the January 2015 census.

Schools Forum is asked to

- **Note the latest position regarding Swindon Academy pupil costs and that additional funding is to be provided by the EFA meaning that Swindon will not be detrimentally affected by the end of partial recoupment arrangements.**
- **Note that the latest estimate of Swindon's overall 2014/15 DSG settlement is £153.574m.**

High Needs Funding Update

- 3.4 Forum Members will recall that in order to meet EFA school budget setting deadlines the LA was required to finalise 2014/15 mainstream school funding at the 21st January 2014 Schools Forum meeting and finalise Special School and SRP funding at the 11th March 2014 Schools Forum meeting whilst there remained a great deal of uncertainty over the levels of High Needs funding available. Furthermore the financial risk arising from including Swindon Academy in our DSG had been recognised but was unresolved.

Further information on the subject of this report can be obtained from Steve Haley, 01793 465794, shaley@swindon.gov.uk.

2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

- 3.5 On 31st March 2014 the EFA announced that in addition to whatever High Needs DSG funding was provided, they would also be allocating place funding to LAs in respect of their maintained sixth forms at £10,000 per agreed place. At that time the EFA were not recognising 13 continuing pupils at Uplands sixth form who were initially deemed ineligible for funding because they were aged 18 on 31st August 2013. As reported to the July Forum meeting this decision was eventually reversed and for 2014/15 we will therefore receive a total of £510,000 place funding over and above the DSG. This does not in any way affect Uplands school as their 2014/15 funding has been allocated by the LA based on their agreed places for which they are receiving place and top up funding.
- 3.6 This does however represent additional recurrent funding that is currently unallocated and available for distribution although due to the school finance regulations there is limited scope to use it in the current year. This could have provided a partial solution towards the Swindon Academy DSG shortfall described above but this issue has now been resolved and a decision on how best to use this funding is required.
- 3.7 In a report to the 9th July 2013 Schools Forum members were advised that the LA was unhappy at the level of new high need funding that was being provided to meet the cost of its new responsibilities for high need students attending Further Education colleges and Independent Specialist Providers. The LA had hoped that the new arrangements would have addressed the long standing subsidy of post 16 high needs provision from the DSG. Prior to the new arrangements, whilst the LA was responsible for meeting the costs of all post 16 SEN students in school sixth forms, these pupils were excluded from all DSG calculations and regardless of actual pupil numbers or costs the only funding LAs received was something called the SEN Post 16 block grant.
- 3.8 This grant predated the DSG and was determined nationally in 2001/02 following the transfer of responsibilities to LAs from regional Learning and Skills Councils. Although subject to minor annual inflationary increases since that time the level of grant has been unaffected by changes in pupil numbers and for areas such as Swindon, with a growing number of post 16 SEN pupils, the gap between costs of provision and funding provided by DfE has widened each year. For Swindon this gap was quantified in 2012/13 at £1.25m as the annual costs of Uplands sixth form, out of borough post 16 SEN placements and SEN pupils in mainstream sixth forms totalled £1.85m whereas the notional SEN post 16 block grant value was only £0.6m. This meant that the LA was effectively having to use the DSG (which at that time was only provided for pupils up to the age of 16) to subsidise the costs of post 16 SEN pupils by a considerable value. In other words funding allocated to the LA for early years, school age pupils and central services was having to be diverted.
- 3.9 This was acknowledged as a long standing issue at a meeting with YPLA staff back in 2012 when LA officers were advised that the whole area of high needs
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Further information on the subject of this report can be obtained from Steve Haley, 01793 465794, shaley@swindon.gov.uk.

2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

funding was under review. With hindsight the changes implemented from the start of the 2013/14 academic year to transfer responsibilities for FE College and ISP post 16 SEN students only made matters worse. The value of the existing SEN block grant was transferred into the High Needs DSG block (without any review) and, whilst some additional funding has been allocated, both the place numbers and values per pupil have been capped by the EFA and the long standing DSG subsidy requirement remains in place.

- 3.10 It is the LAs conclusion therefore that the £0.5m of EFA funding receivable to reimburse the costs of places at Uplands sixth form represents an opportunity to reduce the long standing subsidy that has been necessary from other services funded by the DSG. The following table was provided in a report to the last Schools Forum and is replicated below to help illustrate this.

Table 1 – 2013/14 Funding and Spending by DSG Block			
DSG Blocks	DfE Funding Received	Local Spending Allocations	Difference
Early Years – 3 and 4 year olds	£8.128m	£7.500m	-£0.628m
Early Years – Disadvantaged 2 year olds	£1.985m	£0.918m	-£1.067m
Schools	£112.432m	£109.740m	-£2.692m
High needs	£22.901m	£25.479m	+£2.578m
Central services, pupil growth, admissions etc.	?	£1.745m	+£1.809m
Unallocated DSG balance	-	£0.064m	
Totals	£145.446m	£145.446m	£0.0m

- 3.11 Elsewhere on today's agenda an update on various 2015/16 issues is provided which includes proposals to pass on extra schools block DSG through to mainstream schools via an uplift to the BPPE rate in 2015/16. It is suggested that the extra £0.5m receivable from the EFA is also added to BPPE funding to partially reinstate the historic diversion of mainstream funding to meet high need pupils/students in sixth forms. There is also a recommendation to boost nursery funding in 2015/16.
- 3.12 It is not possible to instigate further funding allocations to mainstream settings during 2014/15 which leaves £0.5m of unallocated funding in the current year. At section 3.20 to 3.24 below Forum Members are recommended to use this funding to pay off equal pay liabilities chargeable to the centrally retained budget which will marginally benefit all DSG funded provision in future years.

Schools Forum is asked to;

- **Note that Swindon will receive £0.5m of EFA funding to effectively reimburse the DSG for place costs at Uplands Sixth Forum**

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2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

- **Agree that this will be used to repay £0.5m of equal pay liabilities in 2014/15.**
- **Agree that this will be used to enhance mainstream BPPE rates by £0.5m from 2015/16 onwards.**

National Non Domestic Rates (Business Rates)

- 3.13 In setting the local formula each year, in common with most other Authorities, the LA uses an NNDR factor to ensure mainstream schools and academies receive sufficient funding to meet their actual NNDR charge as levied at the start of each year. Maintained schools pay full business rates whilst Voluntary Aided schools and Academies attract 80% charitable rate relief. (Special Schools are exempt from NNDR). Over recent years the LA has received in year refunds where a school has converted to Academy status after paying their full NNDR charge for that year and these refunds have been carried forward as a contingency to meet the in-year costs of revaluations.
- 3.14 One positive consequence on the DSG arising from the Academy conversion programme is that this reduces the proportion of DSG that has to be earmarked in the local formula and paid by schools to cover NNDR charges. For example in 2010/11 NNDR costs of £1.700m represented 1.82% of annual mainstream school funding whereas for 2014/15 the value was £1.463m (1.26%). This effectively allows more delegated funding to be spent on teaching and learning rather than on fixed premises costs.
- 3.15 Individual NNDR charges are determined by Rateable Values which are set by the Valuation Office Agency on behalf of HMRC. Where premises are extended (such as when a school expansion takes place) it is likely that back dated NNDR arrears become payable but there is usually a time delay before charges reflect building works. It is therefore considered good practise for LAs to maintain an NNDR contingency reserve to meet any in year back dated costs without affecting school budgets until the following financial year.
- 3.16 All premises are subject to 5 yearly rateable value (RV) reviews and following the 2010 exercise the LA engaged specialist agents to challenge the RVs and lodge appeals on a number of premises including schools. Earlier this year the outcome of these appeals became known and the LA is pleased to report that a number of backdated refunds have been received including some schools. The most significant of these relate to Isambard (£117k), Orchid Vale (£87k), and Nova Hreod (£94k) plus smaller values at Toothill, Oakhurst, Gorse Hill and Redoaks which together provide a total refund of £370k.
- 3.17 This will have no impact on these schools in the current year and whilst their charges will reduce in 2015/16 this will be matched by local formula adjustments to ensure annual funding continues to match annual costs levied. This will however marginally benefit all mainstream schools as a lower amount of funding

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2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

will be tied up in NNDR costs at least up to 2017 when the next review takes place, 2 years later than was originally planned.

- 3.18 Due to the volume of academy conversions in Swindon over recent years a substantial credit balance has built up and the value carried forward as at 31st March 2014 was £0.655m. Since the start of the year a further £0.370m has been received in back dated refunds bringing the total available up to £1.025m. Forum members will be aware that a number of primary schools have or are in the process of expanding (Haydonleigh, Even Swindon, Orchid Vale etc.) but these settings are unlikely to generate significant NNDR cost pressures. For example Haydonleigh and Even Swindon's current annual NNDR charges are £30k and £47k respectively and whilst the Valuation Office appears rather slow to consider appeals and process refunds they are a little quicker to collect increased charges and arrears owed on expanding schools are unlikely to build up to significant levels. This therefore leaves the LA with £1m which seems an excessive amount given the current level of risk involved.
- 3.19 Set out below is an update on the equal pay liabilities payable from the centrally retained budget which includes proposals to utilise a number of sources of one off funding to clear as much debt as possible thereby releasing pressure on future year's DSG. This includes a proposal to utilise £0.8m of the NNDR reserve which will leave a more proportionate contingency balance of £0.2m.

Schools Forum is asked to;

- **Note the position regarding school NNDR charges**
- **Agree that the current NNDR balance is to be used to repay £0.8m of equal pay liabilities in 2014/15 which will leave a more reasonable sum of £0.2m.**

Equal Pay Update

- 3.20 Forum members will be aware that substantial equal pay claims have been settled across the public sector over recent years and that single status agreements supported by job evaluation schemes have been implemented to avoid further claims being logged. Agreement was reached at the July 2010 Schools Forum that the LA would settle all claims relating to Swindon school staff and that payments would subsequently be recovered with the centrally retained DSG budget picking up 50% of all costs and individual schools picking up 50% of their costs. Schools are able to settle their liabilities by taking out a 20 year loan or by using school balances and the same funding options apply to Academies after conversion.
- 3.21 At the July meeting Forum members agreed in principle that approximately £0.7m of the existing DSG balance could be used to pay off some of the equal pay liability. Based on all settled claims the total liability on the centrally retained

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2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

budget (including estimated interest) is currently £2.9m which is matched by individual loans owed by schools. As reported elsewhere on today's agenda a number of schools have elected to make lump sum repayments in 2014/15 which, if matched by the LA, will result in a £190,000 overspend on this year's centrally retained budget. (The current budget of £170,000 was set to meet existing liabilities of £136,000 per year plus a provision of £34,000 to meet the costs of new claims had they been funded via long term loan). The latest forecast spend against this budget is £360,000 and the LAs assessment is that the overspend can be absorbed by a combination of underspends and increased funding in other parts of the retained budget.

