

Risk Management – Information Technology

Audit Committee

Date: 29th September 2015

Author: Head of Internal Audit

Wards: None

Locality Affected: None

Parishes Affected: None

1. Purpose and Reasons

- 1.1 Members are presented with half yearly updates on the corporate risk register. During discussion on this agenda item it was agreed that the Chair of Audit Committee will select future risks to be covered in conjunction with other Committee Members and the Head of Internal Audit and Corporate Risk Manager.
- 1.2 Previously members have received reports on:
 - Emergency Planning and Business Continuity
 - Child sex exploitation
- 1.3 The Chair of the Audit Committee requested that the Head of Information Technology be asked to come to the September meeting of the Audit Committee to provide assurance to Members regarding the actions that are being taken to address risks detailed in the corporate risk register.
- 1.4 The Council's Audit Committee has a strategic role to ensure that the Council's assurance framework is operating effectively. To this end it should seek assurance that key areas that contribute to this framework are operating effectively.

2. Recommendations

- 2.1 It is recommended that the contents of the report be noted.

3. Detail

Background

- 3.1 Audit Committee are presented with regular update reports regarding risk management activity within the Council along with the latest corporate risk register.
- 3.2 The corporate risk register is updated and agreed quarterly with Corporate Board members. It reflects current and emerging strategic risks from across the organisation. Mitigating actions are actively monitored for their implementation and to ensure they have been effective, as part of this process.
- 3.3 It was agreed that the Chair of Audit Committee will select future risks to be covered in conjunction with other Committee Members and the Head of Internal

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Audit and Corporate Risk Manager. The Chair of Audit Committee selected Information Governance Management and Security as the corporate risk to be discussed at the September meeting.

Information technology

- 3.4 The Head of Information Technology will attend Audit Committee to give Members a presentation on the current issues/risks within Information Technology and what action is being taken to address these.
- 3.5 A copy of the relevant section of the corporate risk register is attached as Appendix 1.

4. Alternative Options

- 4.1 Not Applicable

5. Implications, Diversity Impact Assessment and Risk Management

Financial and Procurement Implications

- 5.1 There are no direct financial implications arising from this report.

Legal and Human Rights Implications

- 5.2 Internal Audit is a statutory requirement of the Accounts and Audit Regulations 2011. The Internal Audit service also provides assurance to the Board Director: Resources regarding the requirements of Section 151 of the Local Government Act 1972.

All Other Implications (including Staff, Sustainability, Health, Rural, Crime and Disorder)

- 5.3 Not applicable.

Diversity Impact Assessment

- 5.4 Not applicable.

Risk Management

- 5.5 ICT is appropriately registered on the Risk Register for the services.

6. Consultees

- 6.1 The Board Director: Resources (Section 151 Officer) and Director of Law and Democratic Services (Monitoring Officer) are consulted in respect of all reports.

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7. Background Papers

7.1 None

8. Appendices

8.1 Appendix 1 – Corporate Risk Register entry for Information governance, management and security.