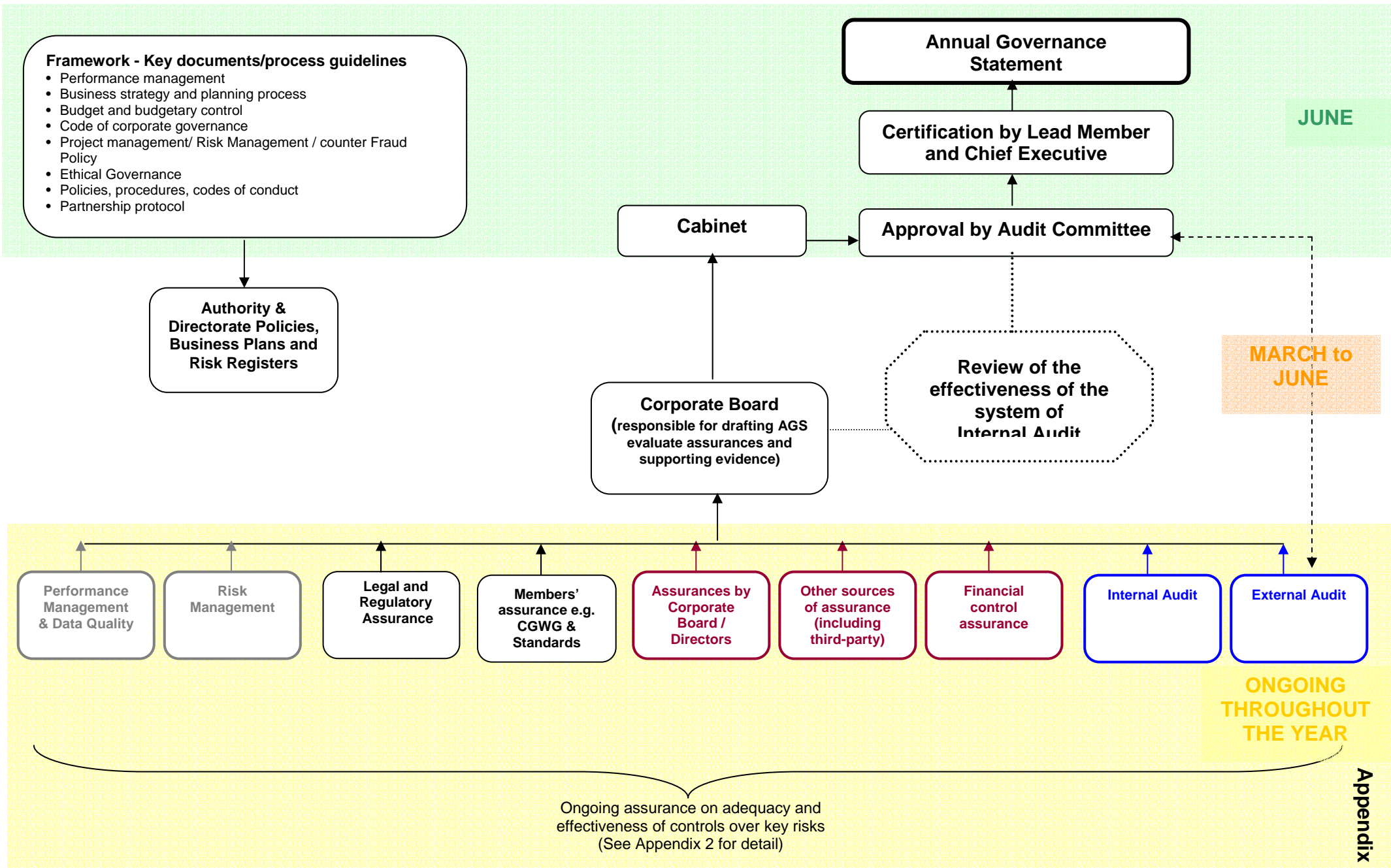


ANNUAL GOVERNANCE STATEMENT FRAMEWORK (FLOWCHART)



Examples of Evidence to Support Assurance on the Adequacy and Effectiveness of Controls Over Our Key Risks

Performance Management and Data Quality <ul style="list-style-type: none"> • Service Planning and Performance Management Framework • Annual Report on Council Spending & Performance • Internal & External Reviews • National & Local KPI's • Embedded System 	Risk Management <ul style="list-style-type: none"> • Risk Mgt. Strategy • Will be embedded in policies & planning • Annual review of compliance by Corporate Co-ordinate Committee • Effectiveness reviewed by Internal Audit • CGWG monitoring
Legal and Regulatory Assurance <ul style="list-style-type: none"> • Working Party reports • Ombudsman reports • Work of Standards Committee • Corporate Governance Working Group • 	Members' Assurance <ul style="list-style-type: none"> • Constitution • Code of Conduct • Code of Corporate Governance
Assurances by directors/service heads <ul style="list-style-type: none"> • Chief Officers' assurance statement • Reports by Inspectors/Auditors • Best value reports • Post implementation reviews of projects • Risk registers • Internal / consultancy work studies • 	Internal Audit <ul style="list-style-type: none"> • Head of Internal Audits opinion as expressed in the Annual Assurance report & periodic reports • Risk based internal audit plan • Significant risk reports • Audit Charter • Fraud reports & investigations
Financial Control Assurance <ul style="list-style-type: none"> • Service Planning and Performance Management Framework • Annual Report on Council Spending & Performance • Internal & External Reviews 	External Audit <ul style="list-style-type: none"> • Annual Plan • Audit & Inspection letter • Audit Opinion • Ad-hoc projects
Other sources of assurance (including third party) <ul style="list-style-type: none"> • Audit Commission • CPA • BVPP • Govt Inspectorates (e.g. Social Services, Ofsted) • Best Value Reviews 	