- 3.22 After making this year's payments this will leave the central DSG budget with an equal pay liability as at 1st April 2015 of £2.5m which will require on-going central payments of £161,000 per year and annual budgets will need to be provided at this level from the DSG for the next 16 years.
- 3.23 A variety of funding sources have been identified by the LA which could be used to pay off central equal pay liabilities as follows;
- £0.5m arising from EFA funding towards SEN sixth form places at Uplands
 - £0.8m arising from NNDR refunds (leaving a balance of £0.2m)
 - £0.7m of the DSG balance (leaving a balance of £0.65m)
- 3.24 Subject to Forum support a total of £2m of equal pay liabilities could therefore be repaid in the current year in addition to the projected £360,000 which would reduce the 1st April 2015 liability down from £2.5m to £0.5m. This would reduce the 2015/16 central budget requirement from £170,000 to £34,000 thereby freeing up DSG that can be delegated to schools and other providers in future years. This proposal will not affect individual schools equal pay liabilities but will generally benefit all settings which are DSG funded. Subject to a satisfactory 2014/15 outturn position it is likely that the LA will recommend a further and final repayment of the central liability should DSG balances or other funds allow this.

Schools Forum is asked to

- **Note that the equal pay liability as at 1st April 2015 payable from the centrally retained budget is currently estimated at £2.5m which will require annual budgetary provision to be made from 2015/16 onwards of £0.161m.**
- **Agree to the repayment of £2m of liabilities from the sources identified in the current year reducing the liability as at 1st April 2015 to £0.5m which will require a reduced annual budgetary provision to be made from 2015/16 onwards of £0.034m.**

Further information on the subject of this report can be obtained from Steve Haley, 01793 465794, shaley@swindon.gov.uk.

2014-15 Dedicated Schools Grant General Updates

Schools Forum

Date: 7th October 2014

4. Alternative Options

- 4.1 Alternative options are described throughout this report where applicable.

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 5.1 Various financial implications are included in this report relating to management of the retained DSG budget

Legal and Human Rights Implications

- 5.2 There are no legal or human rights implications arising from this report.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 5.3 This report does not make any recommendations that affect these areas.

Links to One Swindon, Plans and Policies

- 5.4 Utilisation of the DSG has a direct link to two of the Council's five priorities – "Right skills, right jobs in the right place" and "Make the best use of Swindon's resources inside and outside the Council".

Diversity Impact Assessment

- 5.5 As there are no proposals in this report, a DIA has not been completed.

Risk Management

- 5.6 There are no specific risk management implications not highlighted in the body of the report.

6. Consultees

- 6.1 The Board Director Resources (Section 151 Officer), Director of Law and Democratic Services (Monitoring Officer) and Board Director Commissioning (Director of Children Services) are consulted in respect of all reports.

7. Background Papers

- 7.1 Previous reports to the Schools Forum.

Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

Authors:	Head of Finance (Education and Innovation)
Wards Affected:	All
Locality Affected:	All
Parishes Affected:	All
Settings Affected:	<p>As the Dedicated Schools Grant is ring-fenced funding provided by the Department for Education to meet the educational needs of early years, school age and all 0 to 25 year old high needs pupils any decisions on how the grant is utilised indirectly affects all settings.</p> <p>This report specifically affects funding for High Needs post 16 students attending Further Education Colleges, Independent Specialist College Providers and other training providers.</p>

1. Purpose and Reasons

- 1.1 The purpose of this report is to update the Schools Forum on the final outturn position for the 2013/14 academic year relating to the LA's funding of education provision for post 16 High need students (excluding school sixth forms). In July the Forum was advised of indicative 2014/15 academic year allocations based on the funding available at that time. Following EFA confirmation of an improved overall funding position this report requests Forum agreement to improved band values and revised 2014/15 funding allocations to post school providers.

2. Recommendations

- 2.1 Schools' Forum is asked to;
- Note that the final 2013/14 academic year budget position arising from the LA's new funding responsibilities for post 16 High Need students is that actual spend of **£2.902m** was **£0.611m** lower than the enhanced budget agreed by Schools Forum in July 2013.
 - Note that the 2013/14 academic year costs relating to the period April 2014 to August 2014 of **£0.595m** will need to be met from the 2014/15 financial year budget
 - Note that the LA's latest estimate is that a total of **267.8** FTE post 16 (excluding school sixth form) high needs students will need to be supported financially during the 2014/15 academic year
 - Note that the estimated annual net costs of 46.8 FTE placements at ISPs is **£0.791m** of which **£0.528m** (2/3) will be charged to the 2014/15 budget

Further information on the subject of this report can be obtained from Steve Haley, Head of Finance (Education and Innovation) on 01793 465794 (shaley@swindon.gov.uk) or Sally Burnett Commissioner (Routes to Employment) on 01793 463061 (sburnett@swindon.gov.uk)

Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

- Note that 24 unplanned places will require element 2 funding of **£0.144m** to be paid to providers of which **£0.096m** (2/3) will be charged to the 2014/15 budget
- Agree increased band values as shown in table 3 which will be used to allocate 2014/15 funding for FE Colleges and training providers which will result in revised 2014/15 annual allocations to FE colleges and training providers totalling **£1.205m** of which **£0.803m** (2/3) will be charged to the 2014/15 budget
- Note the overall 2014/15 financial year and 2014/15 academic year budget position as shown at table 4.

3. Background

- 3.1 Forum members may recall that the commissioning of post schools high needs places became a new responsibility for Local Authorities which transferred from the Education Funding Agency (EFA) with effect from September 2013. This area of the DSG has been particularly difficult to manage as the transfer of responsibilities coincided with the capping of learner numbers and the introduction of a national funding formula.
- 3.2 For funding purposes our 2013/14 learner numbers were capped at 270 (when demand for the year was originally estimated at 317 students) and the introduction of the national funding formula provided funding based on a national average of £12,143 per student when Swindon Colleges had historically received much higher levels of funding of approximately £17,188 per student. Furthermore each LA was required to commission places based on eligibility criteria which for Swindon appears to have been more stringent than the previous EFA approach. Schools Forum has received regular updates on this matter and since the initial budget setting exercise last July, cost projections have reduced significantly and an update on the final position for 2013/14 is provided in section 4 of this report.
- 3.3 At the July meeting Forum members were advised of the 2014/15 position regarding places and the funding envelope available. Schools Forum supported the LA's proposal to introduce band based funding for the 2014/15 academic year which would follow the methodology used to fund specialist school settings. Indicative band values, which had been calculated based on the funding available at that time, were also supported and subsequent feedback from FE colleges has been positive. Since July the LA was pleased to receive confirmation from the EFA that they would be recognising, and therefore providing element 1 and 2 funding for 20 planned places at the Uplands Educational Trust. This provides an opportunity to raise the band values payable to students in FE colleges and training providers and details of

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Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

the new band values and resulting funding allocations are provided at section 5 of this report.

4. Update on 2013/14 Post 16 High Need Student Funding

- 4.1 During the course of the year, as placements and top up funding for individual students has been finalised, projected costs for the 2013/14 academic year have gradually reduced. At the start of the year the overall post 16 high needs budget was enhanced by the addition of £1m from disadvantaged 2 year old funding which with hindsight was not fully needed. It should be noted however that providers are receiving significantly lower levels of funding than they would have under the previous EFA regime.
- 4.2 Student numbers and spending projections since the start of the academic year are summarised in the table below. Figures in the "Initial position July 2013" reflect the enhanced budget starting position whilst the other columns track spending updates leading up to the "final position" at the end of the 2013/14 academic year.

Table 1 – Summary of Projected / Actual Costs v Funding for the 2013/14 Academic Year					
	<u>Initial Position July 2013</u>	<u>Updated position Oct 2013</u>	<u>Updated position Jan 2014</u>	<u>Updated position June 2014</u>	<u>Final position July 2014</u>
Cirencester College	£84,000	£84,000	£84,000	£84,000	£84,000
New College	£438,000	£438,000	£438,000	£438,000	£438,000
Swindon College	£790,855	£790,855	£756,977	£756,977	£756,977
Late applicants					
- Swindon College	£656,500	£305,960	£350,653	£369,824	£369,824
- Training Providers			£99,980	£70,312	£70,312
- Outstanding		£350,540	£112,658	£0	£0
Uplands post 19	£187,000	£116,500	£121,500	£121,500	£121,500
ISP's	£1,356,800	£1,021,931	£1,120,124	£1,061,867	£1,061,867
Total costs	£3,513,155	£3,107,786	£3,083,893	£2,902,480	£2,902,480
Underspend		-£405,369	-£429,262	-£610,675	-£610,675
Learner numbers	241	184.2	203.2	180.2	180.2

- 4.3 The overall position for the first academic year is unchanged since the last Schools Forum projections were reported in July. Forum Members are reminded that the initial budget of £3.5m set in July 2013 had been enhanced

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Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

by £1m of 2 year old funding whilst actual costs of **£2.902m** were incurred resulting in an underspend of **£0.611m**.

- 4.4 The position in table 1 was partially reflected in the 2013/14 financial year outturn report presented at the last Forum meeting which included an underspend on new post 16 funding responsibilities as at 31st March 2014. The costs included in table 1 relating to term 3 of the 2013/14 academic year total £0.595m and will need to be charged to the current financial year budget.

