

SUMMARY OF 2014/15 INTERNAL AUDIT WORK

SYSTEM CONTROL	MATERIALITY AND IMPACT		
	High	Medium	Low
High Standard	<ul style="list-style-type: none"> Housing rents Treasury management Council Tax NNDR [Moderate risk]	[Minimal risk]	[Minimal risk]
Satisfactory	<ul style="list-style-type: none"> Corporate manslaughter Housing benefits: claim processing Adult safeguarding Creditors Treasury management: system control and integrity Open Housing: system control and integrity Payroll: system control and integrity Main accounting Car Parking: follow-up Main Payroll system Asset Management (Property) Health & Safety: Leisure (The Link) pilot review Rating of capital programme Housing capital programme: follow-up (improved audit opinion) Risk management Northgate & Anite: system control and integrity Debtors [Moderate risk]	<ul style="list-style-type: none"> Sheltered Housing: Cash handling (follow-up) RIPA compliance Payroll: Starters & Leavers Council tax discounts [Moderate risk]	[Minimal risk]
Significant improvements required	<ul style="list-style-type: none"> Housing capital programme Reduction in energy use Engagement of consultants Tenancy engagement Hillmead Oracle: system control and integrity Highways asset management Right to buy Workforce engagement Business continuity Emergency out of hours Compliance check of HR data [Of Concern risk]	<ul style="list-style-type: none"> Council's relationship with Capita and UK Broadband Community equipment store Emergency accommodation Health and safety: Leisure (The Link) pilot review [Moderate risk]	[Moderate risk]
Fundamental weaknesses	<ul style="list-style-type: none"> Licensing: Private hire and taxis Isambard School Corporate Buildings: Statutory compliance [Significant risk]	[Of Concern risk]	[Moderate risk]

Non-opinion audits:

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| <ul style="list-style-type: none"> Library strategy VFM review: Oasis leisure centre transfer SEN transitions | <ul style="list-style-type: none"> Corporate Value for Money National Fraud Initiative STEAM follow-up | <ul style="list-style-type: none"> Duplicate payments Streetsmart: Waste services Annual Governance Statement | <ul style="list-style-type: none"> Debtors under query Troubled Families: follow-up | <ul style="list-style-type: none"> Policy review Healthwatch |
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Grants:

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| <ul style="list-style-type: none"> Dept of Transport Highways grants Carbon Reduction Claim | <ul style="list-style-type: none"> Public Health grant | <ul style="list-style-type: none"> NNDR3 claim | <ul style="list-style-type: none"> Troubled Families grant claim (4) | <ul style="list-style-type: none"> Adoption Reform grant claim |
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ICT Consultancy/Guidance:

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| <ul style="list-style-type: none"> Information Governance Policy Mobile device management system Protective marking | <ul style="list-style-type: none"> Penetration testing PCI Compliance | <ul style="list-style-type: none"> Significant ICT risks IT Policy framework | <ul style="list-style-type: none"> NHS Toolkit DP questionnaire and guidance | <ul style="list-style-type: none"> Information Security breach policy ICT key controls (External Audit) |
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Investigations: 25 Investigations inc. Whistleblowing (7)

Other: SCS Policies and Procedures; SCS SRF/Weighbridge