Schools Forum is asked to note

- ***That the final 2013/14 academic year budget position arising from the LA's new funding responsibilities for post 16 High Need students is that actual spend of £2.902m was £0.611m lower than the enhanced budget agreed by Schools Forum in July 2013.***
- ***That the academic year costs relating to the period April 2014 to August 2014 of £0.595 will need to be met from the 2014/15 financial year budget***

5. Update on 2014/15 post 16 High Need Student Funding

Funding Available 2014/15

- 5.1 Forum members were advised in July that a total of **£2.022m** was available to allocate to post 16 providers (excluding school sixth forms) in the 2014/15 financial year but that it was possible that this could improve by up to £0.320m dependent on;
- The EFA accepting the UET as an accredited ISP which would result in them directly funding Element 1 and 2 costs totalling £10,977 per learner thereby reducing the UET costs chargeable to Swindon's DSG
 - The EFA recognising UET students in our High Needs DSG settlement and providing funding to meet Element 3 (Top up costs) of approximately £5,000 per learner.
- 5.2 In August the EFA confirmed that they would be recognising and directly funding the UET for element 1 and 2 costs for 20 planned places but would not be providing any additional DSG for element 3 costs. This does not therefore provide the LA with any additional funding but does reduce the cost which has to be met from Swindon's DSG by £0.220m and this saving has effectively been recycled to raise the band values payable to students in FE colleges and training providers as was agreed in principle at the July Forum.

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Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

Planned Placements 2014/15

- 5.3 The table below shows how the LAs latest 2014/15 commissioning plan compares to the December estimates provided to the EFA;

Table 2 – Latest 2014/15 Academic Year FTE Placements					
	December 2013 Places	Latest Estimated Places	Differences since December	EFA Approved Places	Note
Independent Specialist Providers	19	28	+9	19	3
Uplands Educational Trust	20	18.8	-1.2	20	4
subtotals	39	46.8	+7.8	39	
Cirencester College	14	8	-6	14	1
New College	45	40	-5	45	1
Swindon College	148	120	-28	148	1
Wiltshire College	3	13	+10	3	2
Training Providers	3	17	+14	3	2
subtotals	213	198	-15	213	
Outstanding placements	0	23	+23	0	5
Totals	252	267.8	+15.8	252	

- 5.4 The LA now expects to fund element 3 top ups for a total of 267.8 students during the 2014/15 academic year which is an increase of 15.8 over the December estimate and 6.4 above the July 2014 Schools Forum report. Of this number the EFA have agreed to recognise and provide element 1, 2 and 3 funding for 252 places. Depending on how accurate our December plans were, we will however also be required to fund some element 2 costs as follows;

- **Note 1** – So far the number of placements confirmed at these colleges are lower than the LA's December estimate. This means for example that if no further placements are made at Cirencester College they will attract surplus place funding for 6 places @ £6,000 = £36,000. Figures for New College are 5 places at £6,000 = £30,000 and for Swindon College 28 places at £6,000 = £168,000. A total of £234,000 of over funding is possible relating to 39 places which is an improvement since the July report when the number was estimated at 57. With 23 placements still outstanding but still expected, this should reduce to 16 (£96,000).
- **Note 2** – Unless Wiltshire College are undersubscribed by other LAs they are likely to expect SBC to fund element 2 costs for 10 extra places @

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Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

£6,000 = £60,000. Similarly it is likely that SBC will need to fund element 2 costs for 14 additional places at training providers totalling £84,000. In setting its 2014/15 budget the LA will therefore need to earmark a total of **£144,000** of element 2 funding that will not be paid by the EFA. . Of this sum **£96,000** (2/3) relates to the period 1st August 2014 to 31st March 2015 and will fall in the 2014/15 financial year.

- **Note 3** – A total of 9 additional ISP placements are now expected which is above the number EFA have agreed. The majority of these learners were included in the December commissioning plan as probable FE college placements but it has subsequently been decided that ISP placements will best meet student needs.
- **Note 4** – As explained above the EFA are now recognising planned UET placements for funding purposes and SBC will only need to meet element 3 costs for 20 places. A total of 24 students are expected to attend (18.8 FTE) and SBC will therefore still need to fund element 2 and 3 costs for 4 additional places whilst the UET will receive element 1 funding for their additional pupils from the EFA on a lagged basis.
- **Note 5** – At present the LA has identified and banded a total of 23 learners (13 less than the July estimate) who have yet to confirm placements at a specific provider but are expected to do so. It would be financially advantageous for SBC (and other providers) if these learners took up pre planned places at Cirencester, Swindon or New College as surplus Element 2 funding has been allocated to them as explained in note 1 and the LA expects this to be the case for the majority of learners.

Schools Forum is asked to note that the LA's latest estimate is that a total of 267.8 FTE post 16 (excluding school sixth form) high needs students will need to be supported financially during the 2014/15 academic year

Proposed 2014/15 ISP costs

- 5.5 For placements at Independent Specialist Provider Colleges (ISPs) including the UET, annual costs are at individually negotiated rates. Pupils attend ISPs when their needs cannot be met within the mainstream or special school 6th form, or mainstream FE College provision with additional support or a bespoke package. Where appropriate placements are jointly commissioned with social care and health agreeing contributions. The Local Authority is working with a range of ISPs with a view to them using the universal banding descriptors from September 2015. Attached at **Annex A** is a schedule of all ISP placements showing the breakdown of estimated 2014/15 costs of which

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Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

£0.791m is chargeable to the DSG. Of this sum **£0.528m** (2/3) relates to the period 1st August 2014 to 31st March 2015 and will fall in the 2014/15 financial year.

Proposed 2014/15 FE College and Training Provider costs

- 5.6 In July, Schools Forum agreed that the LA should move towards a band based funding system as the principles had been consulted on and agreed with colleges. All students will be funded at the same levels (without any variance to reflect different SEN prime needs) and a range of supplements had been agreed. Students have been allocated against the same 6 prime need bandings used for special schools and SRP's and indicative post 16 post school funding values were calculated based on the funding envelope provided by EFA for the new responsibilities.
- 5.7 The requirement for the LA to provide academic year funding allocations to providers whilst operating within financial year grant allocations causes an added degree of complexity. This means that the 2014/15 financial year budget will need to meet term 3 costs from the 2013/14 academic year plus term 1 and 2 costs relating to the 2014/15 academic year. In light of the improved UET funding the latest overall position can be summarised as follows;
- Total funding envelope (April 2014 to March 2015)
£2.022m
 - Less term 3 costs from the 2013/14 academic year (see 4.4) -
£0.595m
 - Balance available for terms 1 & 2 of the 2014/15 academic year
£1.427m
 - Less provision for extra element 2 costs Sept to March (see 5.4) -
£0.096m
 - Less ISP costs Sept to March (see 5.5 and Annex A) -
£0.528m
 - Balance available for Banded funding Sept to March
£0.803m
- 5.8 A new local formula has therefore been devised to distribute the available funding of £0.803m across FE colleges and other training providers for terms 1 and 2 which equates to a full academic year spend of £1.205m. The resulting funding values compared to special schools values and the indicate FE values presented at the July Forum meeting are as follows;

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Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

Table 3 – Proposed 2014/15 post 16 post school High Need Band Values & Supplements

	<u>Special School & SRP secondary sector rates</u>	<u>Indicative FE rates</u>	<u>Proposed FE rates</u>
<u>Universal Banding Descriptor</u>			
Band 1 – 100%	£13,300	£6,600	£8,975
Band 2 - 90%	£12,000	£5,940	£8,078
Band 3 - 50%	£6,700	£3,300	£4,488
Band 4 – 37.5%	£5,000	£2,475	£3,366
Band 5 - 30%	£4,000	£1,980	£2,693
Band 6 – 15%	£2,000	£990	£1,346
<u>Supplements</u>			
Medical Needs	£1,500	£750	£750
Manual Handling	£1,500	£500	£500
Autistic Spectrum Disorder in SRP's	£6,000	N / A	N / A
Autistic Spectrum Disorder in Special Schools (Band 1 pupils only)	£1,000	N / A	N / A
Access to mainstream supplement in SRP's	£2,000	N / A	N / A
Speech and Language Therapy (Low/High)	£150 / £1,250	N / A	N / A
Physiotherapy (Low/High)	£100 / £825	N / A	N / A
Occupational Therapy (Low/High)	£100 / £825	N / A	N / A
Teaching requiring British Sign Language	£16,750	N / A	N / A
Transition	N / A	£250	£250
Workshop / High Risk Environment	N / A	£400	£400
Open campus	N / A	£250	£250

- 5.9 Although the improved funding position has allowed FE rates to be increased it is still not possible to fund post 16 providers at the same level as schools without detrimentally affecting the level of funding paid to early year and school settings. It is relevant to note however that post 16 students in FE settings typically attend college for less than the standard 25 hours per week teaching time in schools.
- 5.10 The resulting annual funding allocations are summarised in the table below and **Annex B** provides a summary of total and average funding per learner for the three main FE College providers between 2013/14 and 2014/15. As requested at the last Forum meeting, Annex B also shows a breakdown by band of the numbers of 2014/15 students. Subject to Forum noting the various updates relating to post 16 high need funding and agreeing the new band values the overall 2014/15 financial position will be as follows;

Further information on the subject of this report can be obtained from Steve Haley, Head of Finance (Education and Innovation) on 01793 465794 (shaley@swindon.gov.uk) or Sally Burnett Commissioner (Routes to Employment) on 01793 463061 (sburnett@swindon.gov.uk)

Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

Table 4 – Indicative 2014/15 Academic Year Funding Allocations

	Basis of funding	December 2013 Planned places	Latest Estimated Places 2014	Total Indicative 2014/15 ACYR Funding	Costs falling in the 2014/15 FYR
Independent Specialist Providers	Negotiated	19	28	£672,540	£448,360
UET		20	18.8	£118,887	£79,258
Sub total – ISP's		39	46.8	£791,427	£527,618
Cirencester College	Banded	14	8	£26,079	£17,386
New College		45	40	£198,517	£132,344
Swindon College		148	120	£601,616	£401,077
Wiltshire College		3	13	£99,533	£66,355
Training Providers	Banded	3	17	£113,081	£75,387
Outstanding placements		0	23	£128,064	£85,376
Contingency				£37,613	£25,075
Sub totals		213	221	£1,204,503	£803,000
Extra element 2's				£144,000	£96,000
Total		252	267.8	£2,139,930	£1,426,618

- 5.11 At this stage in the year the LA is unable to confirm precise final funding allocations for individual providers but the table above reflects all known continuing learners and confirmed starters. The funding earmarked for outstanding placements is based on the value of their allocated bands as identified through the moderation process. A full year contingency budget of £37,613 has also been included. Funding will follow individual students as they are placed with providers, hopefully at one of the three colleges which currently have surplus element 2 funding, and allocations to providers will vary as and when placements are confirmed or cease.

Schools Forum is asked to

- **Note that the estimated annual net costs of 46.8 FTE placements at ISPs is £0.791m of which £0.528m (2/3) will be charged to the 2014/15 budget**
- **Note that 24 unplanned places will require element 2 funding of £0.144m to be paid to providers of which £0.096m (2/3) will be charged to the 2014/15 budget**

Further information on the subject of this report can be obtained from Steve Haley, Head of Finance (Education and Innovation) on 01793 465794 (shaley@swindon.gov.uk) or Sally Burnett Commissioner (Routes to Employment) on 01793 463061 (sburnett@swindon.gov.uk)

Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

- ***Agree increased band values as shown in table 3 which will be used to allocate 2014/15 funding for FE Colleges and training providers which will result in revised 2014/15 annual allocations to FE colleges and training providers totalling £1.205m of which £0.803m (2/3) will be charged to the 2014/15 budget***
- ***Note the overall 2014/15 financial year and 2014/15 academic year budget position as shown at table 4.***

6. Alternative Options

- 6.1 The LA has a statutory duty to manage and distribute the Dedicated Schools Grant (DSG) in accordance with prevailing grant conditions and School Finance Regulations. DfE have prescribed the options available to Local Authorities, some of which are mandatory whilst some are discretionary, but in most cases funding values are to be locally determined. The proposals in this report are aimed at containing costs within the level of funding provided by EFA to meet the LAs new responsibilities. As no elements of the DSG are ring fenced it is for individual LAs to decide how best to manage this funding via consultation with Schools Forum's. Higher or lower levels of post 16 high need funding could therefore be agreed as was the case in 2013/14 when disadvantaged 2 year old funding was diverted to meet a projected overspend but this would have consequences for funding levels in other DSG funded settings.

7. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 7.1 As this is essentially a financial report the implications are explained throughout the document. At this stage the impact of any funding changes on individual colleges and other providers cannot be precisely determined although the establishment of banding and supplement values should reduce financial uncertainty.
- 7.2 It is important to recognise that under the school finance regulations no setting is financially protected against reduced pupils or students although from 2014/15 the LA had an option to protect good and outstanding mainstream schools and academies from temporary falling rolls.

Legal and Human Rights Implications

- 7.3 There are no legal and human rights implications arising from this report.

Further information on the subject of this report can be obtained from Steve Haley, Head of Finance (Education and Innovation) on 01793 465794 (shaley@swindon.gov.uk) or Sally Burnett Commissioner (Routes to Employment) on 01793 463061 (sburnett@swindon.gov.uk)

Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 7.4 Although the impact on individual settings cannot be determined at this stage the school funding reform programme is causing a degree of volatility which may have implications for schools and other providers which are detrimentally affected by the redistribution of funding. In determining funding levels the LA is required to comply with the school finance regulations and providers are expected to manage their operations and spending within their available funding including brought forward balances.

Links to One Swindon, Strategic Objectives, Plans and Policies

- 7.5 Utilisation of the DSG has a direct link to two of the Council's five priorities – "Right skills, right jobs in the right place" and "Make the best use of Swindon's resources inside and outside the Council".

Diversity Impact Assessment

- 7.6 The DfE's funding reform programme is aimed at simplifying fair funding although funding changes at individual settings is inevitable. The reform programme is clearly aimed at ensuring funding is targeted at disadvantaged pupils and at pupils with low prior attainment and/or special education needs in order to narrow the attainment gap between these and other pupils. The content of this report is focused on meeting the needs of post 16 high need students by maximising the funding available.

Risk Management

- 7.7 The key risks arising from this report are that local decisions relating to Post 16 funding could lead to an overspend on the 2014/15 DSG and/or that the EFA could deem that our funding arrangements do not comply with the school finance regulations.

8. Consultees

- 8.1 The Board Director Finance, Revenues, Benefits and Property (Section 151 Officer), Board Director, Commissioning (Director of Children Services) and Director of Law and Democratic Services (Monitoring Officer) are consulted in respect of all reports.

9. Background Papers

- 9.1 Various documents issued by the Department for Education which were summarised in previous reports to the Schools Forum

Further information on the subject of this report can be obtained from Steve Haley, Head of Finance (Education and Innovation) on 01793 465794 (shaley@swindon.gov.uk) or Sally Burnett Commissioner (Routes to Employment) on 01793 463061 (sburnett@swindon.gov.uk)

Post 16 High Needs Funding Update

Schools Forum

Date: 7th October 2014

10. Appendices

10.1 The following appendices are attached

Appendix 1 - Schedule of projected 2014/15 academic year post 16 high need non banded placement costs in Independent Specialist Providers

Appendix 2 – Summary of total and average funding per learner payable to the three main FE College providers in the 2013/14 and 2014/15 academic years

Further information on the subject of this report can be obtained from Steve Haley, Head of Finance (Education and Innovation) on 01793 465794 (shaley@swindon.gov.uk) or Sally Burnett Commissioner (Routes to Employment) on 01793 463061 (sburnett@swindon.gov.uk)

ISP	Initials	FTE	FTE	Annual Cost	Less Direct EFA Funding		Gross cost to Education	Less Social Care / NHS	Net cost to Education
					Element 1	Element 2			
Fairfield	LD	1.0		£49,550	-£4,977	-£6,000	£38,573	-£26,800	£11,773
Fairfield	IS	1.0	2.0	£65,263	-£4,977	-£6,000	£54,286	-£20,016	£34,270
Farleigh	BG	1.0		£22,495	-£4,977	-£6,000	£11,518	£0	£11,518
Farleigh	MR	1.0		£58,000	-£4,977		£53,023	-£8,000	£45,023
Farleigh	LE	1.0		£22,495	-£4,977	-£6,000	£11,518	£0	£11,518
Farleigh	ZS	1.0		£32,967	-£4,977		£27,990	£0	£27,990
Farleigh	MP	1.0		£22,495	-£4,977	-£6,000	£11,518	£0	£11,518
Farleigh	JQ	1.0		£22,495	-£4,977	-£6,000	£11,518	£0	£11,518
Farleigh	BS	1.0		£32,967	-£4,977		£27,990	£0	£27,990
Farleigh	MH	1.0		£22,495	-£4,977	-£6,000	£11,518	£0	£11,518
Farleigh	VB	1.0		£43,944	-£4,977	-£6,000	£32,967	£0	£32,967
Farleigh	KS	1.0		£45,247	-£4,977		£40,270	£0	£40,270
Farleigh	LR	1.0		£14,116	-£4,977		£9,139	£0	£9,139
Farleigh	SF	1.0		£22,495	-£4,977	-£6,000	£11,518	£0	£11,518
Farleigh	CA	1.0		£30,000	-£4,977	-£6,000	£19,023	£0	£19,023
Farleigh	NL	1.0		£30,000	-£4,977	-£6,000	£19,023	£0	£19,023
Farleigh	PN	1.0		£30,000	-£4,977	-£6,000	£19,023	£0	£19,023
Farleigh	KB	1.0		£34,270	-£4,977		£29,293	£0	£29,293
Farleigh	SS	1.0		£22,495	-£4,977		£17,518	£0	£17,518
Farleigh	CM	1.0	18.0	£30,000	-£4,977	-£6,000	£19,023	£0	£19,023
Foxes	SK	1.0	1.0	£42,303	-£4,977	-£6,000	£31,326	-£13,158	£18,168
National Star	JL	1.0	1.0	£78,497	-£4,977	-£6,000	£67,520	-£58,873	£8,647
Oakwood	LM	1.0	1.0	£43,089	-£4,977		£38,112	-£20,442	£17,670
Queen Alexandra College	DT	1.0	1.0	£78,911	-£4,977	-£6,000	£67,934	-£39,455	£28,478
Ruskin	CMB	1.0		£68,829	-£4,977	-£6,000	£57,852	£0	£57,852
Ruskin	JH	1.0	2.0	£48,991	-£4,977		£44,014	£0	£44,014
St Martins	KH	1.0	1.0	£56,507	-£4,977		£51,530	-£31,507	£20,023
Uplands Educational Trust	MS	0.8		£18,000	-£4,977	-£6,000	£7,023	-£2,106	£4,917
Uplands Educational Trust	AL	0.8		£24,000	-£4,977	-£6,000	£13,023	-£5,209	£7,814
Uplands Educational Trust	HJ	0.8		£18,000	-£4,977	-£6,000	£7,023	-£2,106	£4,917
Uplands Educational Trust	JC	0.6		£13,500	-£4,977	-£6,000	£2,523	-£756	£1,767
Uplands Educational Trust	RT	1.0		£18,000	-£4,977	-£6,000	£7,023	-£2,700	£4,323
Uplands Educational Trust	AR	0.8		£16,560	-£4,977	-£6,000	£5,583	-£1,674	£3,909
Uplands Educational Trust	CB	0.6		£12,420	-£4,977	-£6,000	£1,443	-£216	£1,227
Uplands Educational Trust	ML	0.8		£14,400	-£4,977	-£6,000	£3,423	-£513	£2,910
Uplands Educational Trust	ZP	0.8		£18,000	-£4,977	-£6,000	£7,023	-£1,053	£5,970
Uplands Educational Trust	RW	0.8		£18,000			£18,000	-£2,700	£15,300
Uplands Educational Trust	SB	0.8		£14,400	-£4,977	-£6,000	£3,423	-£513	£2,910
Uplands Educational Trust	RB	0.8		£18,000	-£4,977	-£6,000	£7,023	-£3,511	£3,512
Uplands Educational Trust	NT	0.8		£14,400			£14,400	-£2,160	£12,240
Uplands Educational Trust	MA	0.8		£18,000	-£4,977	-£6,000	£7,023	-£2,106	£4,917
Uplands Educational Trust	CS	0.6		£13,500	-£4,977	-£6,000	£2,523	-£1,261	£1,262
Uplands Educational Trust	MD	0.8		£18,000	-£4,977	-£6,000	£7,023	-£3,511	£3,512
Uplands Educational Trust	AA	0.6		£13,500	-£4,977	-£6,000	£2,523	-£756	£1,767
Uplands Educational Trust	SD	0.6		£13,500	-£4,977	-£6,000	£2,523	-£1,261	£1,262
Uplands Educational Trust	KA	1.0		£22,500	-£4,977	-£6,000	£11,523	-£8,066	£3,457
Uplands Educational Trust	KN	1.0		£22,500	-£4,977	-£6,000	£11,523	-£5,761	£5,762
Uplands Educational Trust	HW	0.8		£18,000			£18,000	-£9,000	£9,000
Uplands Educational Trust	RV	0.8		£18,000			£18,000	-£9,000	£9,000
Uplands Educational Trust	SB	0.6		£13,500	-£4,977	-£6,000	£2,523	-£1,261	£1,262
Uplands Educational Trust	CN	1.0	18.8	£18,000	-£4,977	-£6,000	£7,023	-£1,053	£5,970
West of England School & College	HV	1.0	1.0	£87,472	-£4,977		£82,495	-£26,242	£56,254
		46.8	46.8	£1,565,068	-£238,896	-£222,000	£1,104,172	-£312,745	£791,427

2014/15 FYR cost at 2 / 3

£527,618

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	2013/14	2014/15	No	Band
Cirencester College			0	Bespoke
<u>Place Numbers</u>			0	1
Number of SBC commissioned places - estimate	14	14	0	2
Number of SBC commissioned places - actual / latest	7	8	3	3
Number of EFA funded places	14	14	2	4
			1	5
			2	6
<u>Funding allocated</u>			8	
Element 1 paid by EFA @ £4,977 for commissioned places	£34,839	£39,816		
Element 2 paid by EFA @ £6,000 for commissioned places	£42,000	£48,000		
Element 3 paid by SBC @ agreed rates for commissioned places	£10,020	£26,079		
Total funding for Commissioned places	£86,859	£113,895		
Average total funding per pupil	£12,408	£14,237		
Average element 2 and 3 funding per pupil	£7,431	£9,260		
Surplus element 2 funding above commissioned places	£42,000	£36,000		
less Element 3 funding due but deducted from surplus EI 2 (2013/14 only)	-£10,020			
	£31,980	£36,000		
	£31,980	£36,000		
Total funding paid / payable to provider	£118,839	£149,895		
Average total funding per provider including surplus Element 2's	£16,977	£18,737		
New College			4	Bespoke
<u>Place Numbers</u>			2	1
Number of SBC commissioned places - estimate	73	45	2	2
Number of SBC commissioned places - actual / latest	26	40	3	3
Number of EFA funded places	66	45	3	4
			8	5
			18	6
<u>Funding allocated</u>			40	
Element 1 paid by EFA @ £4,977 for commissioned places	£129,402	£199,080		
Element 2 paid by EFA @ £6,000 for commissioned places	£156,000	£240,000		
Element 3 paid by SBC @ agreed rates for commissioned places	£105,236	£198,517		
Total funding for Commissioned places	£390,638	£637,597		
Average total funding per pupil	£15,025	£15,940		
Average element 2 and 3 funding per pupil	£10,048	£10,963		
Surplus element 2 funding above commissioned places	£282,000	£30,000		
less Element 3 funding due but deducted from surplus EI 2 (2013/14 only)	-£105,236			
	£176,764	£30,000		
	£176,764	£30,000		
Total funding paid / payable to provider	£567,402	£667,597		
Average total funding per provider including surplus Element 2's	£21,823	£16,690		
Swindon College			0	Bespoke
<u>Place Numbers</u>			11	1
Number of SBC commissioned places - estimate	111	148	15	2
Number of SBC commissioned places - actual / latest	98	120	37	3
Number of EFA funded places	111	148	24	4
			16	5
			17	6
<u>Funding allocated</u>			120	
Element 1 paid by EFA @ £4,977 for commissioned places	£487,746	£597,240		
Element 2 paid by EFA @ £6,000 for commissioned places	£588,000	£720,000		
Element 3 paid by SBC @ agreed rates for commissioned places	£538,801	£601,616		
Total funding for Commissioned places	£1,614,547	£1,918,856		
Average total funding per pupil	£16,475	£15,990		
Average element 2 and 3 funding per pupil	£11,498	£11,013		
Surplus element 2 funding above commissioned places	£78,000	£168,000		
less Element 3 funding due but deducted from surplus EI 2 (2013/14 only)	-£78,000			
	£0	£168,000		
	£0	£168,000		
Total funding paid / payable to provider	£1,614,547	£2,086,856		
Average total funding per provider including surplus Element 2's	£16,475	£17,390		

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2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

Author:	Head of Finance – Education and Innovation
Wards:	All
Locality Affected:	All
Settings Affected:	As the DSG is ring fenced funding provided by the DfE to meet the educational needs of early years, school age and all 0 to 25 year old high need pupils, any decisions on how the grant is utilised indirectly affects all settings. Issues in this report will specifically affect early years' providers and mainstream schools.

1. Purpose and Reasons

- 1.1 To provide Schools Forum members with an update on Schools Block and Early Years Block DSG prospects for 2015/16 and the indicative impact of increases to early years and mainstream school funding. The report also includes budget proposals relating to some centrally funded services, options to enhance pupil growth funding for expanding schools and an update relating to de-delegations.
- 1.2 No new Information has been provided relating to the 2015/16 high needs block funding, although the DfE has restated a commitment to review this after the next election and an update will be presented to the January 2015 Schools Forum. This report includes an update arising from the first meeting of the High Needs Task and Finish group at which various issues relating to 2015/16 local funding were discussed.

2. Recommendations

The Schools Forum is recommended to:

- 2.1 Note that Swindon expects to receive a total of **£123.691m** in Schools Block DSG in 2015/16
- 2.2 Note that the 2015/16 settlement is expected to include an additional **£4.3m** of Schools Block funding in 2015/16 which, together with **£0.5m** available from elsewhere could be passed on to mainstream schools
- 2.3 Agree to a **4.15%** uplift to the Basic Per Pupil Entitlement factor in the local formula from 2015/16 onwards
- 2.4 Note the indicative impact across Swindon Schools as shown at Annex A subject to final figures being produced for the January 2015 Schools Forum.
- 2.5 Agree that from 2015/16 trigger funding is provided at a value per pupil which reflects sector average funding for that year and that the 21 pupil break even calculation for reception age pupils is retained but kept under review.

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

- 2.6 Primary Sector Schools' Forum representatives are asked to give their views on whether primary schools and primary academies will support continued LA commissioning of the primary behaviour support service provided by the Nylands Campus Outreach Team from April 2015.
- 2.7 Note that Swindon expects to receive **£2.788m** of disadvantaged 2 year old and **£8.466m** of 3 and 4 year old early years DSG in 2015/16
- 2.8 Support a 2.7% increased 3 and 4 year old early years hourly rate of **£3.85** payable from 1st April 2015.
- 2.9 Note the further information available regarding the new Early Years Pupil Premium for disadvantaged 3 and 4 year olds valued at £50m nationally which will be allocated to LAs in 2015/16

3. School Funding Reform Update – Extra Schools Block DSG 2015/16

- 3.1 At the last Forum meeting in July members were advised that the DfE would not be introducing a national fair funding formula for schools until after the next general election but that a significant first step change was planned which would be beneficial to the least fairly funded LAs. DfE will be introducing a new Minimum Funding Level (MFL) for the distribution of the schools block DSG allocations in 2015/16 and, based on October 2013 pupil numbers and pupil characteristics (FSM, EAL etc.) They had calculated whether individual LAs were receiving enough DSG to be able to provide a sufficient level of funding to their mainstream schools. Of the 151 Local Education Authorities 62 were assessed as not receiving funding up to the MFL and would therefore be eligible for DSG increases which would total £350m.
- 3.2 Swindon was listed as one of the eligible LAs and indicative figures were that we would receive a 2.5% increase to our current schools block DSG per pupil value of £4,102.23, which in cash terms would represent an extra £2.9m. A number of LAs including F40 Authorities were however unhappy that they were receiving either low or no increases and that no steps were being taken to fundamentally reform the overall school funding system (i.e. redistribute funding across LAs) Many LAs and would be expressing their concerns in consultation responses. Furthermore those LAs such as Swindon which were experiencing year on year pupil growth would still be disadvantaged due to the lagged basis by which DSG is calculated as no steps were being proposed to alleviate this. Many LAs were also concerned that the high needs block funding needed to be fundamentally reviewed and this was not being addressed.
- 3.3 Changes to non recoupment academies were being proposed which would require all Swindon pupils (other than those school age pupils on the rolls of the UTC and FE Colleges) to be included in LA DSG calculations and for their funding to be determined via the local formula and then recouped. This would mirror arrangements that are in place for all converter and part recoupment

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

academies and for Swindon would bring Croft school back into the LA for funding purposes. This is entirely logical but will bring back pupil growth pressure arising from September pupil increases at Croft for the next few years until the school is full.

- 3.4 At the time of the July Forum DfE were still considering consultation responses and intended to provide final 2015/16 schools block units of funding in the summer. On 17th July DfE announced that all the changes were going ahead as planned and they provided final 2015/16 schools block units of funding (SBUF's) for all LAs which reflected an overall increase of £390m, £40m higher than originally announced. For Swindon our SBUF will increase from £4,102.23 to £4,250.55 an increase of 3.6% per mainstream pupil which is £45.76 per pupil higher than expected. Based on October 2013 pupil numbers of 28,700 this will bring in an extra £4.3m of DSG whereas this was originally expected to be £2.9m illustrating that Swindon has gained a significant share of the extra £40m national allocation.
- 3.5 Our initial estimate is that October 2014 pupil numbers will be approximately 29,100 (400 above October 2013) which, at the new SBUF value of £4,250.55, will generate School Block DSG funding of **£123.691m**.

Increased Mainstream Funding 2015/16

- 3.6 Although we will still need to set aside pupil growth funding from the 2015/16 DSG settlement to provide set up and trigger funding for expanding schools, the overall position is still very favourable. DfE have explicitly stated that, although not ring fenced, they expect those LAs which are receiving additional Schools Block DSG to pass it on to mainstream schools, which is in line with the decision taken at the July Forum meeting.
- 3.7 At the July meeting Forum members were provided with information on how mainstream funding was currently allocated together with a review of all the options available by which the additional DSG of £2.9m could be allocated through to schools including the LA's recommendations that BPPE and lump sums were the most appropriate. Schools Forum did not support the review of lump sums and agreed the following;
- A) That the first call on the additional DSG is to make permanent the primary BPPE increase introduced in 2013/14 of £54.77 (funded from the DSG balance) which will require approximately £1m of the new funding.
 - B) That remaining funds after A) are to be distributed as an inflationary increase on all BPPE rates which would use the remaining £1.9m.
- 3.8 Given the improved DSG settlement and, as reported elsewhere on today's agenda a £0.5m reduction in the historic post 16 high needs subsidy

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

requirement, there is scope to enhance BPPE rates with additional funding of approximately £3.6m to £3.8m as follows;

Table 1 – Current and Proposed Mainstream BPPE Rates			
	Current 2014/15 Rates	Proposed 2015/16 Rates	Year on Year Increase
Primary KS1 / KS2	£2,644.65	£2,754.40	+ 4.15%
Secondary KS3	£3,463.50	£3,607.23	+ 4.15%
Secondary KS4	£4,224.98	£4,400.30	+ 4.15%

- 3.9 Attached as Annex A is a statement which provides the indicative impact in 2015/16 of the above increases across all Swindon schools other than Croft which will be added when the October 2014 census information is available. This is however based on October 2013 pupils and their characteristics whereas actual 2015/16 funding will be determined based on the October 2014 census. At this stage the year on year cash changes are therefore indicative although schools will be able to more accurately assess their position in light of their own census information.
- 3.10 Although a standard BPPE increase has been applied other elements of mainstream funding will remain unchanged which will result in marginally different % impacts on schools depending on their level of BPPE funding compared to lump sum, deprivation, LPA, EAL etc. It is also the case that three of the schools currently on MFG (Bishopstone, South Marston and East Wichel) will not receive any benefit as a result of the BPPE increase as this will be less than their current levels of MFG which will reduce accordingly. Wroughton Infants and Holy Rood Junior are also receiving relatively low level of MFG but the BPPE increases will remove this. All these schools will however benefit over the longer term as they will be receiving higher permanent funding and will be less reliant on temporary MFG funding which will phase out in future years. It is emphasised that these are indicative figures and final 2015/16 figures will be provided at the 20th January 2015 Schools Forum.

Schools Forum is asked to note

- **Note that Swindon expects to receive a total of £123.691m in Schools Block DSG in 2015/16**
- **Note that the 2015/16 settlement is expected to include an additional £4.3m of Schools Block funding in 2015/16 which, together with £0.5m available from elsewhere could be passed on to mainstream schools**
- **Agree to a 4.15% uplift to the Basic Per Pupil Entitlement factor in the local formula**

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

- Note the indicative impact across Swindon Schools as shown at Annex A subject to final figures being produced for the January 2015 Schools Forum.

Options to increase Pupil Growth Funding 2015/16

- 3.11 Forum Members will recall that the LA is required to formally agree its pupil growth policy and agree the annual values payable to schools arising from this policy. Swindon's policy currently covers pre opening start-up costs, set up costs (infrastructure and classrooms) and trigger funding. No policy changes are proposed regarding pre opening or set up funding but as a consequence of the BPPE increase above, expanding schools will attract 4.15% increases to their part year trigger funding and related issues, including more generous options are described below.
- 3.12 The current trigger funding policy provides part year (7/12) funding based on actual additional pupils in all expansion classes but with break-even protection to ensure schools receive funding for at least 21 pupils (and therefore sufficient cash to cover teaching costs) in their new reception classes. The LA has identified a more generous option which would recognise BPPE and other pupil led funding such as FSM, IDACI, EAL and LPA funding although to keep things relatively simple this would need to be based on Swindon sector averages rather than individual school by school calculations. Over recent years trigger funding has only been applicable to the primary sector although this is likely to become a secondary issue in future years. The table below illustrates the different values and resulting part year (7/12) trigger funding costs for a typical 30 pupil expansion class;

<u>Table 2 – Trigger Funding Options 2015/16</u>			
	<u>Option A</u>	<u>Option B</u>	<u>Option C</u>
	Current BPPE Rates	Proposed BPPE Rates	Proposed Pupil led Rates
Primary rate (KS 1/2)	£2,644.65	£2,754.40	£3,336.99
Part year funding (30 pupils)	£46,281	£48,202	£58,397
Increase above current		+4.15%	+26.18%
Secondary (KS 3/4)	£3,463.50	£3,607.23	£4,216.71
Part year funding (30 pupils)	£60,611	£63,126	£73,792
Increase above current		+4.15%	+21.71%

- 3.13 The improved DSG settlement will automatically increase trigger funding up to Option B above whilst the improved schools block DSG settlement would make Option C affordable should the Forum agree this. It is perhaps relevant to

5

Further information on the subject of this report can be obtained from Steve Haley, on 01793 465794 or shaley@swindon.gov.uk

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

acknowledge that, as and when additional pupils become recognised for recurrent funding purposes in the local formula, they will attract FSM, IDACI, EAL and LPA funding as well as BPPE funding so paying enhanced rates as shown at Option C would be more consistent. On the other hand, due to the lagged basis by which schools block DSG is calculated, all pupil growth funding has to be top sliced from funds allocated for existing pupils so all other schools are effectively foregoing a small amount of funding to create the Pupil Growth budget.

- 3.14 Provisional indications are that the increased option c rates could be paid from 2015/16 without the LA having to increase its overall pupil growth budget but over the longer term, particularly when growth occurs in the secondary sector this position may change. It is of course possible that a national formula for funding mainstream pupils will be implemented after the election which would supersede local decisions. On balance the LA view is that the option C trigger funding rates should be payable as a result of the improved 2015/16 DSG settlement.
- 3/15 On a related matter the increased BPPE funding alone would generate an additional £1,346 of part year funding which would not necessitate a rethink of the 21 pupil break even calculation which currently applies to reception classes. Increasing the policy to pay higher pupil led funding (option c) would however generate additional part year funding of £8,482 thereby reducing the break even calculation to 17 pupils. On balance the LA is minded to retain the existing 21 pupil break even position to recognise that teaching costs increase each year and also the financial uncertainties faced by expanding schools

Schools Forum is asked to agree that from 2015/16 trigger funding is provided at a value per pupil which reflects sector average funding for that year and that the 21 pupil break even calculation for reception age pupils is retained but kept under review.

4. High Needs Commissioned Services and De-delegation

Nylands Campus Outreach Team (NCOT)

- 4.1 Leading up to the 2014/15 budget setting process Forum Members may recall that the LA was advised by the EFA that it was not possible to continue funding the Primary Behaviour Support Team in 2015/16 without consulting with maintained schools to agree de-delegation. Although classified by the LA as being a service for High Need pupils, the LA accepts that these pupils are not generally statemented and may not cost £10,000 per year to educate. Under the DfE definition the £232,700 of funding used by the LA to support behaviour support is therefore deemed to be schools block DSG and usage must therefore be agreed with the mainstream schools which are benefiting from the service.

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

- 4.2 As highlighted in previous Forum reports and fully explained at the July Forum meeting, to comply with the school finance regulations the LA will therefore need to allocate £232,700 across primary schools next year via a BPPE uplift of approximately £13 per pupil. If there is a consensus view that this service represents good value for money and should continue:
- The LA can arrange de-delegation of funding back to NCOT from maintained primary schools charged at £13 per pupil – this would provide approximately £138,000
 - The LA can administer a basic traded payment service arrangement on behalf of NCOT to collect annual contributions from primary academies at £13 per pupil – this would provide approximately £94,700.
 - The LA can then continue to directly fund the existing service. (Should any primary academy choose not to subscribe to the service the charge to remaining users could be increased to maintain a similar level of funding or the service may need to be slimmed down to reflect the level of support from schools).
- 4.3 Alternatively, the LA could delegate funding across the primary sector and leave the White Horse Federation to establish a school to school traded service on either a subscription or pay as you go basis. As stated in previous Schools' Forum reports, the LA believes that the service is highly effective and represents good value as an integral part of the overall BESD graduated response service. At a meeting of SAPH on 24th September, Head Teachers voted unanimously to keep the NCOT service (51 HTs were in attendance). The Federation is happy to continue providing the service but clearly would not wish this to be administratively onerous. Should it prove necessary to decommission the service, this would have potential redundancy implications and schools would need to seek alternative providers of behaviour support services.

Primary Sector Schools' Forum representatives are asked to give their views on whether the LA should continue to commission the primary behaviour support service provided by the Nylands Campus Outreach Team for the financial year 2015/16.

Other Centrally Commissioned Services

- 4.5 At the July Forum meeting the LA advised that it may also be necessary to take similar steps to de-delegate funding in respect of other centrally commissioned services which were also targeted at mainstream pupils, as opposed to high needs pupils. Of particular concern was the Uplands Assistive Technology service and Millbrook, Specific Learning Difficulties Support Service but the LA is pleased to advise that the EFA have agreed that these services can continue to be commissioned without any need for de-delegation to be considered.

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

5. Early Years Proposals and Pupil Premium Update

Early Years DSG Settlements 2015/16

- 5.1 DfE have, as expected, confirmed that all early years funding paid to LAs for 2015/16 will be based on participation as measured by the early year censuses. Funding for 3 and 4 year olds has been based on January census counts since 2013/14 whilst disadvantaged 2 year old funding has so far been linked to a DfE formula with take up targets and trajectory building funding. This change brings in an added degree of uncertainty and a potential financial risk to the DSG should participation on census days be lower than average.
- 5.2 For 2 year olds DfE have also indicated that grant will be allocated for eligible children only, which the DfE has estimated at a maximum of 1,100 children in Swindon. DfE have not announced a 2015/16 funding rate for 2 year olds and at this stage the LA is assuming the current year hourly rate of £4.98 for 570 hours providing £2,838.60 will apply. The DfE partner for the 2 year old project has indicated that there will be an additional census count in October 2015 to take into account the stepped increase in LAs providing places for two year olds although whether this census will be used to determine funding remains unclear.
- 5.3 Initial estimates of 2015/16 Early years funding are as follows:

Table 3 – Estimated Early Years Nursery Funding 2015/16		
	Estimated census	Estimated DSG
Disadvantaged 2 year Olds		
January 2014 census (latest) for information	630	-
January 2015 census x 5/12 x £2,838.60	957(5/12)	£1,131,892
January 2016 census x 7/12 x £2,838.60	1,000 (7/12)	£1,655,850
Totals	982 (FTE)	£ 2,787,742
3 and 4 year Olds		
January 2014 census (latest) for information	2,104	-
January 2015 census x 5/12 x £3,888.06	2,108 (5/12)	£3,415,013
January 2016 census x 7/12 x £3,888.06	2,227 (7/12)	£5,050,914
Totals	2,177 (FTE)	£8,465,927

Schools Forum is asked to note that Swindon expects to receive;

- **Approximately £2.788m in Early Years Disadvantaged 2 year old DSG in 2015/16**
- **Approximately £8.466m in Early Years 3 & 4 year old DSG in 2015/16.**

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

Proposed Inflationary Increase to 3 and 4 Year Old Early Years Funding Rates

- 5.4 Forum Members may recall from the 2013/14 outturn report presented in July that the LA was surprised to have received an extra £197,300 of Early Years DSG above budget as a consequence of a higher than expected January 2014 census. This extra income was received despite the LA marginally underspending its £7.5m provider budgets by £88,000 which was one of the reasons which contributed to a higher DSG balance being carried forward at the end of last year. This indicates that the LA was overly cautious in setting the 2014/15 early years budget and that there is scope to fund a modest inflationary increase in 2015/16 with a low risk that a cost pressure could arise which would not be fully funded by an increase in Early Years DSG.
- 5.5 The basic early year's hourly rate of £3.75 per hour has remained unchanged since 2010 in line with the freeze on early years DSG funding per pupil imposed by DfE since 2010/11. Since that time a number of providers, particularly the smaller nurseries and childminders which have less scope to achieve economies of scale have asked the LA if an inflationary increase could be considered but this has so far been resisted. Set out below are the hourly rates currently payable by neighbouring LAs which, with an average of £4.07, supports an increase to SBC funding rates;

- | | |
|-------------------------|--------------------------------|
| • Bristol | £3.69 to £5.57 (average £4.64) |
| • Wiltshire | £3.71 to £6.07 (average £4.89) |
| • South Gloucestershire | £3.53 to £4.00 (average £3.77) |
| • Oxfordshire | £3.90 |
| • BANES | £3.55 |
| • Gloucestershire | £3.39 |
| • Devon | £3.62 |
| • West Berks | £3.70 to £5.92 (£4.81 average) |

Schools Forum is asked to approve a 2.7% inflationary increase bringing the 3 and 4 year old hourly rate up from £3.75 to £3.85 with effect from 1st April 2015.

Early Years Pupil Premium

- 5.6 In the July Schools Forum papers the LA reported that DfE had announced that funding of £50m has been set aside in national spending plans to introduce a new Early Years Pupil Premium (EYPP) for disadvantaged 3 and 4 year olds in 2015/16. Full details had yet to be announced as they were subject to a consultation process but key issues identified were;
- The indicative EYPP funding for Swindon is estimated at £164,619.

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

- In addition to the hourly rate payable by LAs (currently £3.75 per hour in Swindon) there will be a national rate of £0.53 per hour per child. This equates to £300 per annum per child accessing the full 570 hours entitlement per year
 - The eligibility of parents for EYPP will be the same as school age Pupil Premium (PP), but the allocation does not include 2 year olds as the funding is already targeted at the most disadvantaged.
 - 4 year olds in reception classes will not receive EYPP as they already receive school age PP.
 - Similar to the Universal Infant Free School Meals and school age PP, the providers will be responsible for collating the parental information and the LA carries out an eligibility check, and pays the national rate.
- 5.6 The consultation closed on the 22nd August 2014 and the DfE are analysing the feedback. It is expected that an announcement on further information relating to the new Early Years Pupil Premium will be made by the end of 2014.

Schools Forum is asked to note the information relating to the new Early Years Pupil Premium for disadvantaged 3 and 4 year olds which will be allocated to LAs in 2015/16

6. High Needs DSG 2015/16 Update

- 6.1 Forum Members will be aware that DfE confirmation of the 2014/15 High Needs Block DSG value was very late and that this delayed the LA from finalising high need funding allocations to specialist settings. Whereas Early Years and Mainstream Funding for 2014/15 was able to be agreed at the January 2014 Schools Forum meeting, Special School and SRP funding was not agreed until the March 2014 Schools Forum whilst there was still a considerable degree of uncertainty. As reported elsewhere on today's agenda, this year's post 16 funding was not finalised until August and post schools high need funding for the 2014/15 academic year is to be agreed at today's meeting.
- 6.2 The DfE delays in finalising High Need Block grant values seem to be linked to the process by which place numbers are agreed and guidance so far is that 2015/16 places and funding will be based on 2014/15 with only a review in exceptional circumstances. At this stage the LA must therefore plan on having a similar level of high needs funding in 2015/16 as is currently available in 2014/15, regardless of changes in overall high need pupil numbers or their needs. DfE have acknowledged that there is a need to fundamentally review how High Needs funding is determined and have expressed a commitment to address this after the next general election. The only announcement made so far is that DfE intend raising place funding for Alternative Provision settings from £8,000 to £10,000 which would bring them in line with Specialist settings. For Swindon this

10

Further information on the subject of this report can be obtained from Steve Haley, on 01793 465794 or shaley@swindon.gov.uk

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

would mean increasing EOTAS place funding at Stratton and Riverside with corresponding reductions to the top up rates payable. Further updates will be provided to the Forum as and when information becomes available.

6.3 Against this financial backdrop the LA recently reconvened the High Needs Task and Finish group to progress the workplan approved by Schools Forum at the July Forum meeting. Three task group meetings have been scheduled during 2014 and the following matters were discussed on the 22nd September;

- Therapy supplements are to be reviewed with a view to establishing three levels of eligibility with three levels of funding. The current methodology recognises only High and Low needs and the consensus view of the group was that the addition of a middle option was appropriate for Speech and Language, Physiotherapy and Occupational Therapy supplements.
- Autistic Spectrum Disorder (ASD) Supplement in Special Schools – at present a supplement of £1,000 is payable in respect of Band 1 pupils in special schools whilst all SRP pupils with ASD attract £6,000. This is essentially to acknowledge that smaller SRP settings require higher levels of funding as they are unable to achieve the economies of scale of a special school. There was a view that an ASD supplement should be payable for all special school pupils.
- The current band values are based on a model whereby band 2 to 6 funding is based on % ages of band 1 funding. At present Band 2 attracts 90% of band 1 whilst band 3 attracts 50% of band 1 with gradual reductions through to band 6 of 37.5%, 30% and 15%. The consensus view of the group was that the gap between 2 and 3 was too large and that band 3 should move from 50% to 60% of band 1
- In constructing a harmonised funding model which, from the start of 2014/15 was used to determine Special School and SRP funding levels, the LA had to bring together two different formulas within an overall funding envelope. This inevitably resulted in changes to per pupil funding across these settings and whilst the LA was to a large extent able to minimise year on year reductions for individual settings there are a few remaining anomalies. The current model provides the same level of funding for 6 of the 9 prime SEN needs used for banding purposes and the views of the group with regard to band and supplements were as follows;
 - Moderate Learning Difficulties (MLD) and Specific Learning Difficulties (SLD) – due to historic anomalies funding for these pupils had to be set marginally lower than the other 6 prime needs. Band 1 pupils attract £12,800 (compared to £13,300) whilst Band 2 attract £11,500 (compared to £12,000) and Band 3 pupils attract £6,400 (compared to £6,700) before bands 4 to 6 are harmonised. The consensus view of

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

the group is that MLD and SLD funding levels should be fully harmonised with the other prime needs.

- Behaviour Emotional Social Difficulties (BESD) – The level of BESD funding has been a subject of deliberations over recent years. Prior to the DfE school funding reform changes the LA developed a new local formula approach to special school funding which was implemented in 2012/13. At that time the issue of higher funding for BESD pupils was discussed culminating in an acceptance by ASSSH that this needed to reduce down from what was at the time a 35% premium above other special school pupils down to 20%. This is the current position as overall funding for BESD pupils in 2014/15 (Place and Top up) is set to be 20% above other SEN pupils for Bands 1, 2 and 3 pupils. Moving forward the consensus view of the group is that rather than identifying BESD as a prime need this should be funded as a Social, Emotional and Mental Health (SEMH) supplement in line with the latest SEND reform guidance. This would enable all band values to be harmonised whilst still enabling relevant settings to attract additional funding via either a fixed SEMH supplement or with different supplement values for different bands or via a High, Medium, Low approach.

- 6.4 The LA will model all the above options based on an assumption that the existing funding envelope will remain in place for 2015/16. This will therefore inevitably require minor reductions to existing band values in order to redistribute necessary funds although the LA will be seeking to ensure individual settings are not excessively disadvantaged by any changes. It is important to recognise that the above mentioned items have been identified for review and that no firm proposals are being made at this time. The LA will model various options and will provide this to the next Task and Finish group on 21st October. Should a consensus view emerge from the group proposals relating to 2015/16 will be presented at the 20th January 2015 Schools Forum.

Schools Forum is asked to note that

- ***The LA is currently expecting that 2015/16 High Needs Block DSG will be broadly sufficient to cover current overall levels of expenditure and that updates will be provided to the Forum as and when further information becomes available***
- ***A range of High Need band and supplements have been identified for review and the LA will undertake modelling on various options via the task group with a view to presenting proposed 2015/16 changes to the January 2015 Schools Forum***

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

7. Alternative Options

- 7.1 There are various alternatives on how the additional DSG could be distributed as were described in the July Forum report. The LA has a statutory duty to manage and distribute the DSG in accordance with prevailing grant conditions and the school finance regulations. Although under the school funding reform programme DfE are more prescriptive in terms of options and approaches in most cases funding values are locally determined.

8. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 8.1 Various financial implications are included in this report relating to management of the retained DSG budget

Legal and Human Rights Implications

- 8.2 There are no legal or human rights implications arising from this report.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 8.3 This report does not make any recommendations that affect these areas.

Links to One Swindon, Plans and Policies

- 8.4 Utilisation of the DSG has a direct link to two of the Council's five priorities – "Right skills, right jobs in the right place" and "Make the best use of Swindon's resources inside and outside the Council".

Diversity Impact Assessment

- 8.5 As there are no proposals in this report, a DIA has not been completed.

Risk Management

- 8.6 There are no specific risk management implications not highlighted in the body of the report.

9. Consultees

- 9.1 The Board Director Resources (Section 151 Officer), Director of Law and Democratic Services (Monitoring Officer) and Board Director Commissioning (Director of Children Services) are consulted in respect of all reports.

10. Background Papers

- 10.1 Previous reports to the Schools Forum and documents posted on the DfE web site.

13

Further information on the subject of this report can be obtained from Steve Haley, on 01793 465794 or shaley@swindon.gov.uk

2015-16 DSG Update and Budget Proposals

Schools Forum

Date: 7th October 2014

11. Appendices

- Appendix 1 – Indicative impact of 2015/16 mainstream school funding increases

Appendix 1

Illustration of the impact of 4.15% increase in BPPE at KS1/2 and KS3 and KS4 in 2015/16

Note figures are based on October 2013 pupils and will be subject to change following the October 2014 PLASC.

School Name	October 2013 Number on Roll	2014/15 Budget	2015/16 Budget	2015/16 Increase	Percentage Increase	Notes
Abbey Meads Community Primary	385	£1,358,352	£1,400,606	£42,254	3.11%	
Beechcroft Infants	249	£925,883	£953,210	£27,328	2.95%	
Bishopstone School	39	£287,618	£287,618	£0	0.00%	MFG
Bridlewood Primary School	258	£870,391	£898,707	£28,316	3.25%	
Brook Field County Primary	409	£1,338,648	£1,383,535	£44,888	3.35%	
Catherine Wayte Primary School	416	£1,322,743	£1,368,399	£45,656	3.45%	
Chiseldon Primary School	165	£592,505	£610,613	£18,109	3.06%	
Colebrook Infant Academy	132	£500,110	£514,597	£14,487	2.90%	
Colebrook Junior School	174	£644,459	£663,556	£19,097	2.96%	
Covingham Park Primary School	365	£1,209,171	£1,249,230	£40,059	3.31%	
Drove Primary School	562	£2,059,999	£2,121,678	£61,680	2.99%	
East Wichel Community Primary School	223	£901,677	£901,677	£0	0.00%	MFG
Eastrop Infants	165	£582,657	£600,766	£18,109	3.11%	
Eldene Primary School	311	£1,262,945	£1,297,077	£34,132	2.70%	
Even Swindon Primary School	449	£1,730,584	£1,779,862	£49,278	2.85%	
Ferndale Community Primary	394	£1,421,418	£1,464,660	£43,242	3.04%	
Goddard Park Primary School	453	£1,852,959	£1,902,676	£49,717	2.68%	
Gorse Hill Primary	386	£1,420,174	£1,462,538	£42,364	2.98%	
Grange Infants	270	£903,270	£932,902	£29,633	3.28%	
Grange Junior School	352	£1,139,055	£1,177,687	£38,632	3.39%	
Greenmeadow School	262	£906,401	£935,155	£28,755	3.17%	
Haydon Wick Primary School	269	£940,939	£970,462	£29,523	3.14%	
Haydonleigh Primary School	438	£1,398,631	£1,446,702	£48,071	3.44%	
Hazelwood Academy	175	£715,688	£734,895	£19,206	2.68%	
Holy Family Catholic Primary	302	£1,197,368	£1,230,512	£33,145	2.77%	
Holy Rood Catholic Infant Sch	179	£703,078	£722,723	£19,645	2.79%	
Holy Rood R.C. Junior	272	£945,475	£970,655	£25,180	2.66%	MFG
King William Street Ce	200	£718,762	£740,712	£21,950	3.05%	
Lainesmead Primary School	362	£1,441,443	£1,481,172	£39,730	2.76%	
Lawn Primary School	411	£1,426,793	£1,471,900	£45,107	3.16%	
Lethbridge Primary School	481	£1,457,511	£1,510,301	£52,790	3.62%	
Liden Primary School	281	£1,043,549	£1,074,389	£30,840	2.96%	
Millbrook Primary	295	£1,156,826	£1,189,202	£32,376	2.80%	

Moredon Primary & Nursery School	401	£1,612,622	£1,656,632	£44,010	2.73%	
Mountford Manor Primary And Nursery School	209	£958,438	£981,376	£22,938	2.39%	
Nythe Primary School	163	£651,224	£669,113	£17,889	2.75%	
Oakhurst Community Primary School	415	£1,385,700	£1,431,246	£45,546	3.29%	
Oaktree Primary School	298	£1,348,372	£1,381,077	£32,706	2.43%	
Oliver Tomkins C.E. Infant School	159	£676,375	£693,825	£17,450	2.58%	
Oliver Tomkins C.E. Junior	181	£772,167	£792,032	£19,865	2.57%	
Orchid Vale Primary School	290	£1,089,833	£1,121,660	£31,828	2.92%	
Peatmoor Community Primary	193	£666,227	£687,409	£21,182	3.18%	
Red Oaks Primary School	476	£1,705,395	£1,757,636	£52,241	3.06%	
Robert Le Kyng Primary School	405	£1,470,859	£1,515,308	£44,449	3.02%	
Rodbourne Cheney Primary School, Broadway, Swindon	253	£962,995	£990,762	£27,767	2.88%	
Ruskin Junior	373	£1,374,392	£1,415,328	£40,937	2.98%	
Seven Fields Primary School	264	£1,243,624	£1,272,598	£28,974	2.33%	
Shaw Ridge Primary School	374	£1,286,424	£1,327,470	£41,047	3.19%	
South Marston C Of E Primary	107	£470,133	£470,133	£0	0.00%	MFG
Southfield Junior School	225	£765,680	£790,374	£24,694	3.23%	
St Catherine's Primary	195	£728,839	£750,240	£21,401	2.94%	
St Francis C Of E	393	£1,249,051	£1,292,183	£43,132	3.45%	
St Leonard'S Ce Primary Academy	193	£658,875	£680,056	£21,182	3.21%	
St Mary'S Rc School	307	£1,068,827	£1,102,520	£33,693	3.15%	
Tadpole Lane	35	£162,350	£166,169	£3,819	2.35%	
Tregoe Primary	202	£733,027	£755,196	£22,170	3.02%	
Wanborough Primary School	206	£694,958	£717,566	£22,609	3.25%	
Westlea School	304	£1,083,682	£1,117,046	£33,364	3.08%	
Westrop Primary	214	£758,150	£781,636	£23,487	3.10%	
Wroughton Infants School	244	£839,923	£855,422	£15,499	1.85%	MFG
Wroughton Junior School	341	£1,126,374	£1,163,799	£37,425	3.32%	
Churchfields Academy	858	£4,477,012	£4,612,337	£135,325	3.02%	
Commonweal School	1,076	£4,818,740	£4,987,009	£168,269	3.49%	
Dorcan Academy	906	£4,442,854	£4,584,888	£142,034	3.20%	
Highworth Warneford School	907	£3,920,605	£4,062,372	£141,767	3.62%	
Isambard Community School	1,140	£5,410,542	£5,589,147	£178,605	3.30%	
Kingsdown School	1,175	£5,333,917	£5,518,184	£184,267	3.45%	
Lydiard Park Academy	929	£4,410,578	£4,556,865	£146,288	3.32%	
Nova Hreod	964	£4,893,112	£5,045,030	£151,918	3.10%	
Ridgeway School,	961	£4,219,850	£4,371,401	£151,550	3.59%	
St Joseph's Catholic College	1,107	£5,055,328	£5,228,400	£173,072	3.42%	
Swindon Academy	1,178	£5,733,085	£5,888,457	£155,371	2.71%	
Contingency for pupil expansion / funding differences						£206,943
TOTAL		£116,637,219	£120,230,276	£3,800,000	3.26%	

CALCULATION OF ADDITIONAL FUNDING			
	Rate Per Pupil £	Pupil Numbers	Total Schools Block Allocation
Schools Block 2014/15	£4,102	28,682	£117,653,564
Schools Block 2015/16	£4,251	28,682	£121,927,182
Total Additional Funding			£4,273,618
Other Adjustments			
£54.77 per Primary Pupil	£54.77	18,700	-£1,024,199
Funding retained for expansion			-£100,000
Additional Funding From 6th Form SEN			500,000
Total Funding Available for Distribution			£3,649,419

OPTION A AN EQUAL INCREASE PER PUPIL of £109.80			
Equal Amount per pupil	£127.24	28,682	£3,649,419.00

OPTION B 3.58% INCREASE IN BASIC PER PUPIL ENTITLEMENT				
Key Stage	BPPE 2014/15	4.15%	Pupil Numbers	Increased Funding
KS1/2	£2,644.65	£109.75	18,130	1,989,664
KS3	£3,463.50	£143.73	6,149	883,768
KS4	£4,224.98	£175.32	4,426	775,987
				3,649,419
Option B releases MFG				
MFG 2014/15				206,801
MFG of Option B				150,351
Net Reduction in MFG				-56,450
Total Cost of Option B				3,592,969
				0
3 Schools in Option B which were previously protected by MFG receive no additional funding				
- East Wichel Community Primary School				
- Bishopstone School				
- South Marston C of E Primary				

58,300

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